

Direct Photons - A Short Introduction

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Lecture Week of the Helmholtz Research School
for Quark Matter Studies in Heavy Ion Collisions

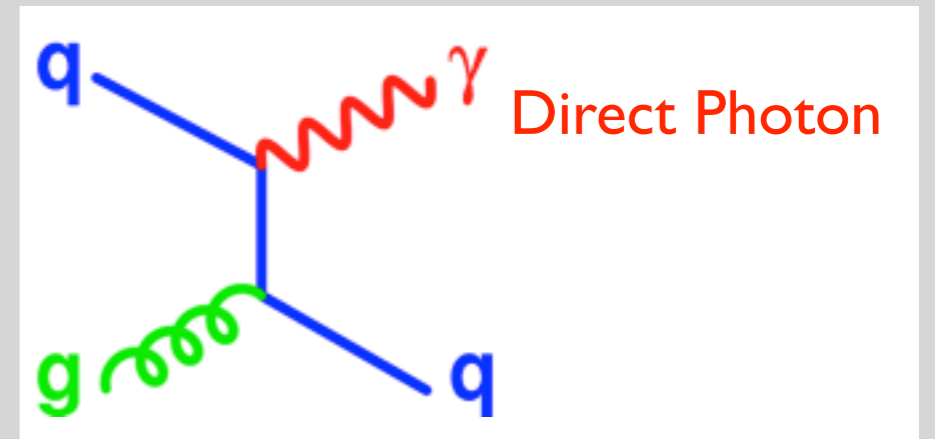
March 31 - April 4, 2014, Burg Ebernburg, Germany

Outline

- Part I:
 - Introduction
 - Direct Photons in $p+p$ and $A+A$
 - Measuring Direct Photons
- Part II:
 - Results in $p+p$ Collisions
 - Results in $A+A$ Collisions
 - Measurements with “real” photons
 - The internal conversion method
 - Using conversion in the detector material
 - Direct photon flow

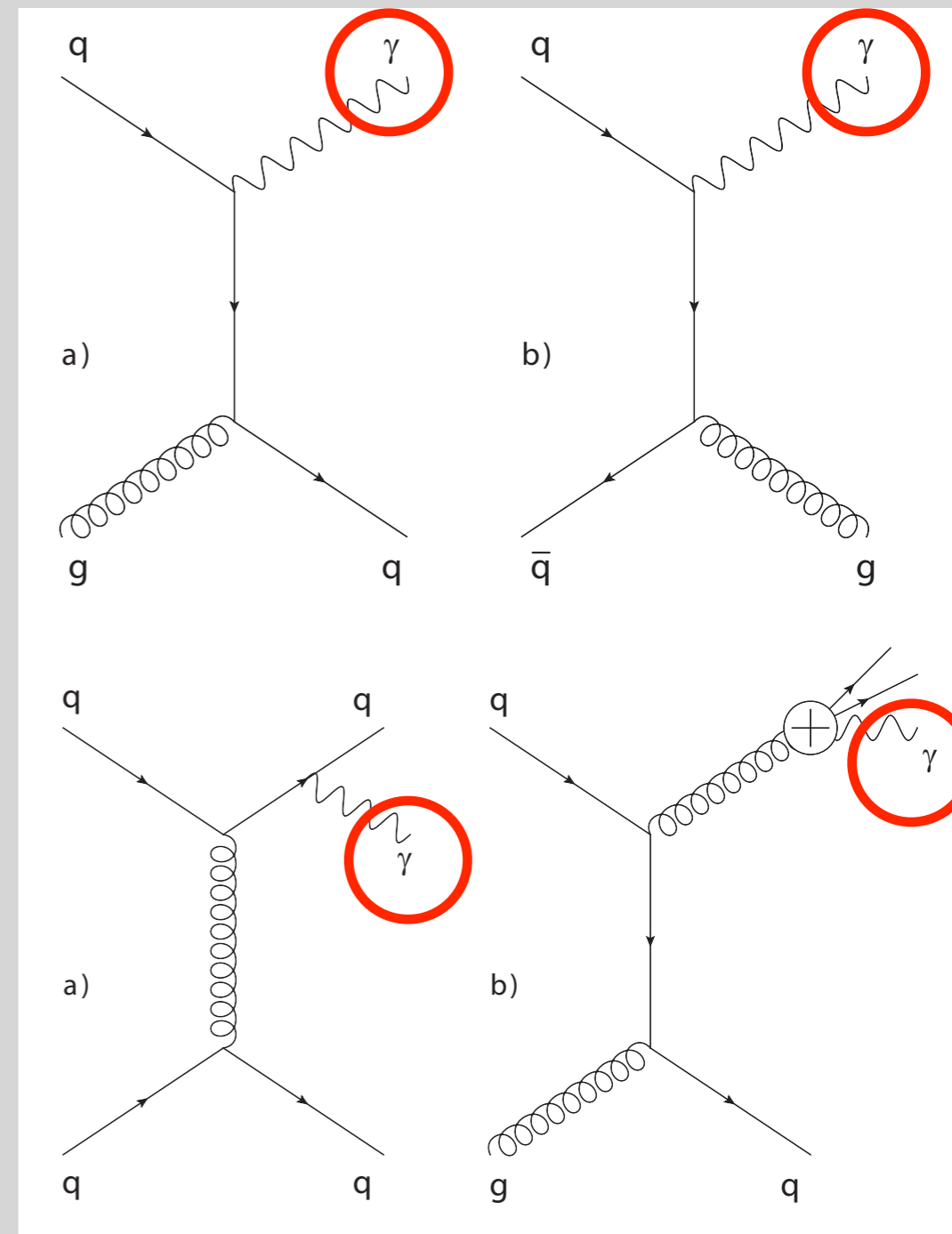
Definition and Challenges

- Direct photons are
 - Photons not coming from decays (experimentalists view I)
 - Photons that are isolated (experimentalists view II)
 - Photons that are created directly in hard scattering processes (a possible theorists view)
- The measurement is challenging
 - Large background from decay photons
 - $\pi^0 \rightarrow \gamma\gamma$, $\eta \rightarrow \gamma\gamma$, and more
 - Finding isolated photons in large background in A+A
 - Experimental problems due to small opening angle of decay photons, π^0 (η) decay photons merge into one detector signal



Direct Photons in $p+p$ Collisions

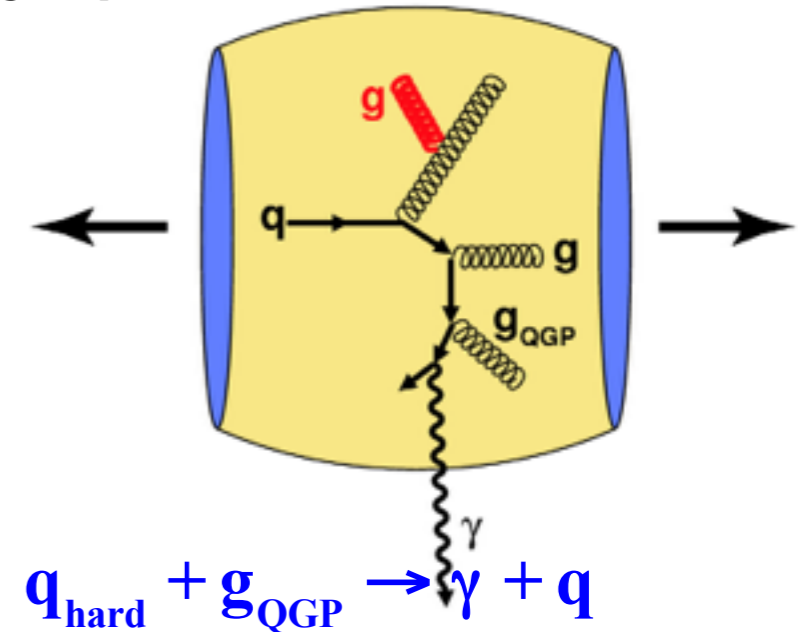
- In the late 1970's: direct photons suggested point-like charged objects within hadrons
- Different production processes
 - Top row: Quark-gluon Compton scattering (a), quark-antiquark annihilation (b)
 - Bottom row: bremsstrahlung (a), jet fragmentation (b)
- Test of QCD, processes well described
- Current focus: Constrain gluon distribution functions
 - Quark-gluon Compton scattering at leading order, unlike DIS and Drell-Yan



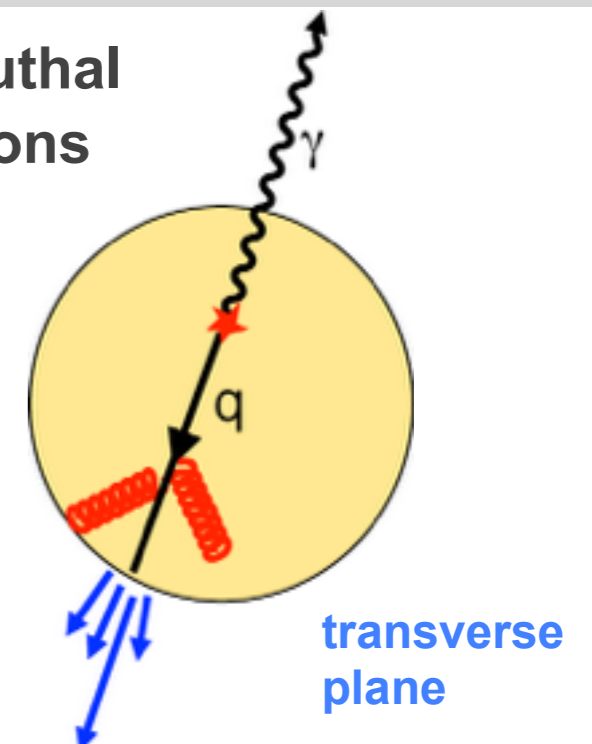
Direct Photons in Au+Au Collisions - why?

- Photons do not interact strongly and leave the medium (mostly) unaffected
- Different p_T regions give different information
 - Low p_T (< 4 GeV/c): thermal photons, measure the temperature of the fireball
 - Intermediate p_T (between ~ 2 and 6 GeV/c): are there other sources from within the QGP (e.g. from jet-plasma interaction)?
 - High p_T (> 6 GeV/c): Point like scaling for hard processes?
- Measure direct- γ - hadron angular correlations
 - In $p+p$ access to fragmentation functions
 - In A+A, photon defines parton (jet) energy

Photons from jet-plasma interaction:

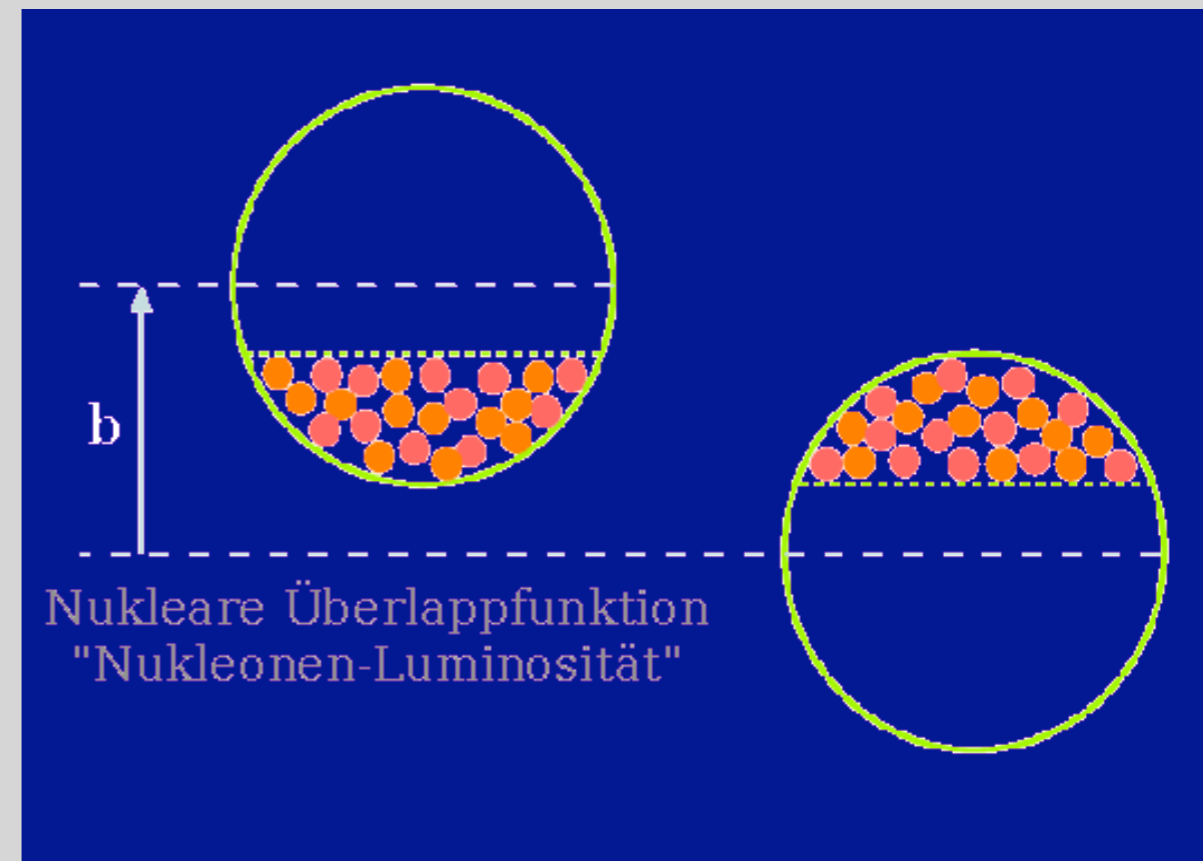


γ -h azimuthal correlations



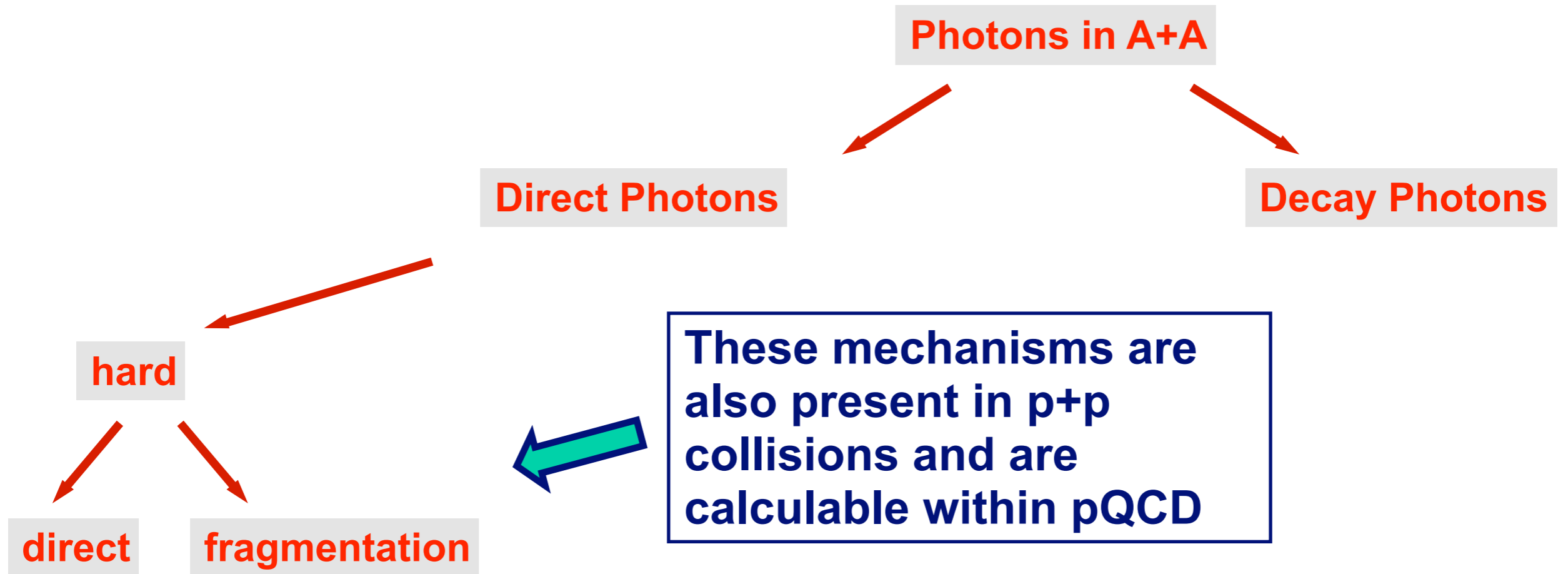
Quantify Nuclear Effects: The Nuclear Modification Factor

- Measure to quantify nuclear effects in A+A collisions
- Compare A+A with scaled $p+p$ collisions
- Number of binary nucleon-nucleon collisions (N_{coll}) from simulations
- R_{AA} „contains“ both initial and final state effects
 - Initial state: Cronin, nuclear shadowing, ..
 - Final state: Jet quenching

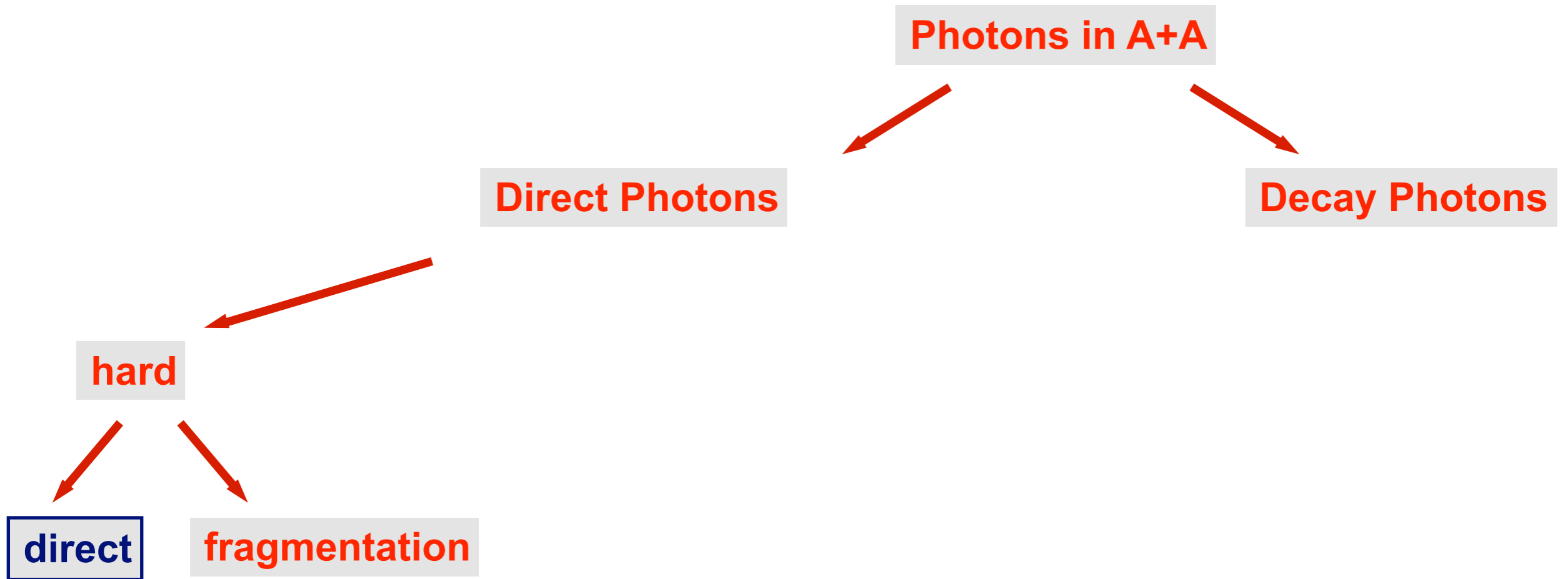


$$R_{AA} = \frac{d^2 N_{AA}^{\eta} / dy dp_T}{N_{\text{coll}} d^2 N_{pp}^{\eta} / dy dp_T}$$

Known and Presumed Photon Sources in A+A



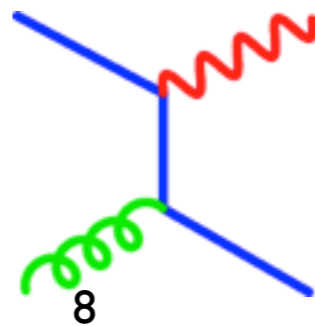
Known and Presumed Photon Sources in A+A



Hard direct photons:
direct component
("prompt" photons)

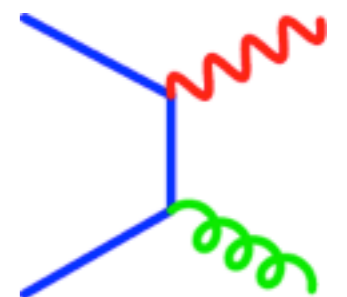
Compton

$$q + g \rightarrow \gamma + q$$

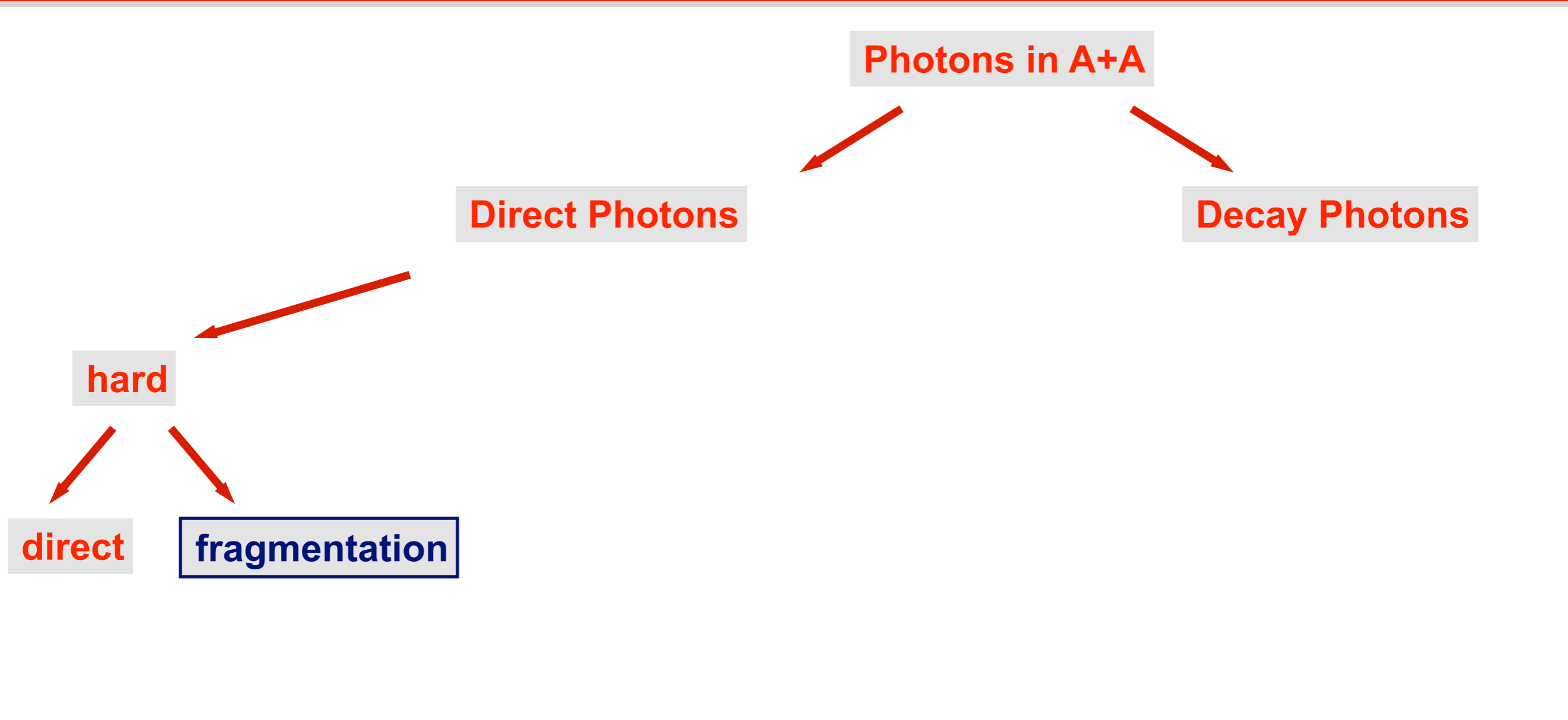


Annihilation

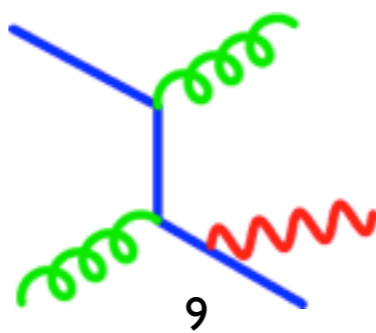
$$q + \bar{q} \rightarrow \gamma + g$$



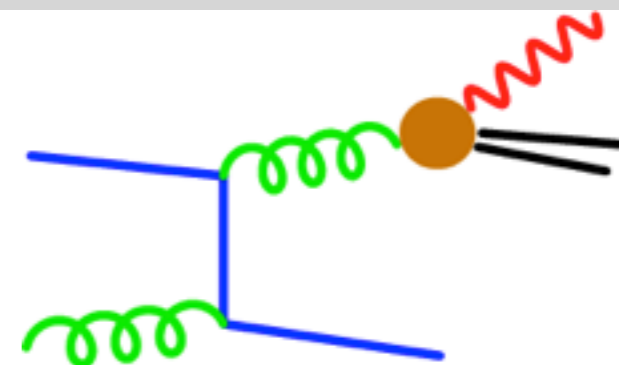
Known and Presumed Photon Sources in A+A



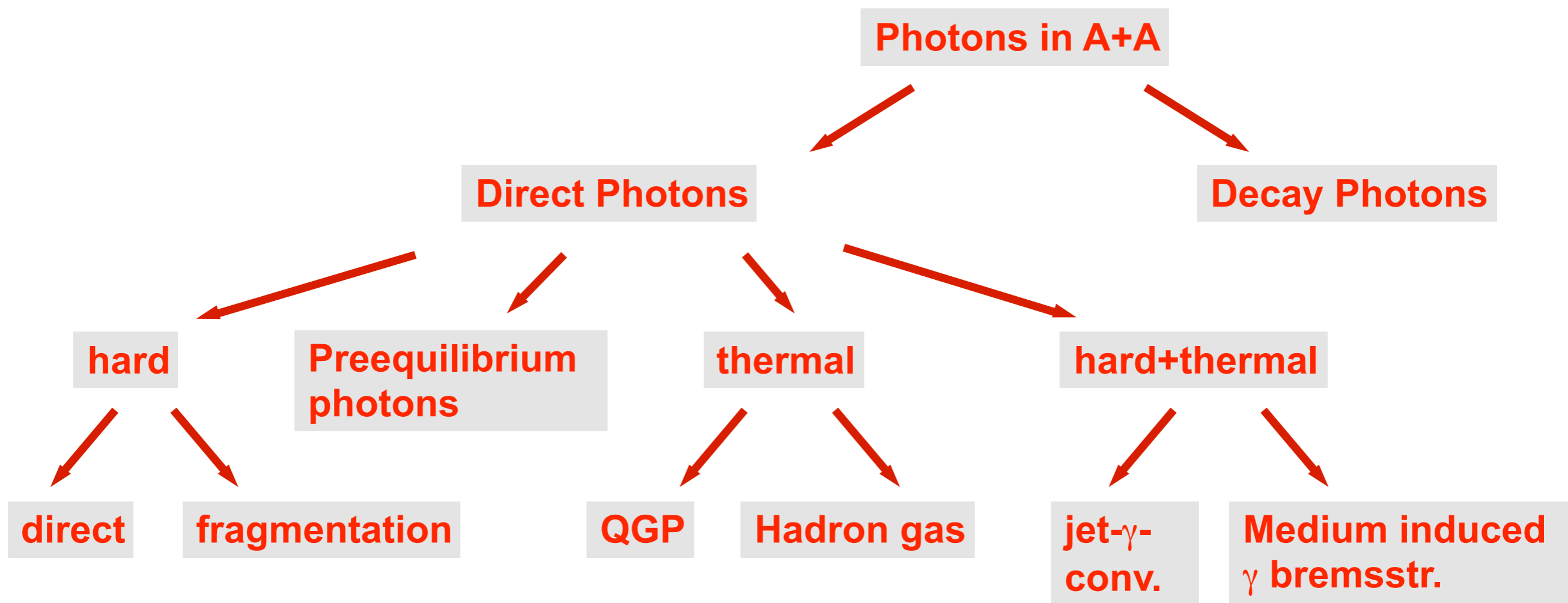
Hard direct photons:
bremsstrahlung /
fragmentation
component



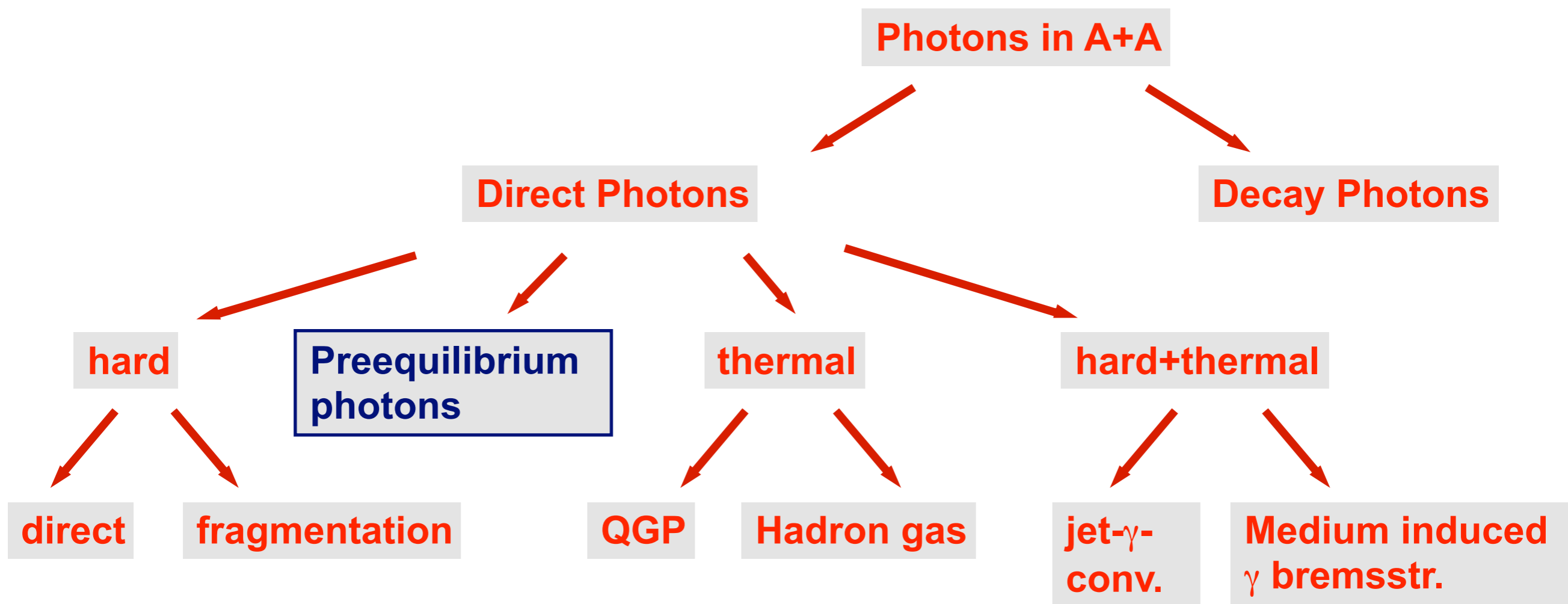
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Known and Presumed Photon Sources in A+A



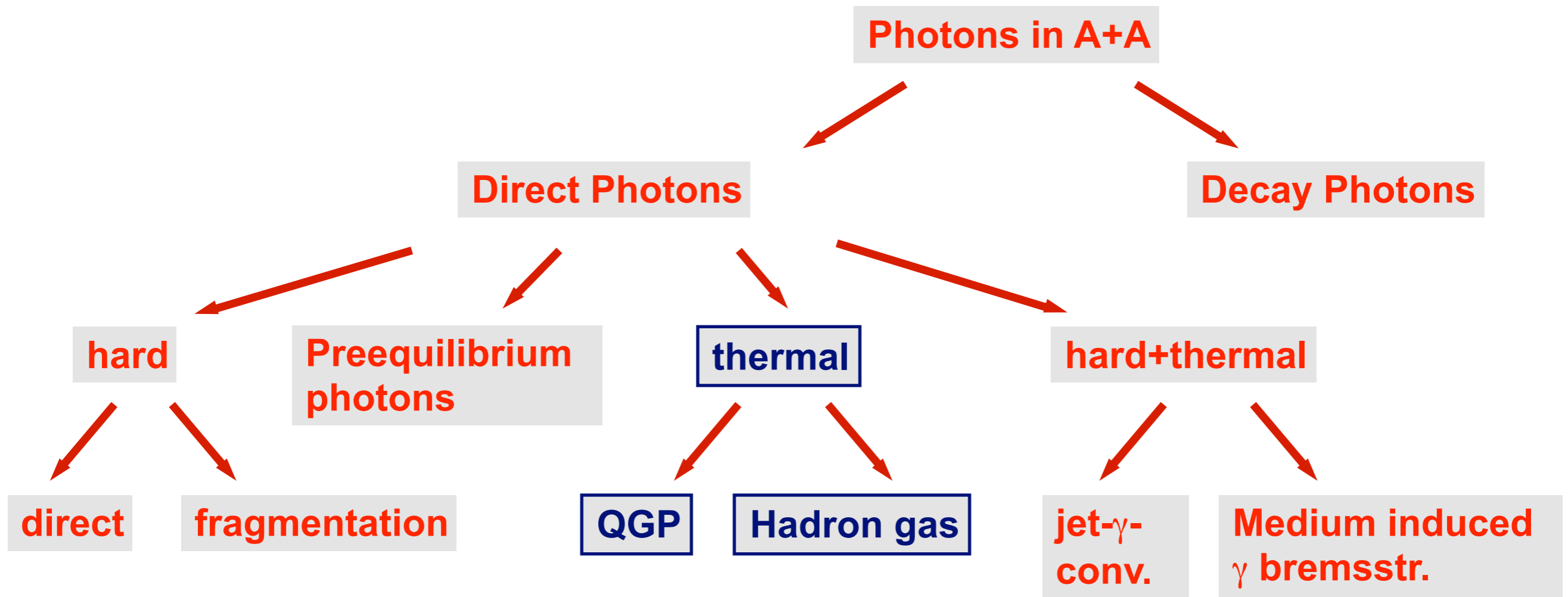
Known and Presumed Photon Sources in A+A



Preequilibrium photons

- Produced through rescattering of the primarily produced partons prior to thermalization
- Difficult to treat theoretically

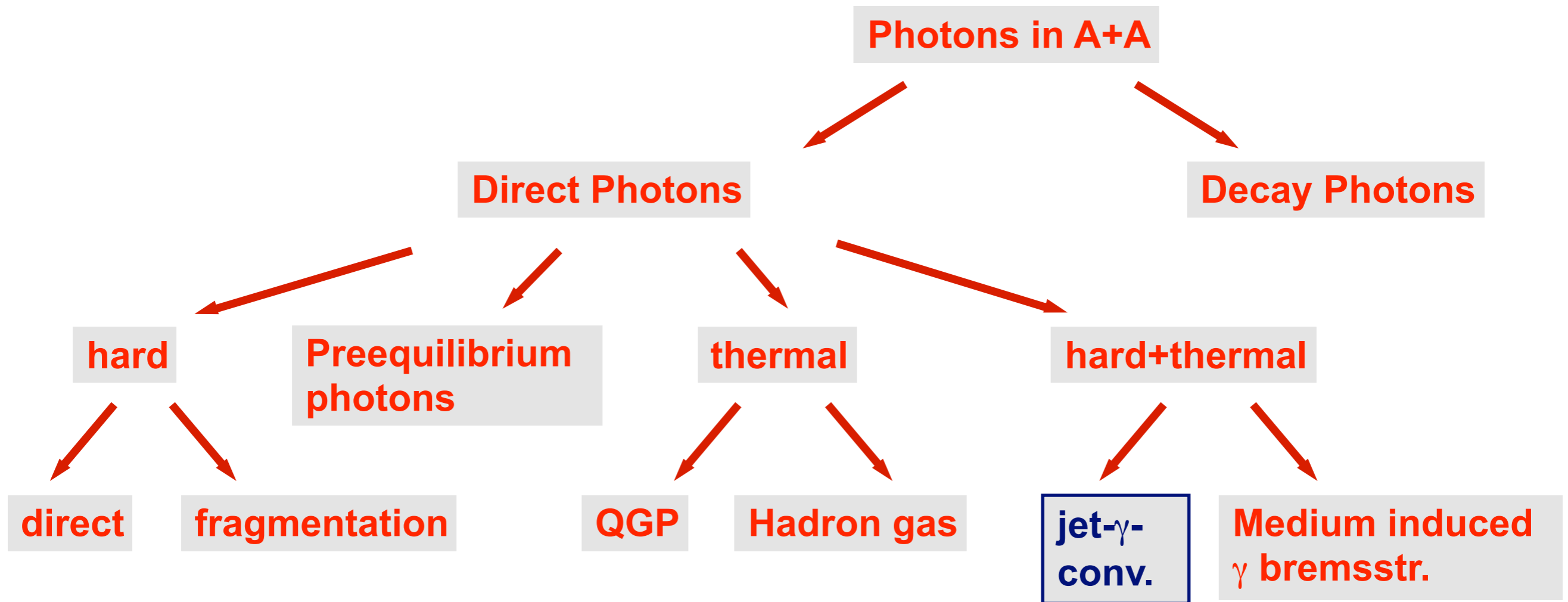
Known and Presumed Photon Sources in A+A



Thermal photons

- Reflect temperature of the system, produced over entire evolution
- Significant direct photon source only at low p_T

Known and Presumed Photon Sources in A+A



Hard+thermal: Jet-Photon- Conversion

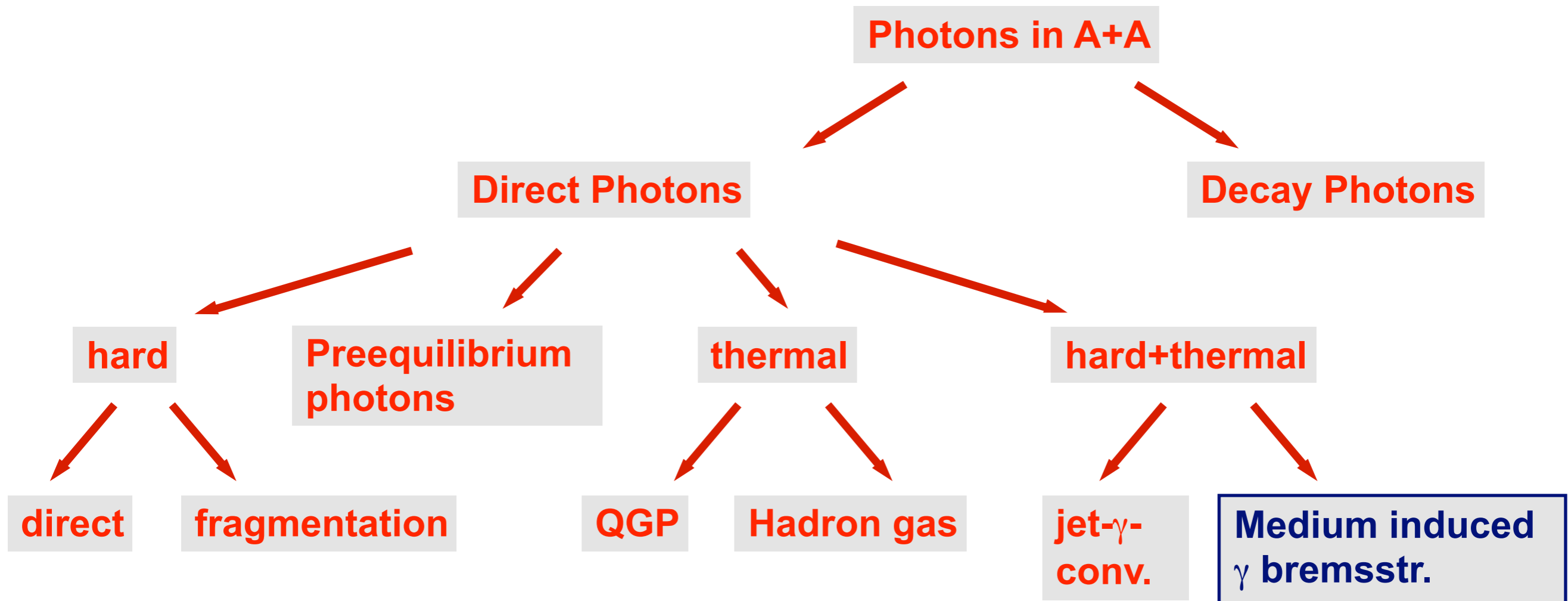
Interaction of parton from hard scattering with soft parton

$$\sigma_{\text{jet}-\gamma\text{-conv}} \sim \delta^3(p_{\text{jet}} - p_{\gamma})$$

$$q_{\text{hard}} + g_{\text{QGP}} \rightarrow \gamma + q$$

$$q_{\text{hard}} + \bar{q}_{\text{QGP}} \rightarrow \gamma + g$$

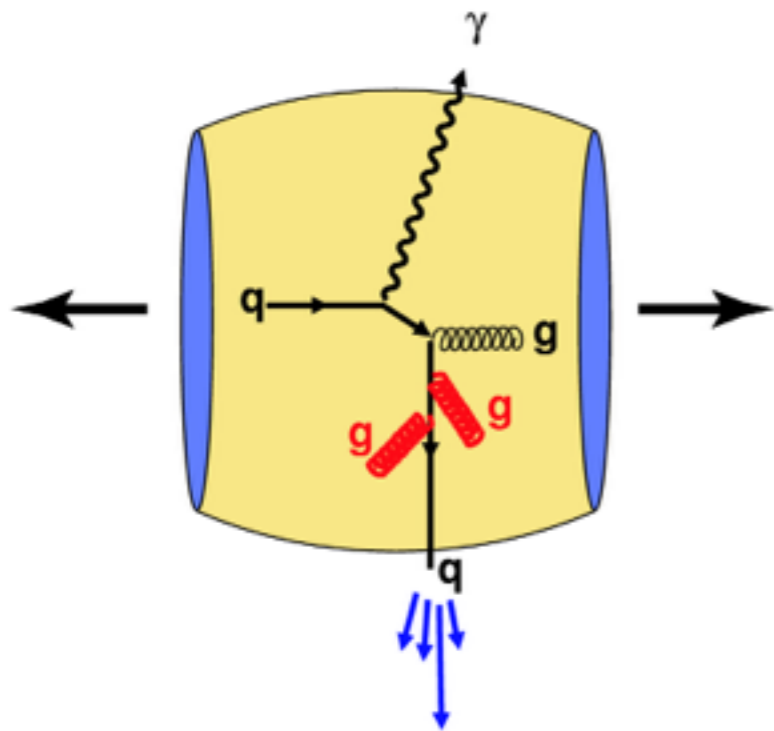
Known and Presumed Photon Sources in A+A



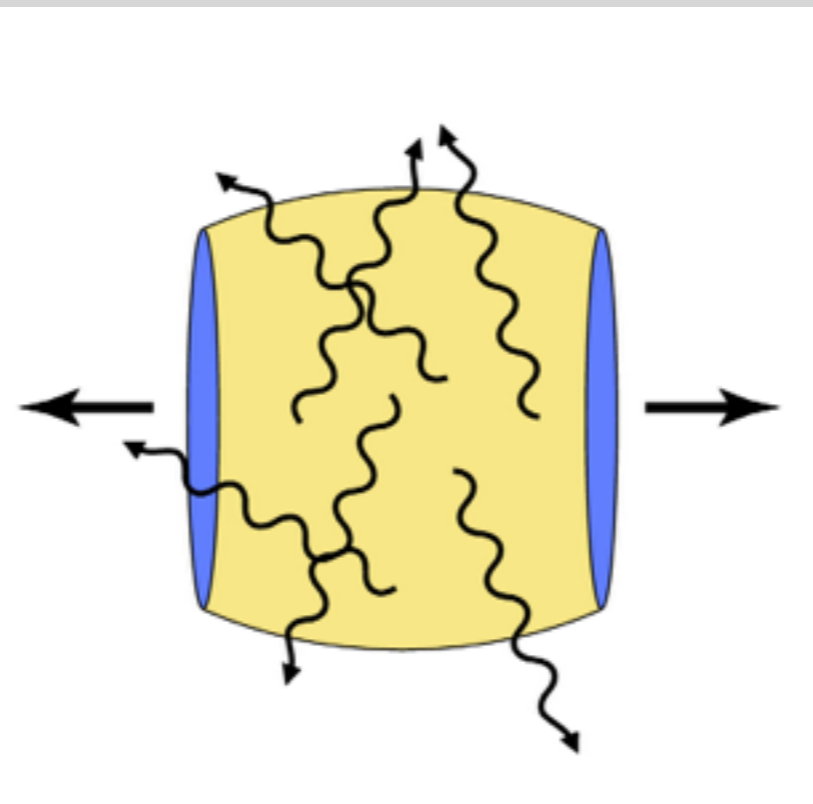
Medium induced photon bremsstrahlung

- Due to multiple scattering of quarks in the medium
- Different theoretical predictions, likely rather small contribution

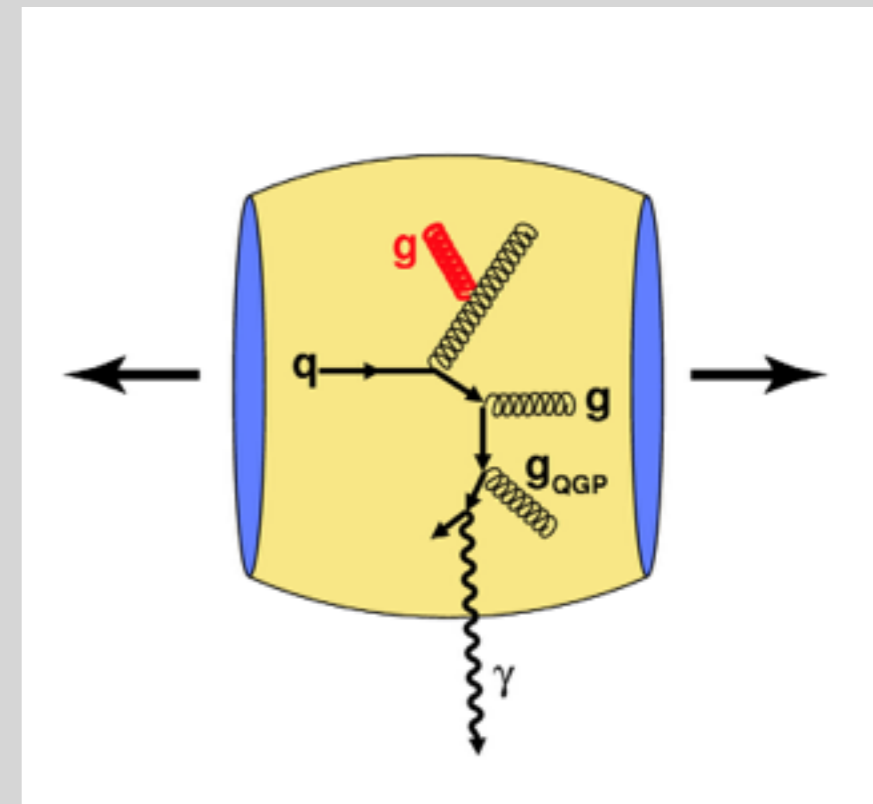
Summary: Direct Photons in A+A Collisions - Hard, Thermal, Hard+Thermal



Hard photons



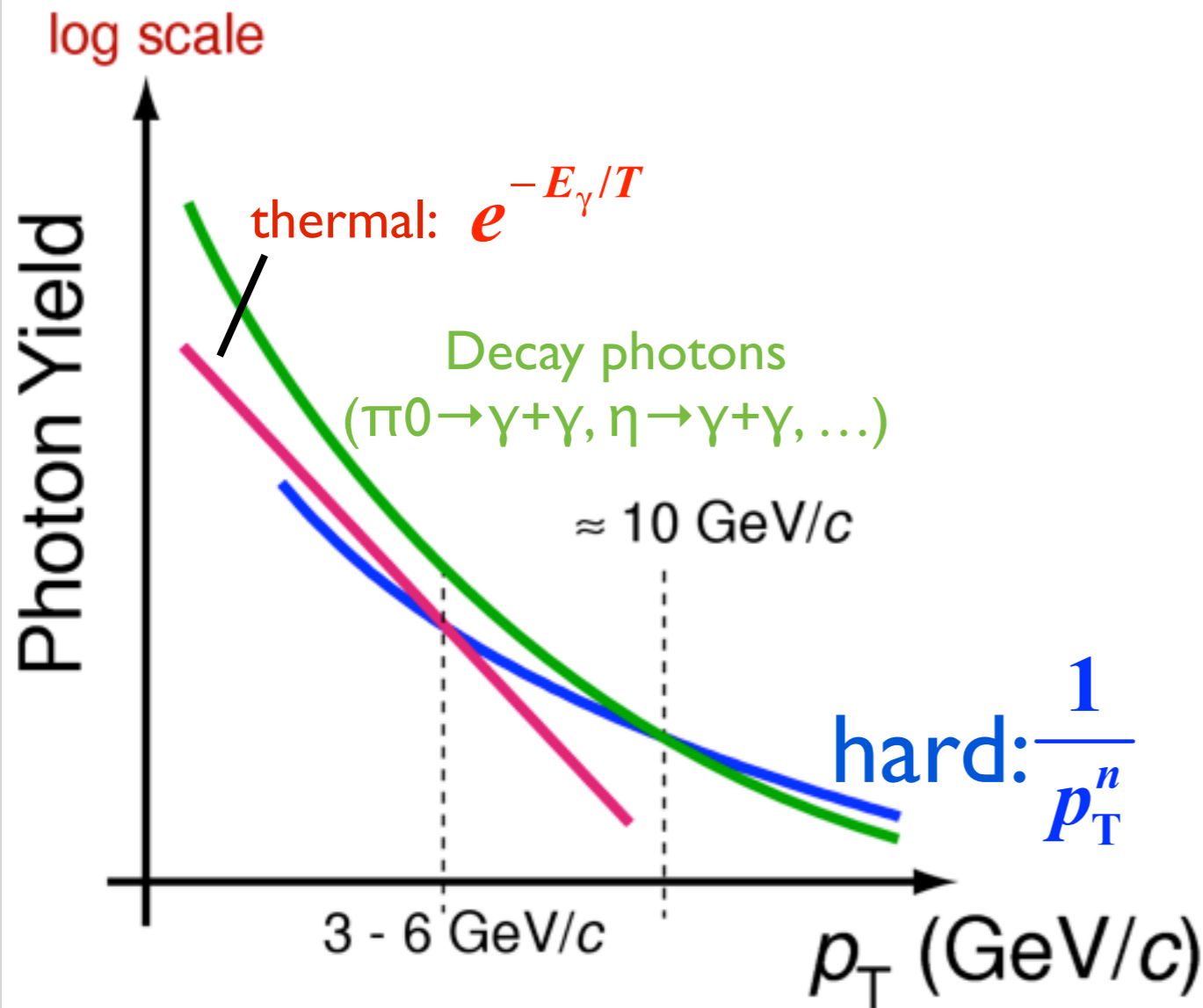
Thermal photons



Photons from jet-plasma
interaction

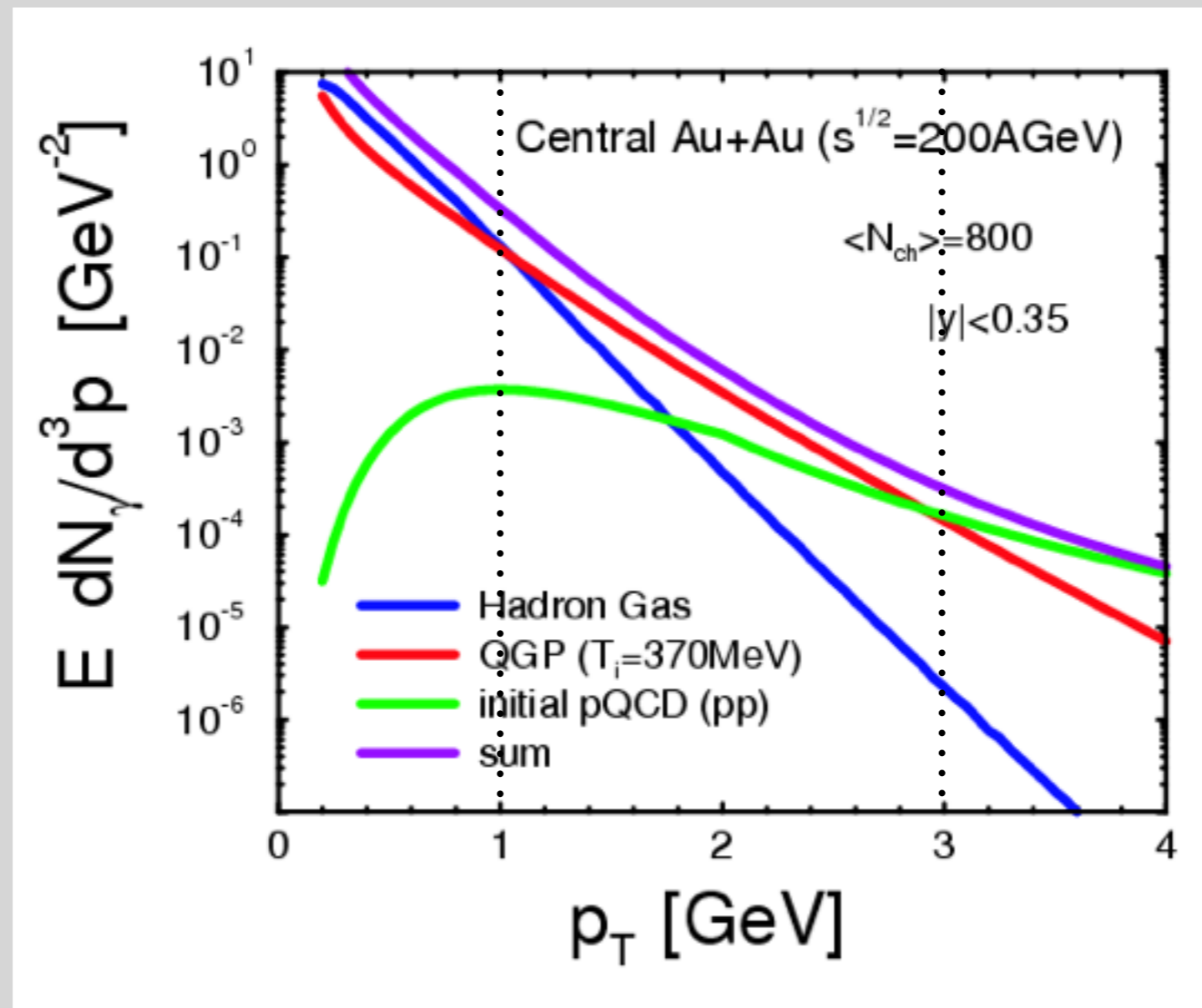
Schematic Photon Spectrum in A+A

Central Au+Au at RHIC



- Thermal photons expected to be a significant contribution below $p_T \sim 3 \text{ GeV}/c$
- Hard photons dominant direct photon source for $p_T > \sim 6 \text{ GeV}/c$
- Jet-photon conversion might be significant contribution below $p_T \sim 6 \text{ GeV}/c$
- Experimental challenge: Subtraction of decay photon background

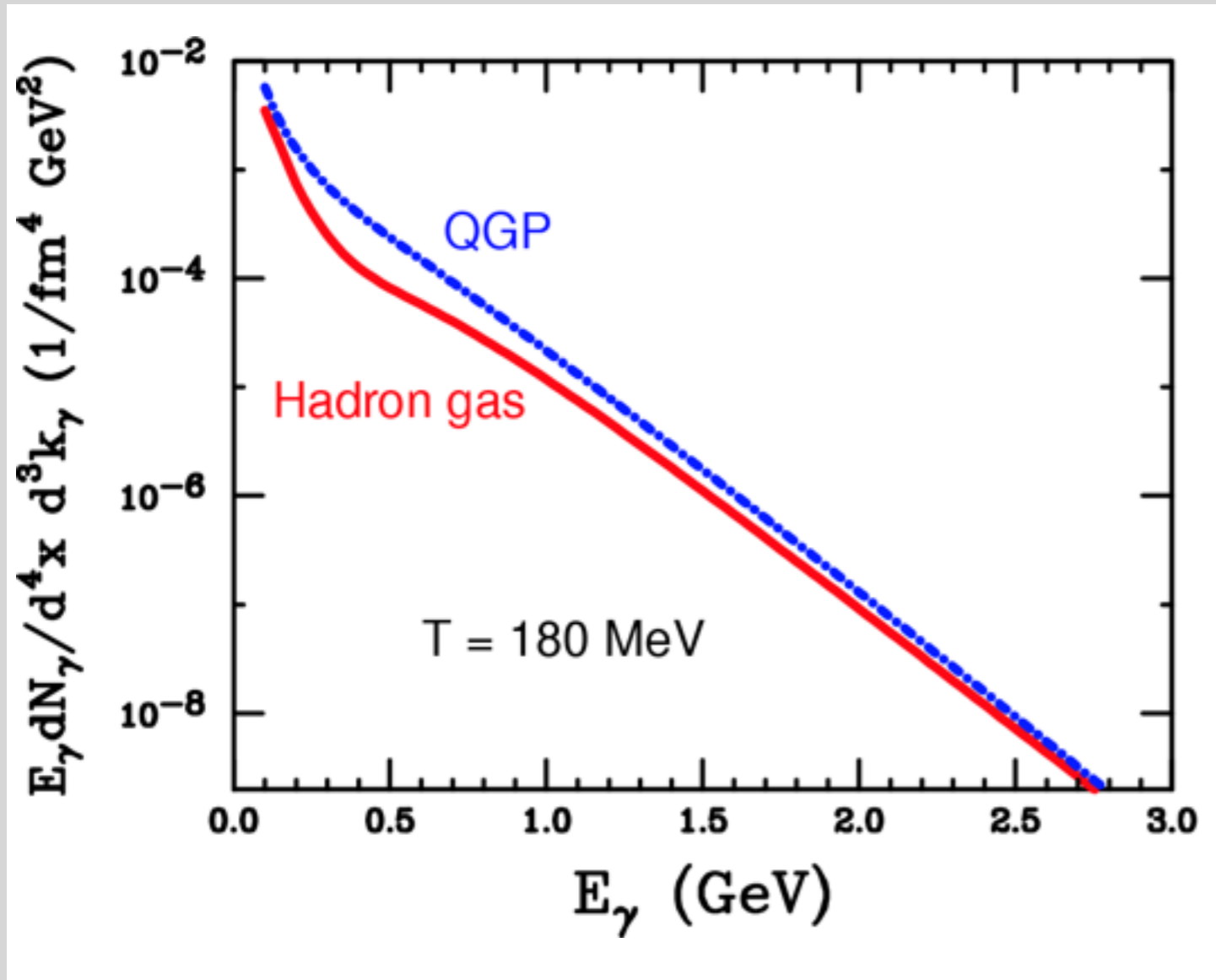
Direct Photons in A+A: Realistic Calculation



Turbide, Rapp, Gale, Phys. Rev. C 69 (014902), 2004

- Window for thermal photons from QGP in this calculation:
 $p_T = 1 - 3 \text{ GeV}/c$

Photon Rates in HG and QGP



- Final thermal photon spectrum:
QGP and HG photon rates convoluted with space-time evolution of the reaction
- Very similar thermal photon rates for QGP and hadron gas at same temperature T

QGP rates: Arnold, Moore, Yaffe (2001)
HG rates: Turbide, Rapp, Gale (2004)

Measuring Direct Photons: Method Overview

- Calorimeter measurement with isolation and shower shape cuts
 - Works best in $p+p$ and at high p_T
- Statistical subtraction method
 - Measure inclusive photon spectrum and subtract decay photons from hadrons
 - Inclusive photons can be measured directly with calorimeters or indirectly through conversions into e^+e^- pairs
 - Decay photons come from simulations
- Tagging method
 - Remove decay photons by tagging decay photons, i.e. calculate if it can come from a decay

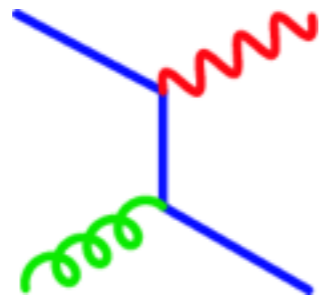
Direct Photon Measurement

Isolation Cut

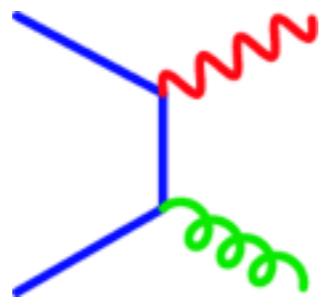
Isolation Cut: The Idea

Isolated direct photons:
Limit on transverse energy
in a cone around the photon

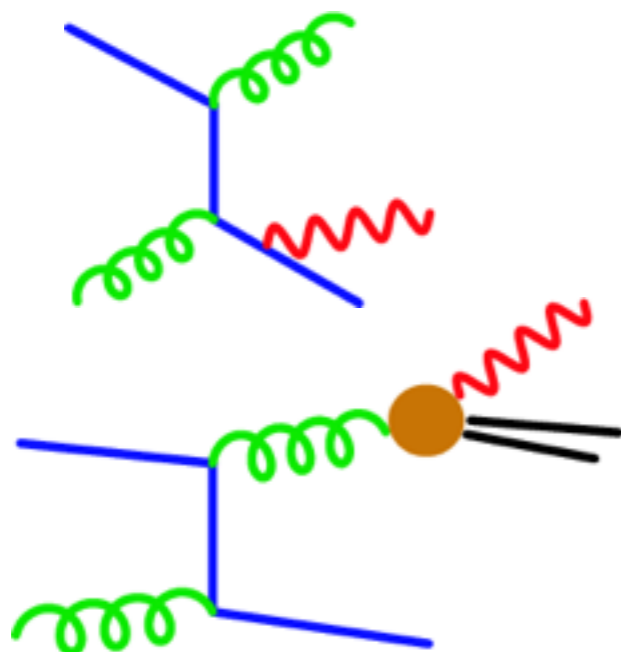
Compton



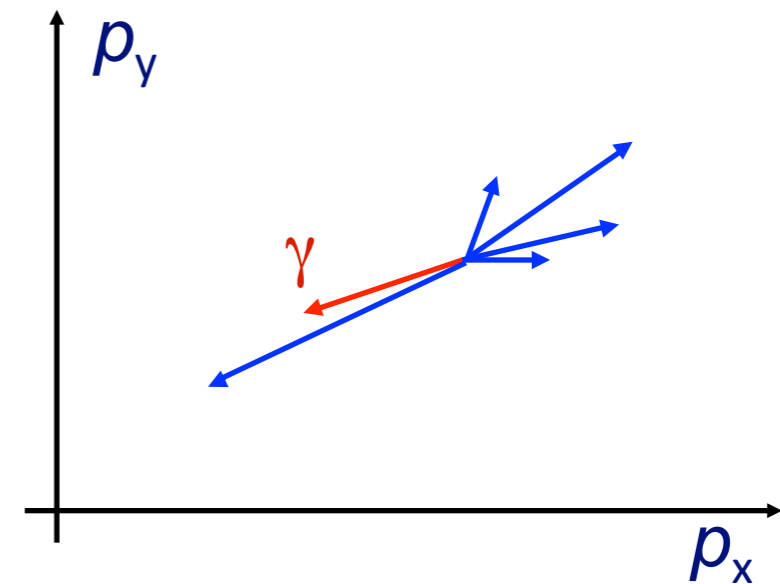
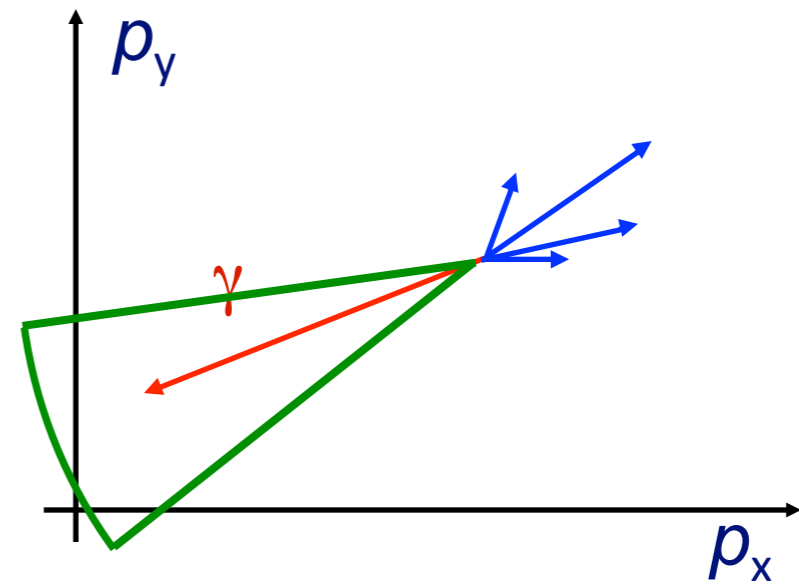
Annihilation



Bremsstrahlung / Fragmentation



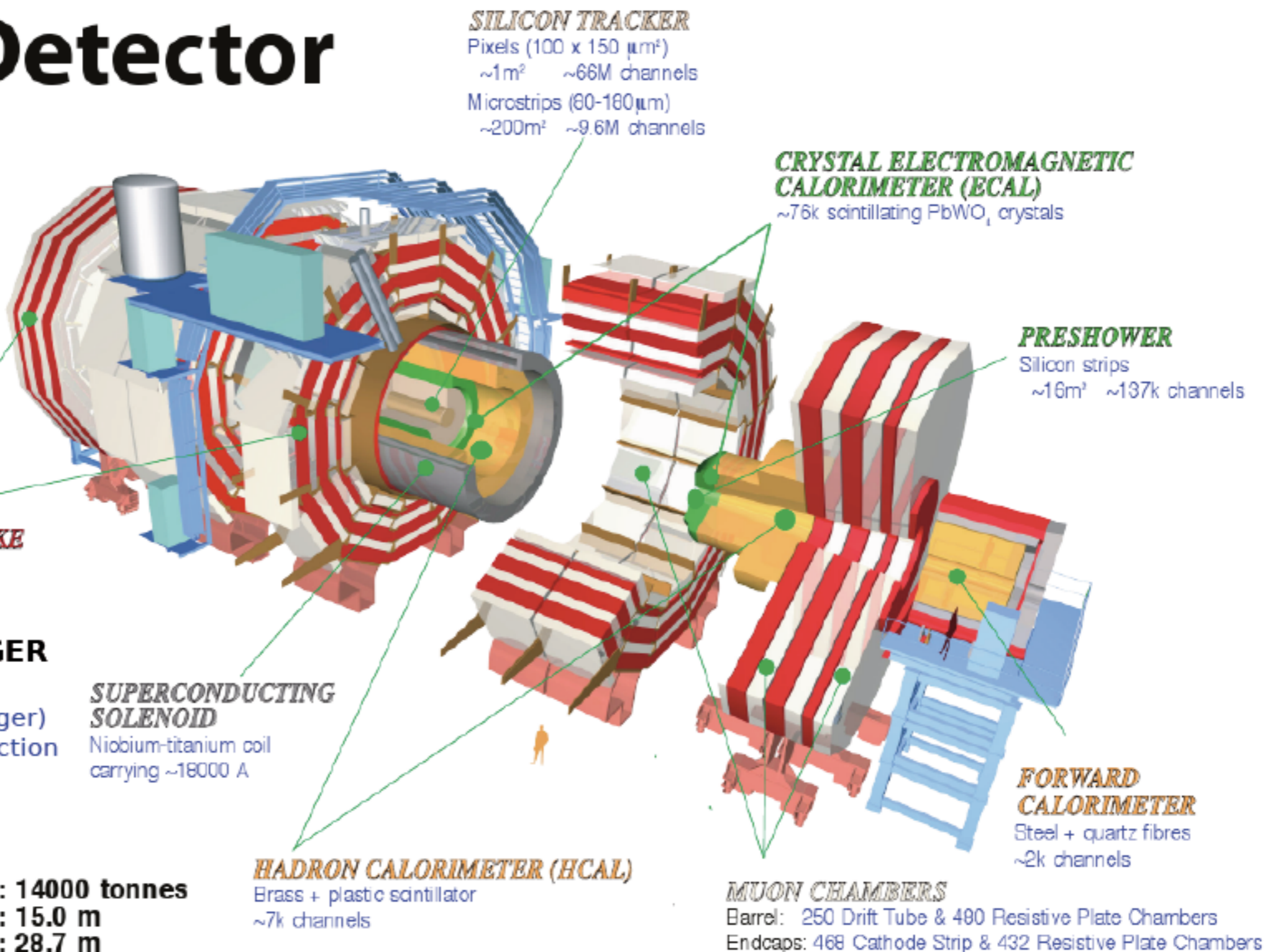
Transverse plane (Momentum)



Example of an Isolated Photon Measurement: CMS Experiment

CMS Detector

Pixels
 Tracker
 ECAL
 HCAL
 Solenoid
 Steel Yoke
 Muons



Total weight : 14000 tonnes
Overall diameter : 15.0 m
Overall length : 28.7 m
Magnetic field : 3.8 T

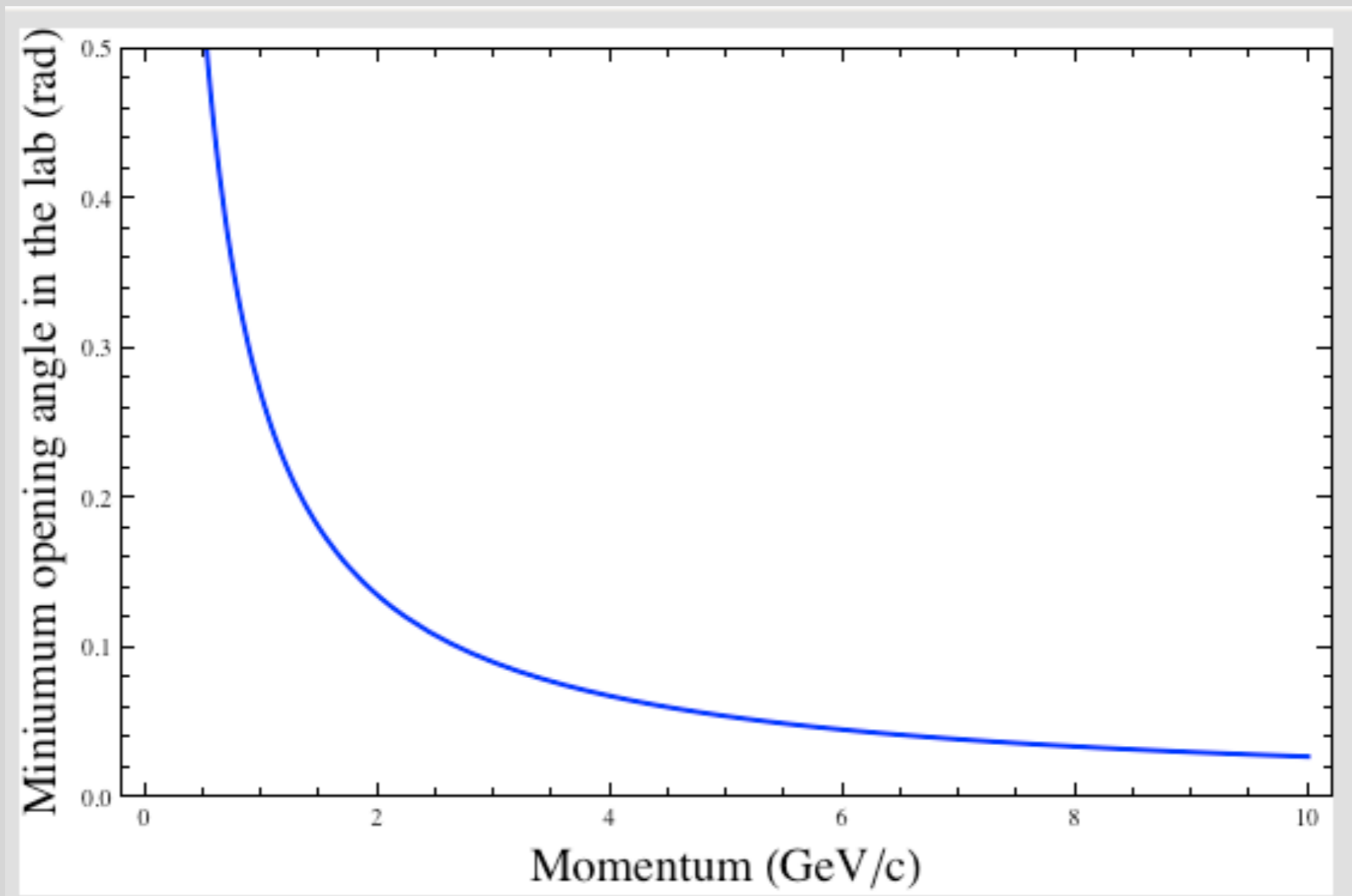
Isolation Cut Requirements at CMS

$$R^2 = (\eta - \eta^\gamma)^2 + (\phi - \phi^\gamma)^2$$

- Photon candidates must satisfy three isolation requirements that reject photons produced in hadron decays
 - IsoTRK < 2 GeV/c in $0.04 < R < 0.40$, excluding a rectangular strip of $\Delta\eta \times \Delta\phi = 0.015 \times 0.400$ to remove the photon's own energy if it converts into an e^+e^-
 - IsoECAL < 4.2 GeV (transverse energy in ECAL in $0.06 < R < 0.40$, excluding again a central region for the photon)
 - IsoHCAL < 2.2 GeV (transverse energy in HCAL)
- These conditions remove the bulk of the photons from neutral meson decays

Opening Angle of π^0 Decay Photons

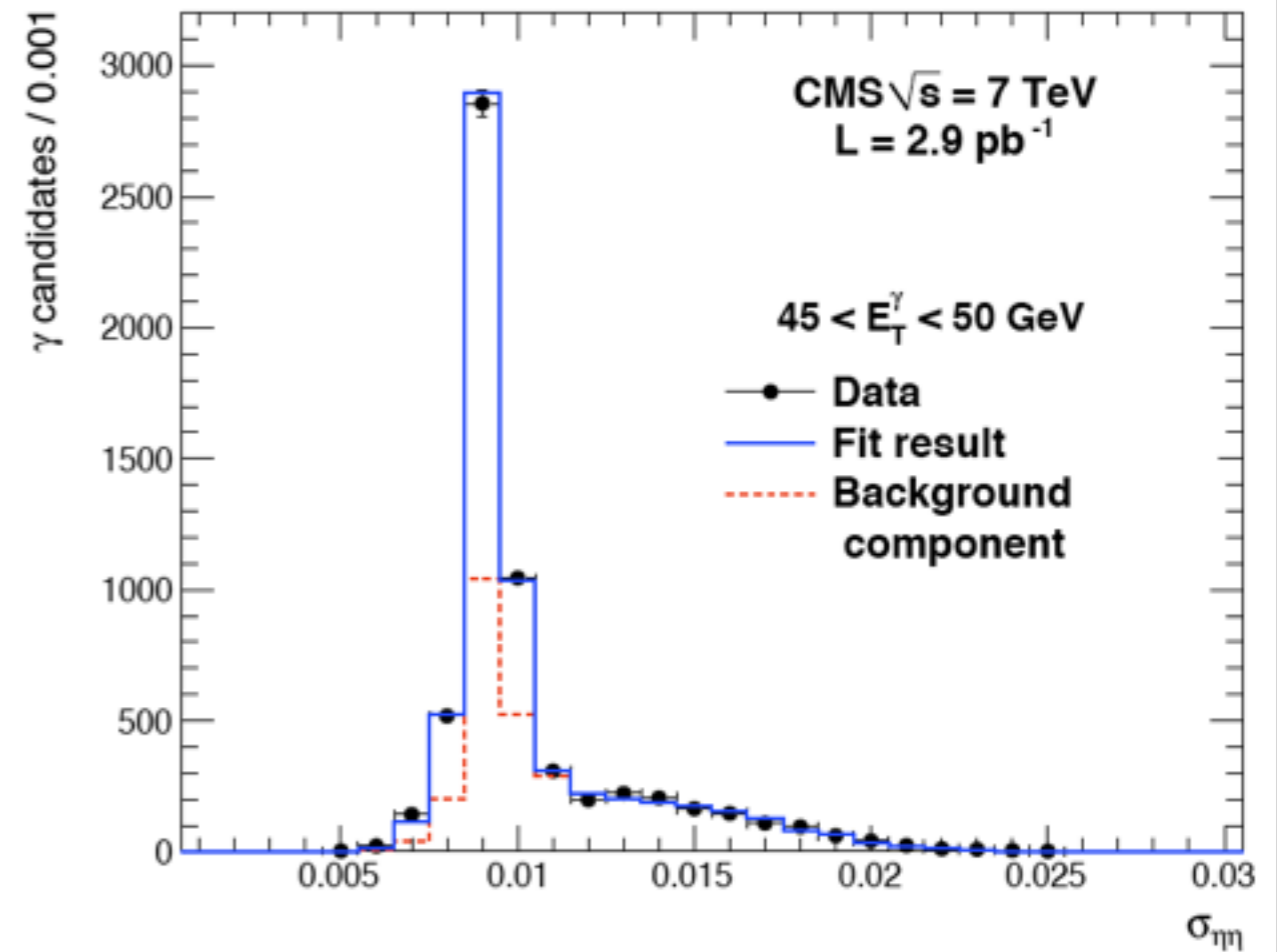
- At high momenta, the opening angle gets so small that decay photons might be reconstructed as one cluster on the calorimeter



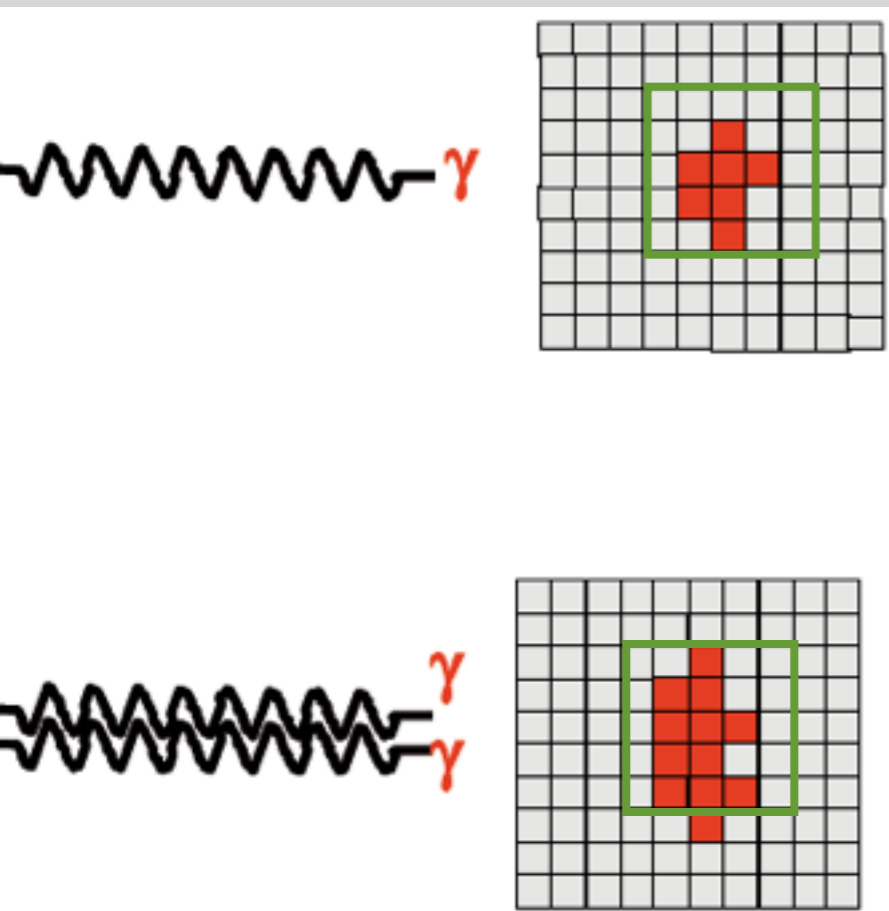
Signal Extraction

$$\sigma_{\eta\eta}^2 = \frac{\sum_{i=1}^{25} w_i (\eta_i - \bar{\eta})^2}{\sum_{i=1}^{25} w_i}$$

$$w_i = \max(0, 4.7 + \ln(E_i/E))$$



- Calculate shower width, use towers in a 5x5 window around highest energy tower
- Isolated photon yields extracted by fitting signal + background templates to measured shower width distribution
- Signal template from MC (Pythia + Geant)
- Background template determined in data-driven way



Statistical Subtraction

Measurement of Direct Photons with the Subtraction Method

- Get clean inclusive photon sample
 - Understand detector effects, calibrations, geometry
 - Subtract non photons
- Measure p_T spectrum of π^0 and η mesons with high accuracy
- Calculate number of decay photons per π^0
 - Done with Monte-Carlo
 - m_T scaling for $(\eta), \eta', \omega, \dots$
- Finally:
Subtract decay background from inclusive photon spectrum

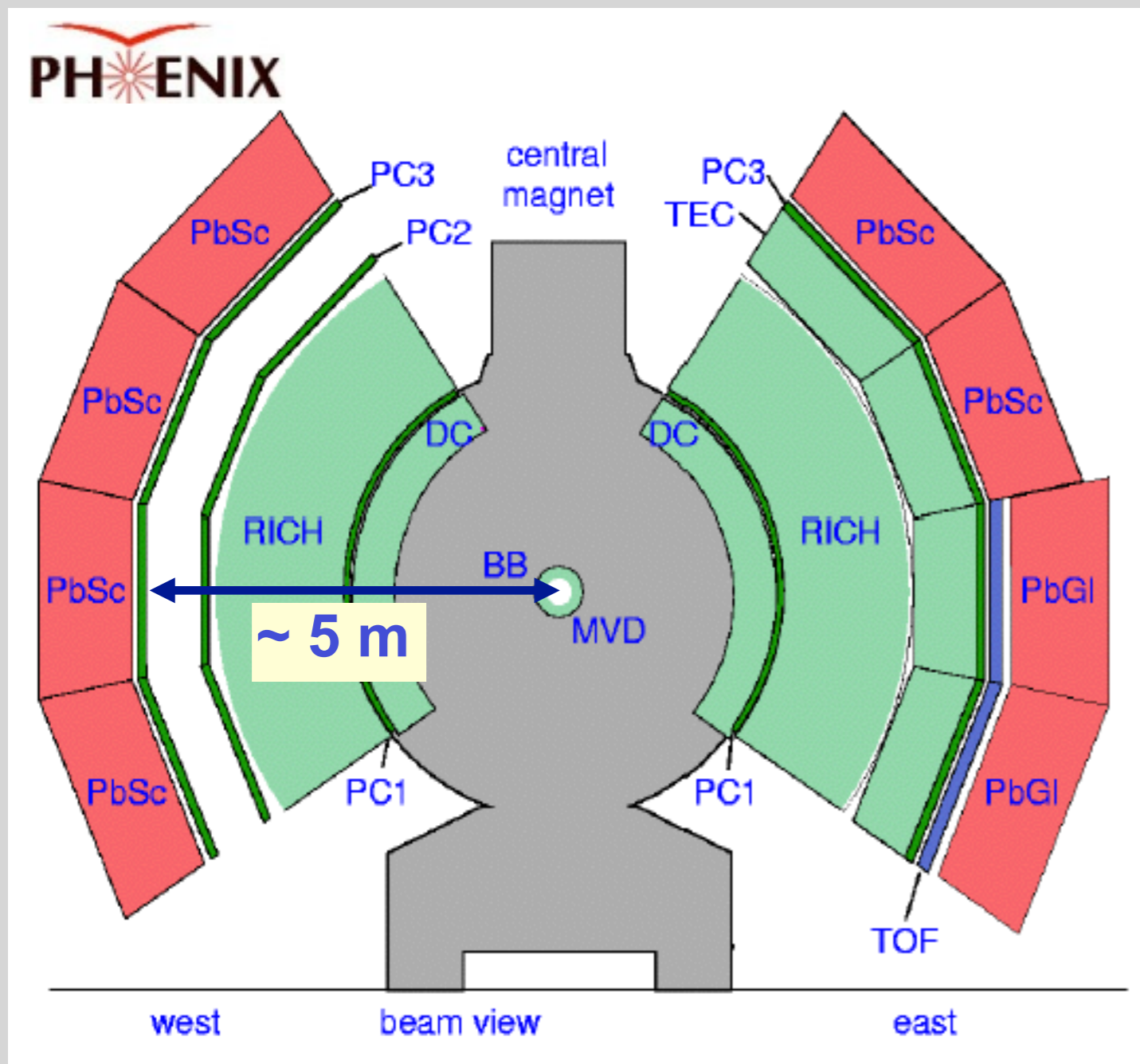
Pocket formula:

$$\frac{1}{p_T} \frac{dN_{\pi^0}}{dp_T} \propto 1/p_T^n$$

$$\Rightarrow \frac{\gamma_{\pi^0}^{\text{decay}}}{\pi^0} = \frac{2}{n-1} \approx \mathbf{0.28 \text{ at RHIC}}$$

$$\gamma_{\text{direct}} = \gamma_{\text{inclusive}} - \gamma_{\text{decay}}$$

PHENIX: Photon and Electron Detectors



- EMCal:
PbSc (6 sectors) + PbGl (2 sectors)
- PbSc :
 - ◆ Highly segmented **lead scintillator** sampling calorimeter
 - ◆ Module size:
5.5 cm x 5.5 cm x 37 cm
- PbGl:
 - ◆ Highly segmented **lead glass Cherenkov** calorimeter
 - ◆ Module size:
4.0 cm x 4.0 cm x 40 cm
- Ring Imaging Cherenkov Detector (RICH):
 - ◆ Electron identification (together with E/p matching in EMCal)
 - ◆ No signal for charged pions with $p < 4.6 \text{ GeV}/c$

Pseudorapidity coverage :
 $|\eta| < 0.35$

Direct Photons: Statistical Subtraction Method

$$\pi^0 \rightarrow \gamma + \gamma, \quad \eta \rightarrow \gamma + \gamma, \quad \dots$$

$$\begin{aligned} \gamma_{\text{direct}} &= \gamma_{\text{inclusive}} - \gamma_{\text{backgr}} = \left(1 - \frac{\gamma_{\text{backgr}}/\pi^0}{\gamma_{\text{inclusive}}/\pi^0}\right) \cdot \gamma_{\text{inclusive}} \\ &= (1 - 1/R) \cdot \gamma_{\text{inclusive}} \end{aligned}$$

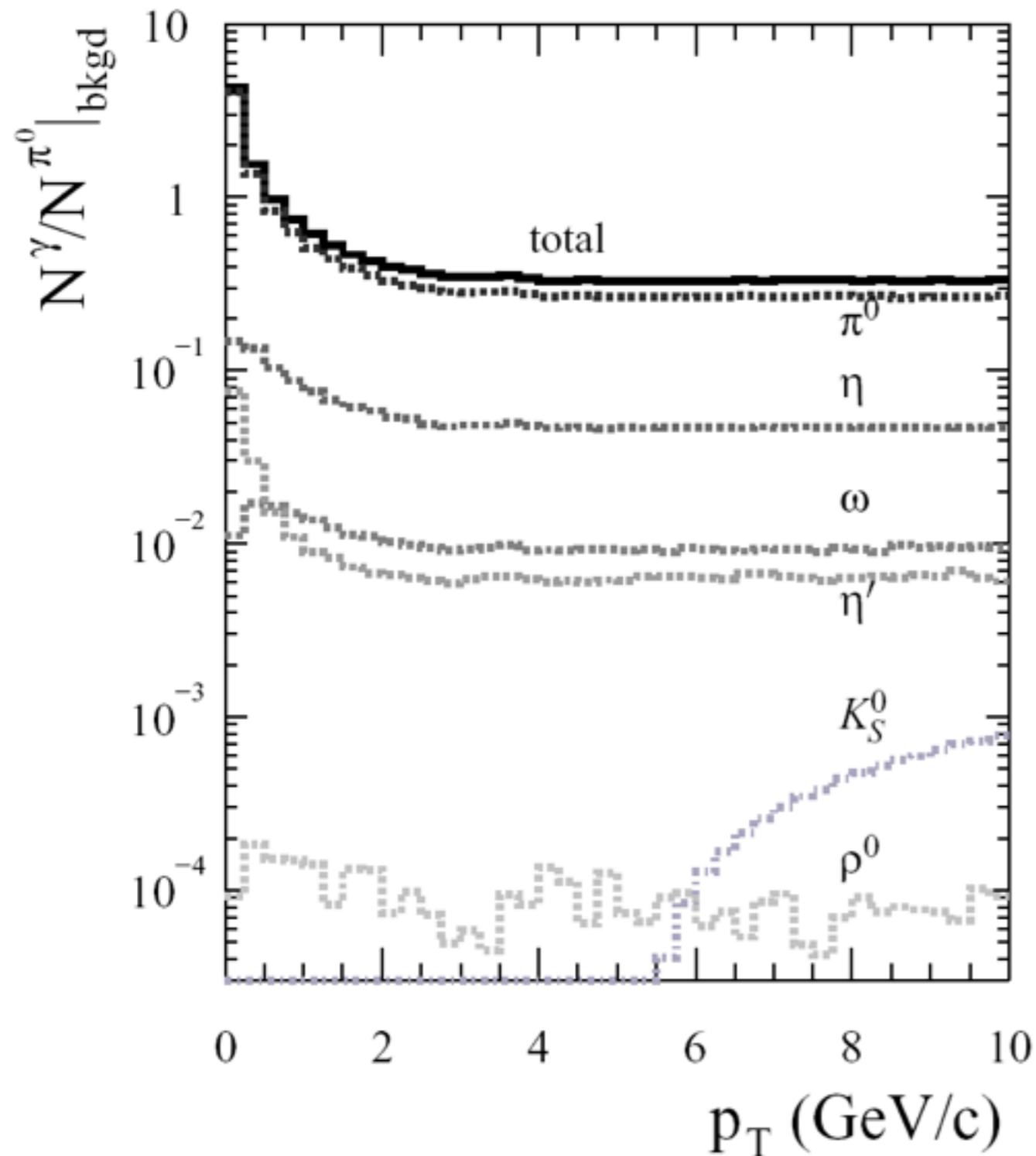
with

$$R = \frac{\gamma_{\text{inclusive}}}{\gamma_{\text{backgr}}} = 1 + \frac{\gamma_{\text{direct}}}{\gamma_{\text{backgr}}} \equiv \frac{(\gamma_{\text{inclusive}}/\pi^0)_{\text{meas}}}{(\gamma_{\text{backgr}}/\pi^0)_{\text{calc}}}$$

**Calculated based on
measured π^0 and η spectrum
(includes ω , η' , ... decays)**

**Systematic errors
(e.g. energy scale non-
linearity)
partially cancel in this ratio**

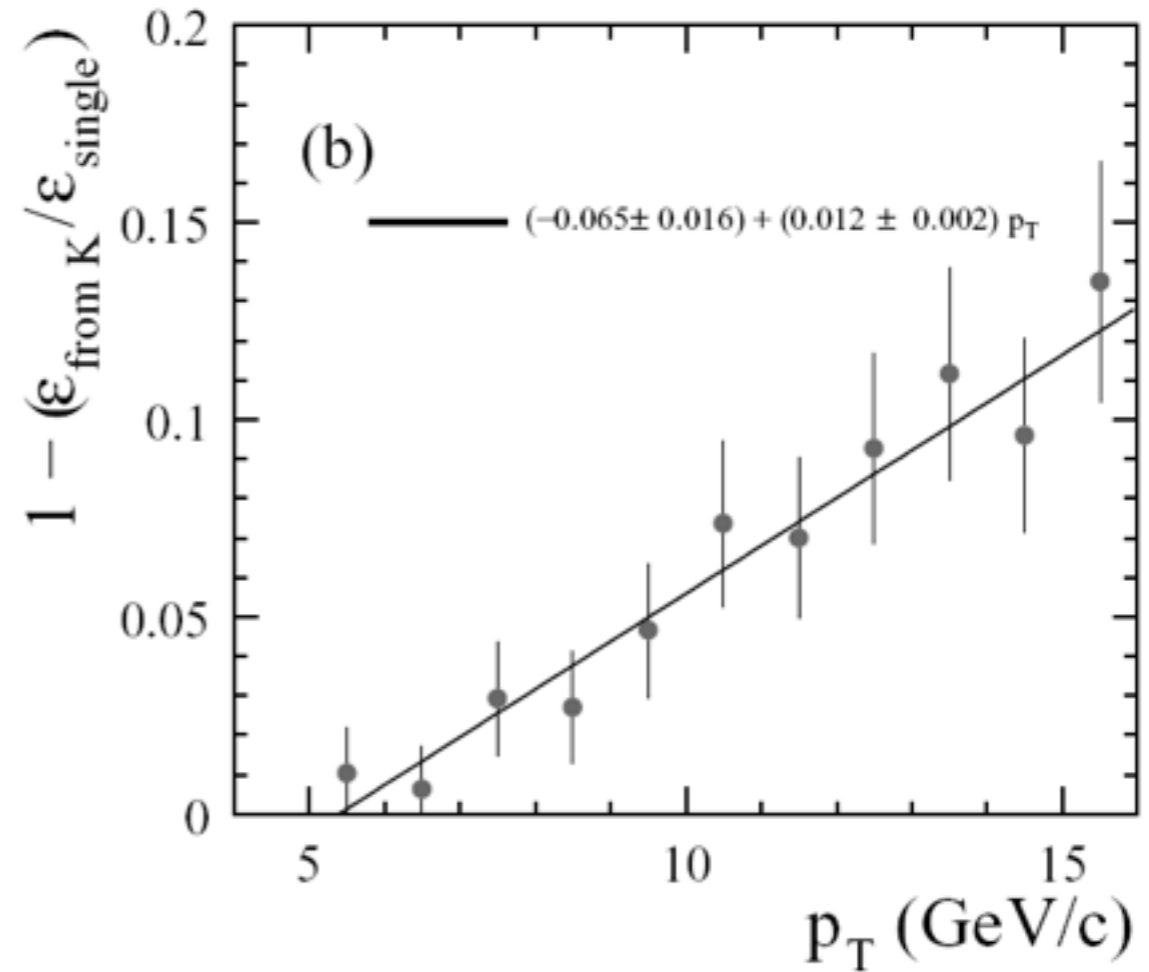
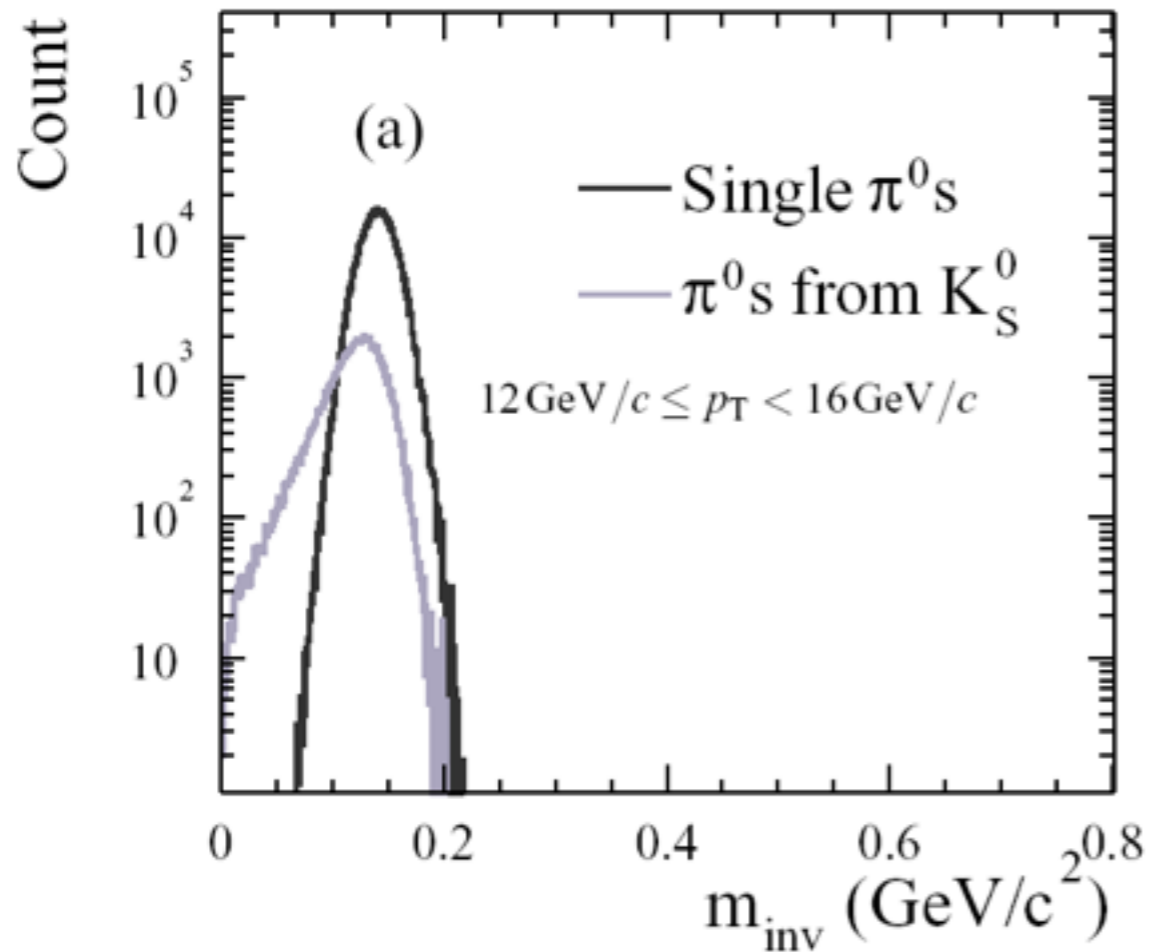
Decay Photon Calculation



- Simple Monte Carlo code
- Pure kinematics (no detector simulation needed)
- $\sim 96\%$ of the background photons from π^0 and η decays
- Simulation based on measured π^0 input spectra
- Decay photons from other mesons are based on m_T scaling to the π^0 spectrum

Background Photons from $K_s^0 \rightarrow \pi^0 + \pi^0$

Probability to miss a π^0 from $K_s^0 \rightarrow \pi^0 + \pi^0$
in the π^0 reconstruction due to displaced decay vertex



$$K_s^s : c\tau_0 = 2.67 \text{ cm} \quad L_{\text{lab}} = v \cdot \gamma \cdot \tau_0 = \beta \cdot \gamma \cdot \tau_0 \cdot c \quad \beta \cdot \gamma = \frac{p}{mc}$$

p	1 GeV	5 GeV	10 GeV
\langle	5,37 cm	26,9 cm	53,7 cm

Formula for Fully Corrected Inclusive Photon Spectrum

Fraction of neutral background (neutron, anti-neutrons)

Fraction of charged clusters

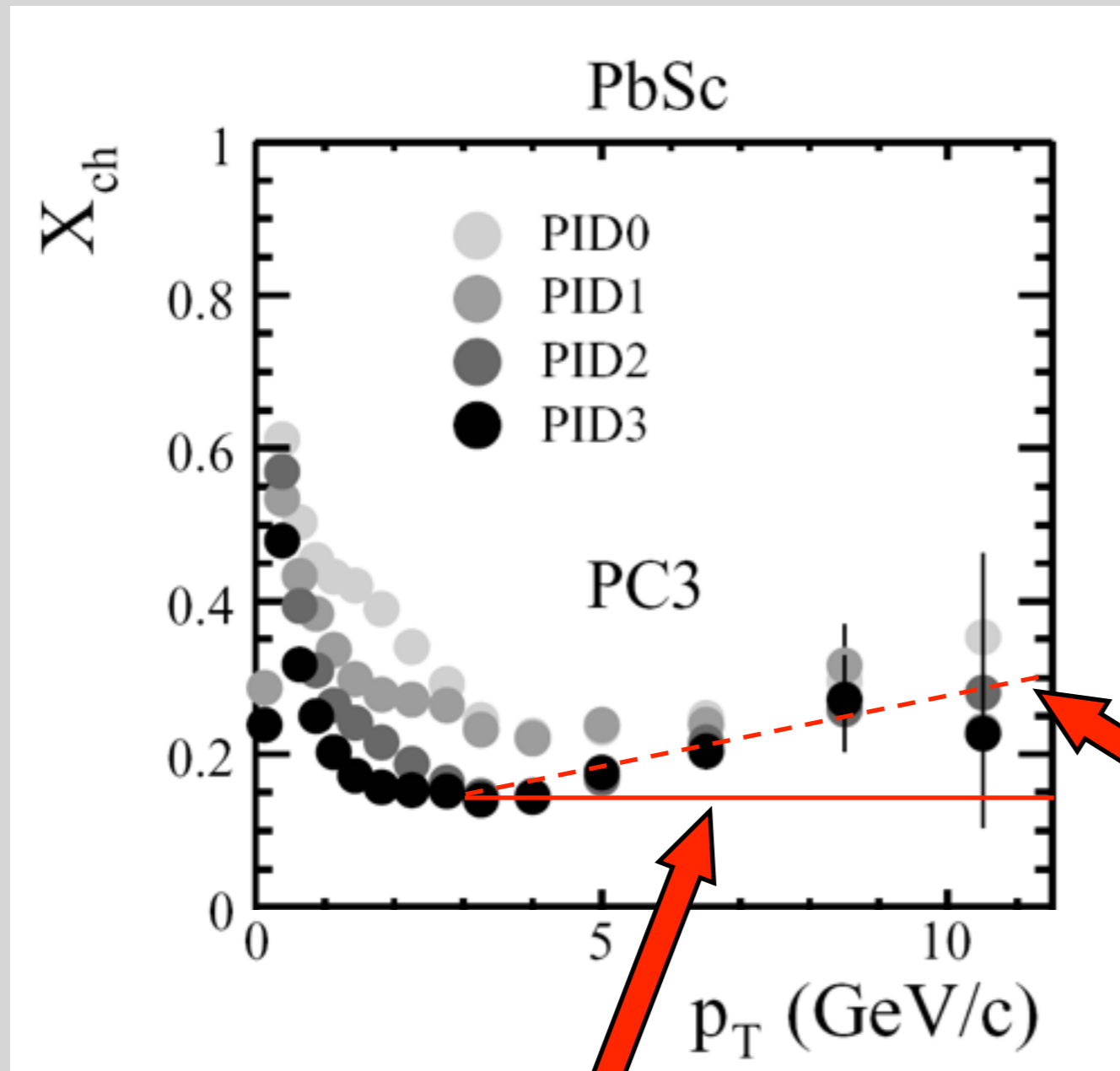
$$\frac{1}{2\pi p_T N_{in}} \left. \frac{d^2 N_\gamma}{dp_T dy} \right|_{incl} = \frac{1}{2\pi p_T N_{in}} \cdot \frac{(1 - X_{n\bar{n}}) \cdot (1 - X_{ch})}{\epsilon_\gamma \cdot a_\gamma \cdot c_{conv}} \cdot \frac{\Delta N_{cluster}}{\Delta p_T \Delta y},$$

efficiency

acceptance

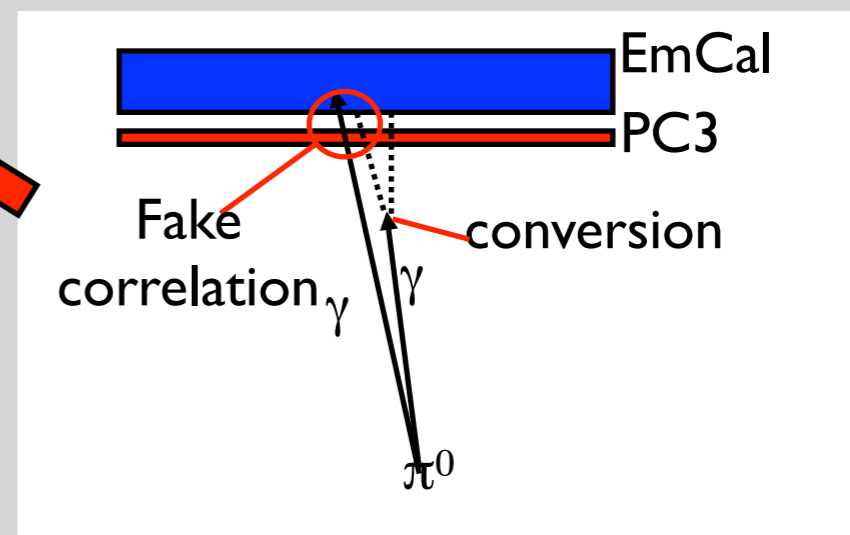
photon conversion

Charged Background: X_{ch}



final correction

- At low p_T , mostly hadrons that are reconstructed in the calorimeter
- $X_{ch} > 0$ at high p_T largely due to photon conversion
- Artificial decay photon-charged hit (PC3) correlations at high p_T :



Neutral Background: X_{nn}

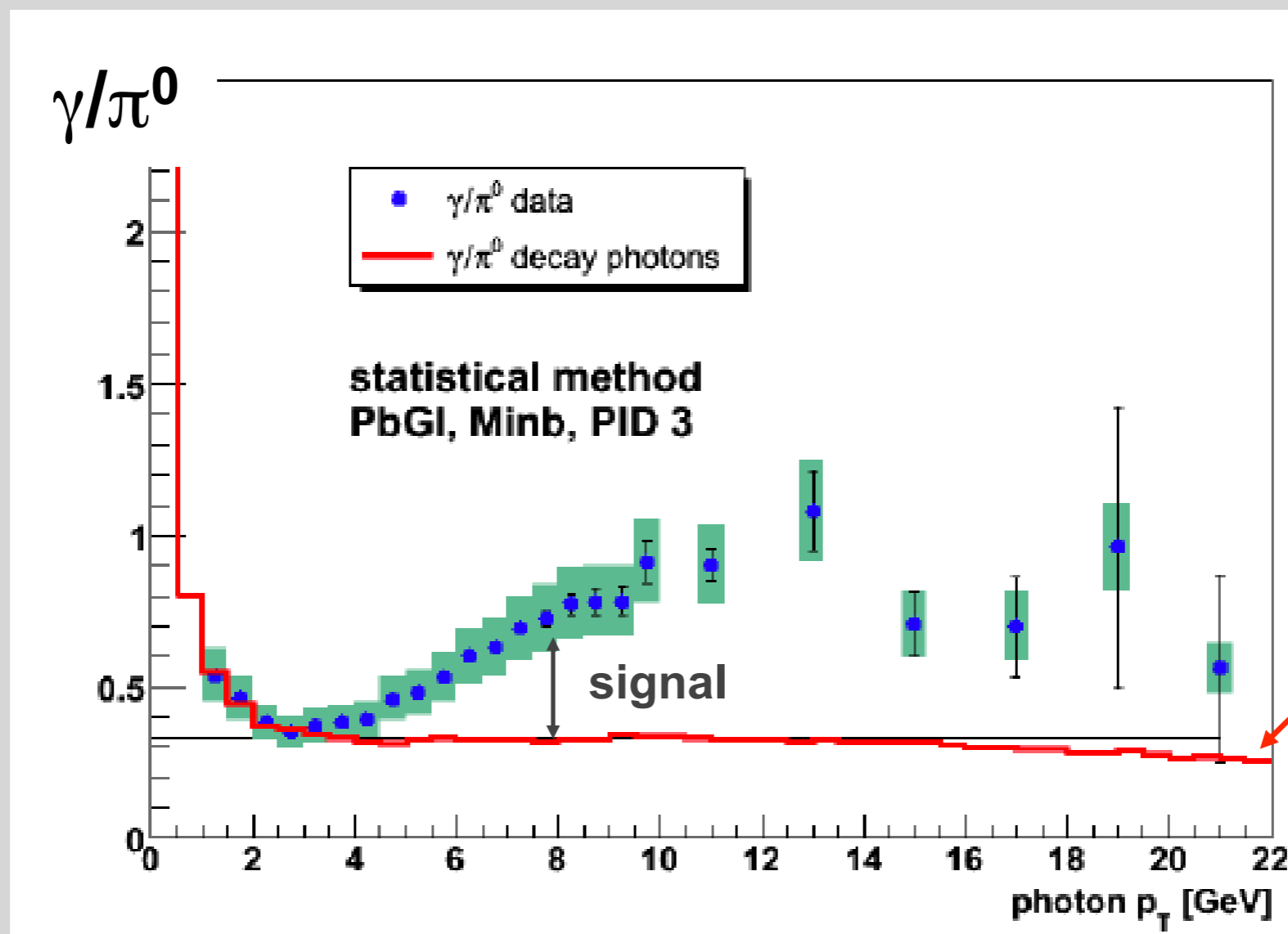
- Background from neutrons and antineutrons needs to be simulated (GEANT for detector response to neutrons)
- Input neutron and anti-neutron spectra not measured, but “determined” from measured proton and anti-proton spectra

$$\frac{d^2N}{dp_T dy} \Big|_{\bar{n}} = \frac{d^2N}{dp_T dy} \Big|_{\bar{p}},$$
$$\frac{d^2N}{dp_T dy} \Big|_n = \frac{d^2N}{dp_T dy} \Big|_{\bar{p}} + \left(\frac{d^2N}{dp_T dy} \Big|_p - \frac{d^2N}{dp_T dy} \Big|_{\bar{p}} \right) \frac{A-Z}{Z}$$

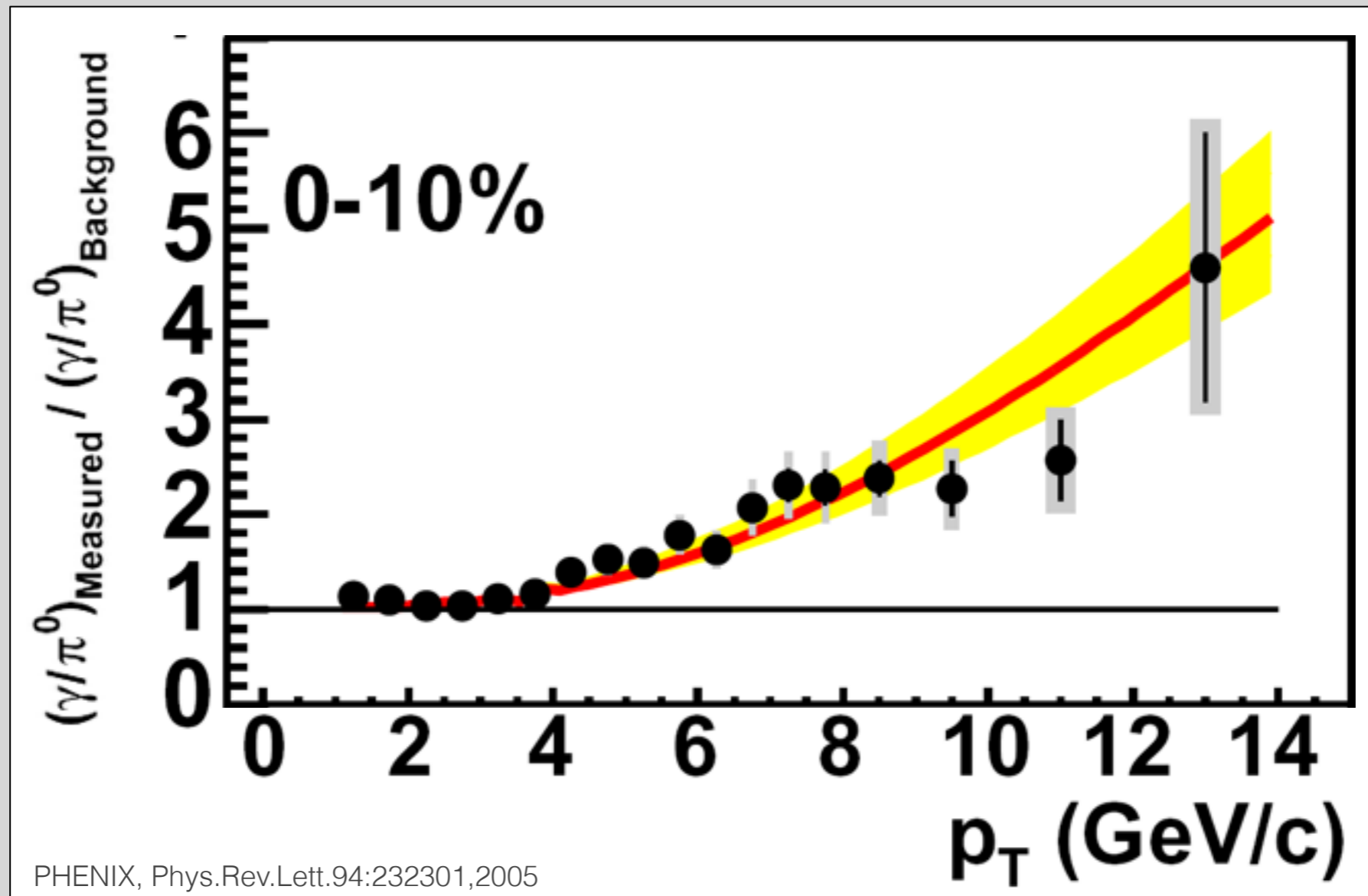
The γ/π^0 ratio

remember $R = \frac{\gamma_{\text{inclusive}}}{\gamma_{\text{backgr}}} = 1 + \frac{\gamma_{\text{direct}}}{\gamma_{\text{backgr}}} \equiv \frac{(\gamma_{\text{inclusive}}/\pi^0)_{\text{meas}}}{(\gamma_{\text{backgr}}/\pi^0)_{\text{calc}}}$

Calculated based
on measured
 π^0 and η spectra



Result: Double Ratio



Multiply Inclusive Photon Spectrum by the double ratio to obtain direct-photon spectrum (and add systematic uncertainties of the inclusive photon spectrum which cancelled in the double ratio)

Systematic Uncertainties of the Subtraction Method

- π^0 measurement
 - Peak extraction
 - Yield correction (acceptance + efficiency)
 - Energy scale
- Inclusive photon measurement
 - Non-photon background
 - Yield correction (acceptance + efficiency)
 - Energy scale

Many systematic uncertainties of π^0 and photon measurements are highly correlated!

Non-linearity in the EM calorimeter is also crucial. It is vital, for instance, that two 3 GeV photons have the identical response as one 6 GeV photon.

Systematic Uncertainties

(Example: PHENIX, Run-2 Au+Au)

π^0 error source	PbGl		PbSc	
	3.25 GeV/c	8.5 GeV/c	3.25 GeV/c	8.5 GeV/c
Yield extraction	8.7%	7%	9.8%	7.2%
Yield correction	12%	12%	12%	13.3%
Energy scale	13.8%	14.1%	10.5%	11.4%
Total systematic	20.3%	19.5%	18.8%	19%
Statistical	10.6%	32.5%	3%	13.1%
γ error source				
Non- γ correction	2.4%	2.4%		
Yield correction	10.2%	12.0%		
Energy scale	15.7%	13.7%		
Total systematic	18.9%	18.4%	16.5%	16.7%
Statistical	1.2%	14.1%	0.7%	7.9%
γ/π^0 syst.	10.4%	10.4%	10.6%	10.6%
γ/π^0 stat.	10.7%	37.7%	3%	16.5%

Treating photon and π^0 measurements as independent would yield a **28% systematic uncertainty for γ/π^0**

Internal Conversion

Direct Photons via Internal Conversion

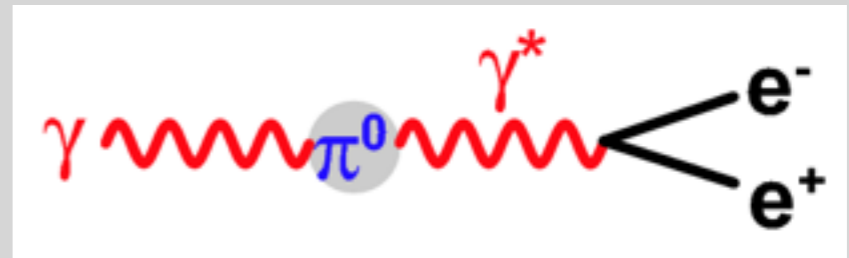
- Motivation:

Measure in low p_T region where thermal photons are expected and calorimetric measurements are difficult

- Internal conversion

- Any source of real photons also emits virtual photons

- Well known example: π^0 Dalitz decay

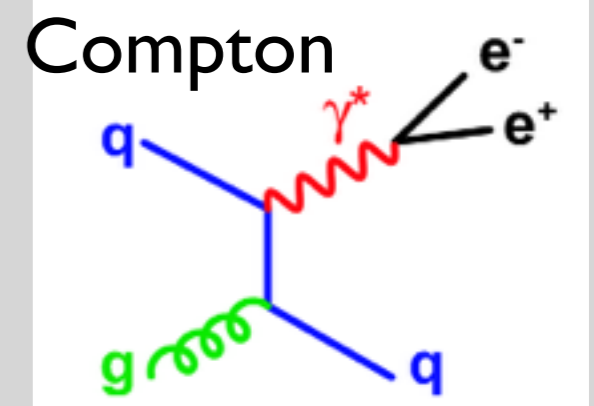


- Rate and m_{ee} distribution calculable in QED (Kroll-Wada formula)

- Hadron decays: $m_{ee} < M_{\text{hadron}}$

- Essentially no such limit for point-like processes, such as direct photons

Improve signal-to-background ratio by measuring e^+e^- pairs with $m_{ee} > \sim M_{\text{pion}}$



Kroll-Wada Formula

Number of virtual photons per real photon (in a given $\Delta\eta$ $\Delta\varphi$ Δp_T interval):

$$\frac{1}{N_\gamma} \frac{dN_{ee}}{dm_{ee}} = \frac{2\alpha}{3\pi} \frac{1}{m_{ee}} \sqrt{1 - \frac{4m_e^2}{m_{ee}^2}} \left(1 + \frac{2m_e^2}{m_{ee}^2}\right) S$$

invariant mass of Dalitz pair

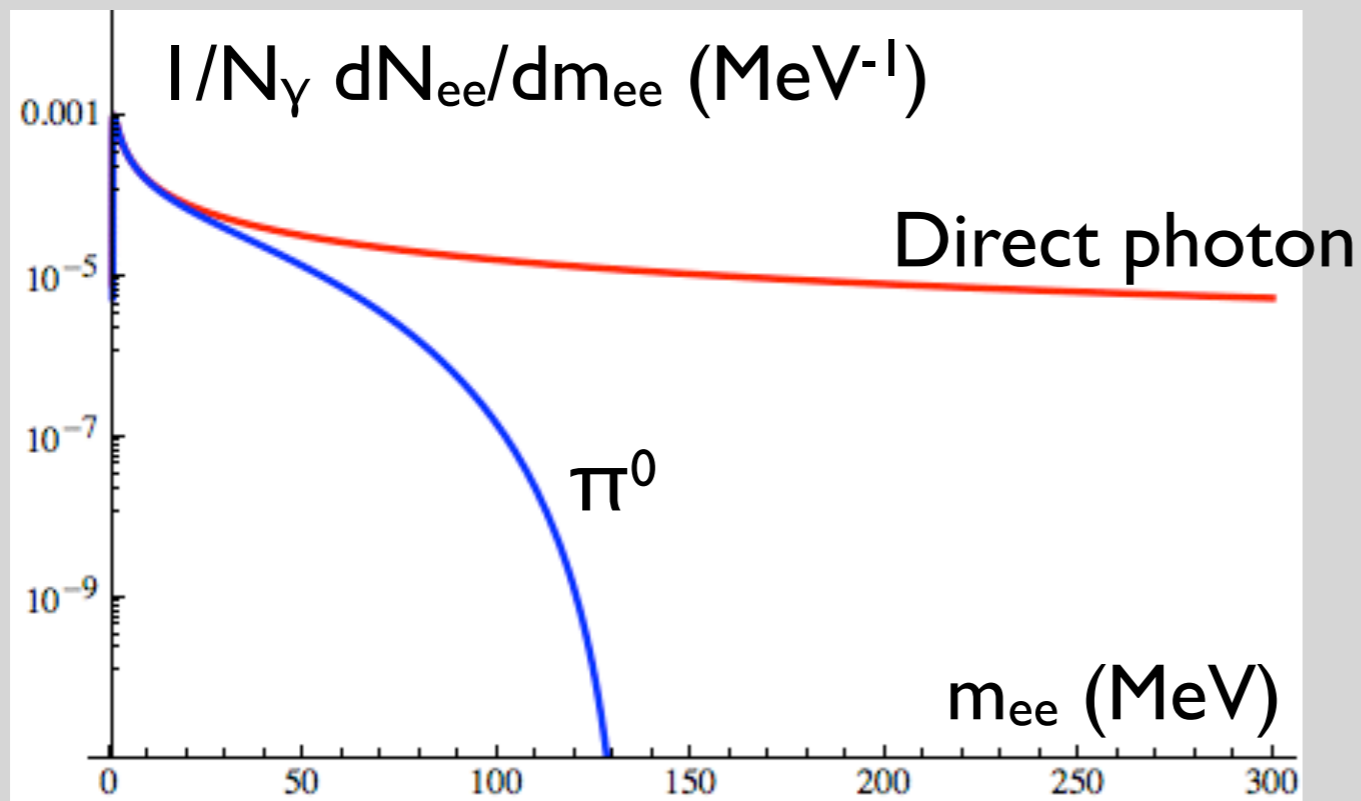
QED

phase space

Hadron decay: $S = |F(m_{ee}^2)|^2 \left(1 - \frac{m_{ee}^2}{M_h^2}\right)^3$

form factor

Point-like process: $S \approx 1$ (for $p_T^{ee} \gg m_{ee}$)



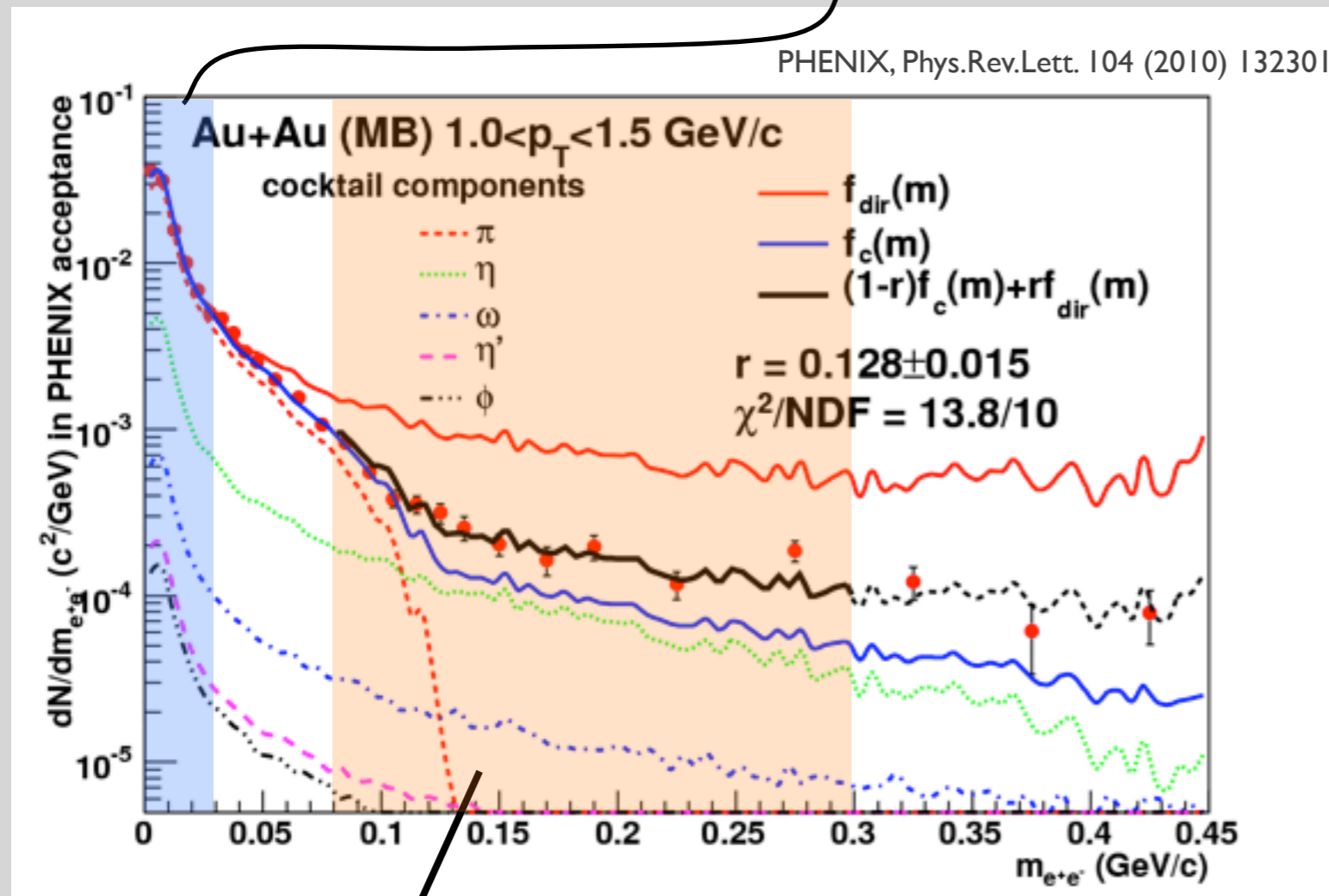
About 0.001 virtual photons with $m_{ee} > M_{\text{pion}}$ for every real photon

→ Avoid the π^0 background at the expense of a factor 1000 in statistics

Extraction of the Direct Photon Signal: Two-Component Fit

$$f(m_{ee}) = (1 - r) \cdot f_{\text{cocktail}}(m_{ee}) + r \cdot f_{\text{direct}}(m_{ee})$$

Separately normalized
to data at $m_{ee} < 30$ MeV



Fit range: $80 < m_{ee} < 300$ MeV

- Interpret deviation from hadronic cocktail (π , η , ω , η' , ϕ) as signal from virtual direct photons

- Extract direct photon fraction r with two-component fit

$$r = \frac{\gamma_{\text{direct}}^*}{\gamma_{\text{inclusive}}^*} \Big|_{m_{ee} < 30 \text{ MeV}}$$

- Fit yields good χ^2/NDF (13.8 / 10)

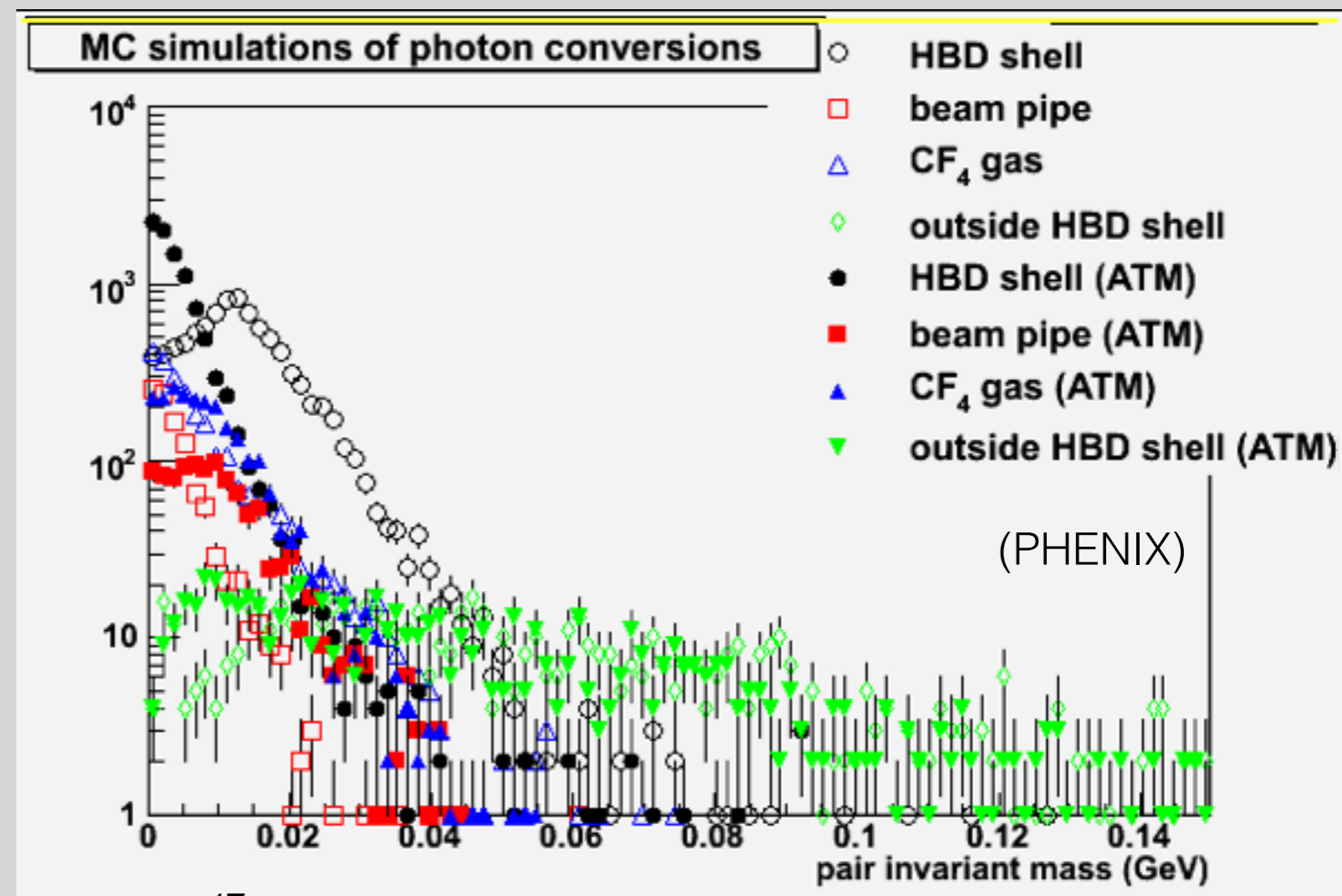
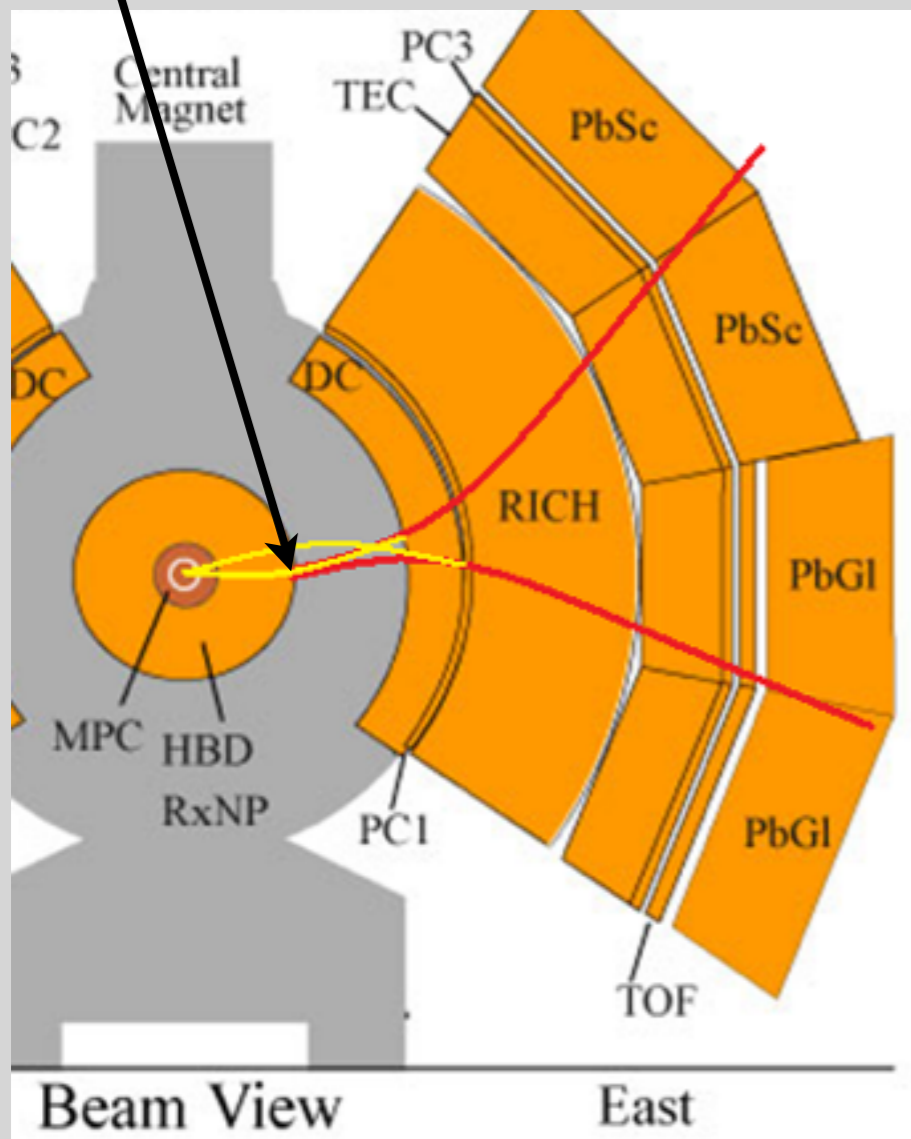
External Conversion

External Conversion: The Idea

- Use $\gamma \rightarrow e^+e^-$ conversion (pair production) and get photon properties (energy, momentum) from pair
- Use of tracking detectors for electron reconstruction allows better energy resolution
- Experiments use different methods for finding converted photons, depending on their detector capabilities
- ALICE: use displaced vertices
 - Tracking detectors find displaced vertex of e^+e^- pairs, pair is reconstructed as photon
- PHENIX: alternate track model
 - Look for e^+e^- pairs from conversion plane, then reconstruct photon properties for these pairs

PHENIX: The Alternate Track Model

- Photon conversions of interest originate from the HBD shell ($r \approx 60\text{cm}$)
- Reconstruction assumes event vertex as origin
- Can exploit this misreconstruction
- Can correct for this with an alternate track model assumption



Direct Photons - A Short Introduction: Part II

Baldo Sahlmüller, IKF Uni Frankfurt

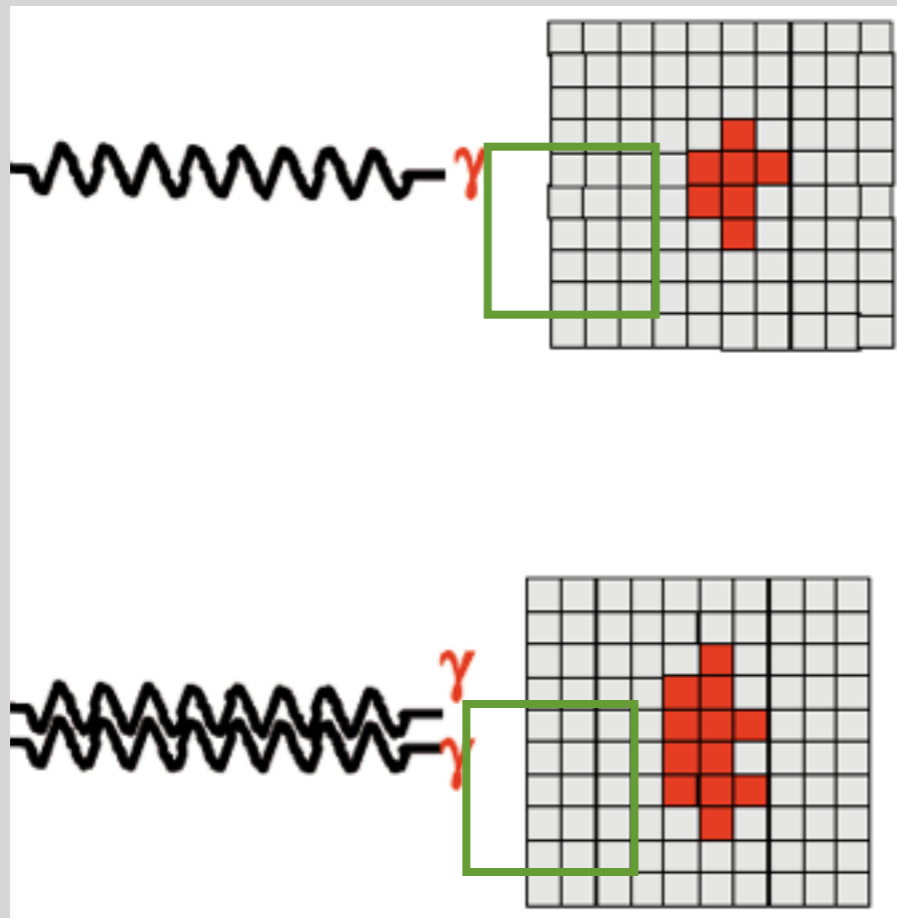
Lecture Week of the Helmholtz Research School
for Quark Matter Studies in Heavy Ion Collisions

November 4-9, 2012, Burg Ebernburg, Germany

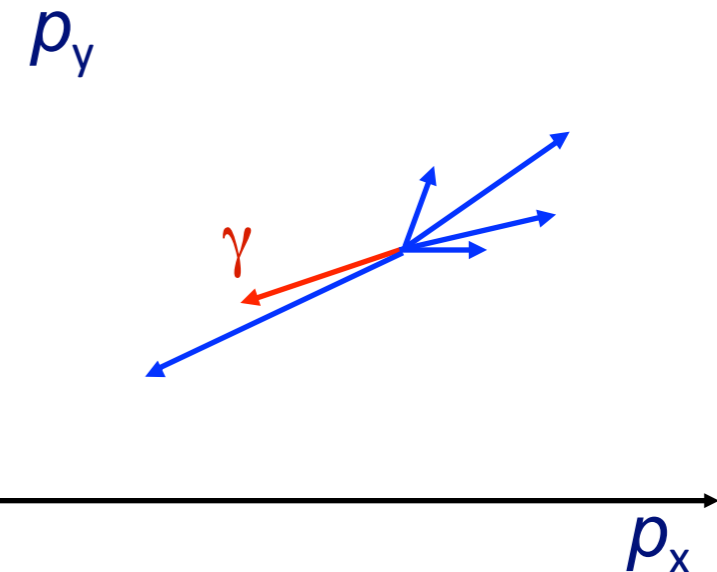
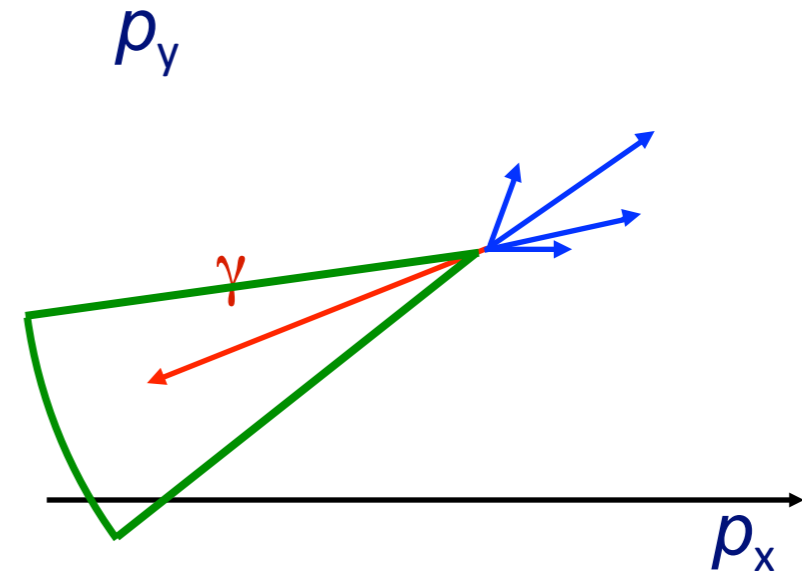
Results

Reminder I: Isolation Method

- Photons from hard scattering are “isolated”, i.e. not surrounded by other particles
- To remove merging decay photons from high p_T π^0 s, apply shower shape cuts



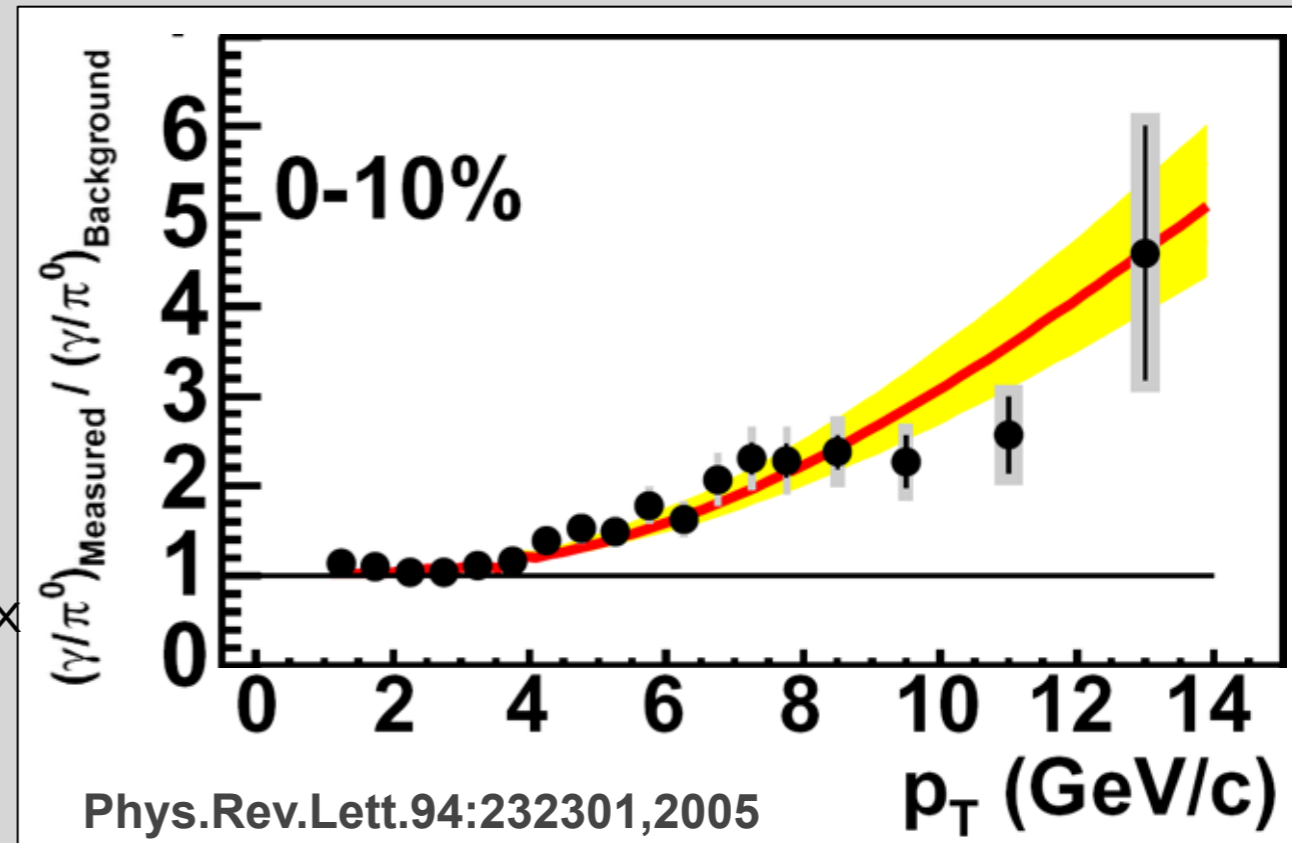
Transverse plane (Momentum)



Reminder 2: Statistical Subtraction

- Difficult (or impossible) to remove decay photons on an event-by-event basis, therefore do a statistical subtraction with all available data
- $\Upsilon_{\text{direct}} = \Upsilon_{\text{inclusive}} - \Upsilon_{\text{decay}}$
- For inclusive photons, remove contribution from hadrons and electrons, correct for detector effects
- Decay photons from simulation based on measured meson (π^0) spectra
- To minimize systematic uncertainties, use double ratio for subtraction, systematics between π^0 and inclusive Υ measurement partly correlated

PHENIX



$$\gamma_{\text{direct}} = \gamma_{\text{inclusive}} - \gamma_{\text{backgr}} = \left(1 - \frac{\gamma_{\text{backgr}}/\pi^0}{\gamma_{\text{inclusive}}/\pi^0}\right) \cdot \gamma_{\text{inclusive}}$$

$$= (1 - 1/R) \cdot \gamma_{\text{inclusive}}$$

$$R = \frac{\gamma_{\text{inclusive}}}{\gamma_{\text{backgr}}} = 1 + \frac{\gamma_{\text{direct}}}{\gamma_{\text{backgr}}} \equiv \frac{(\gamma_{\text{inclusive}}/\pi^0)_{\text{meas}}}{(\gamma_{\text{backgr}}/\pi^0)_{\text{calc}}}$$

Reminder 3: Internal Conversion

- Each source of real photons also emits virtual photons that decay into e^+e^- pair
- Invariant mass shape described by Kroll-Wada formula
- Measured dielectron spectrum fit with function composed of cocktail and direct photon shape (from Kroll-Wada)
- Fraction of direct photons and inclusive photons from fit:

$$r = \frac{\gamma_{\text{direct}}^*}{\gamma_{\text{inclusive}}^*} \Big|_{m_{ee} < 30 \text{ MeV}}$$

Kroll-Wada-Formula

$$\frac{1}{N_\gamma} \frac{dN_{ee}}{dm_{ee}} = \frac{2\alpha}{3\pi} \frac{1}{m_{ee}} \sqrt{1 - \frac{4m_e^2}{m_{ee}^2}} \left(1 + \frac{2m_e^2}{m_{ee}^2}\right) S$$

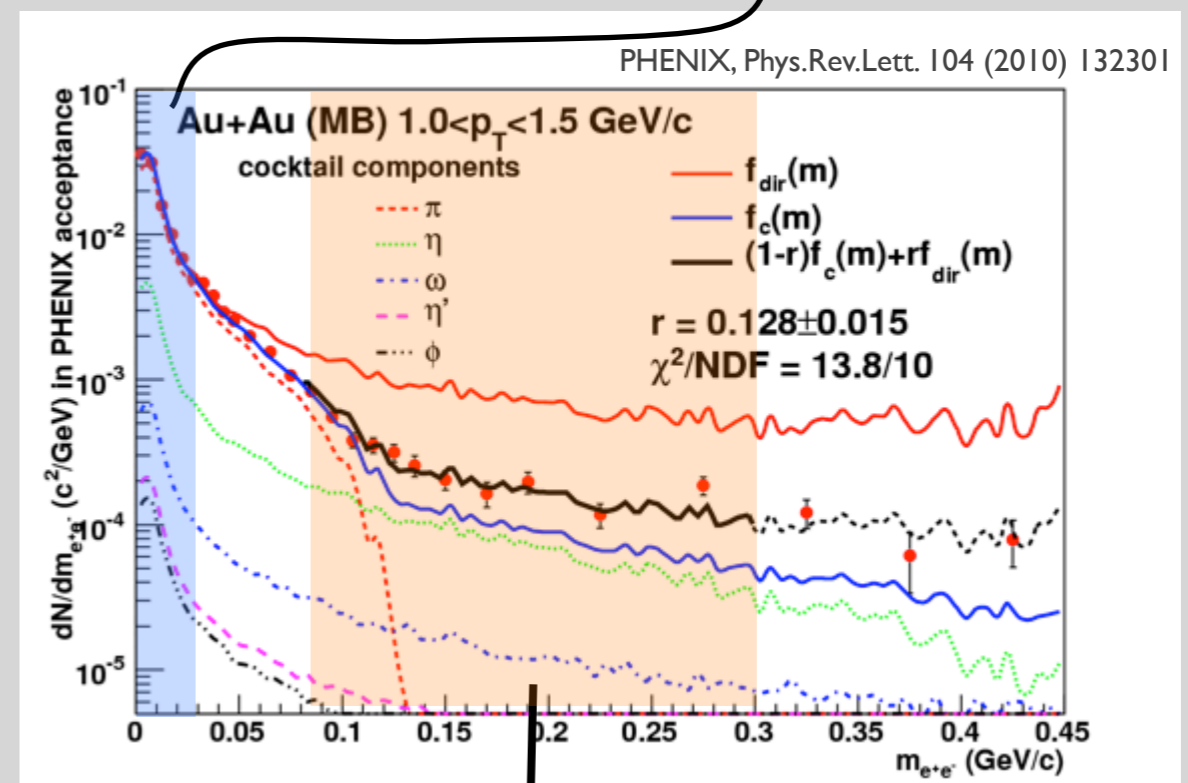
invariant mass
of Dalitz pair

QED

phase space
form factor

$$f(m_{ee}) = (1 - r) \cdot f_{\text{cocktail}}(m_{ee}) + r \cdot f_{\text{direct}}(m_{ee})$$

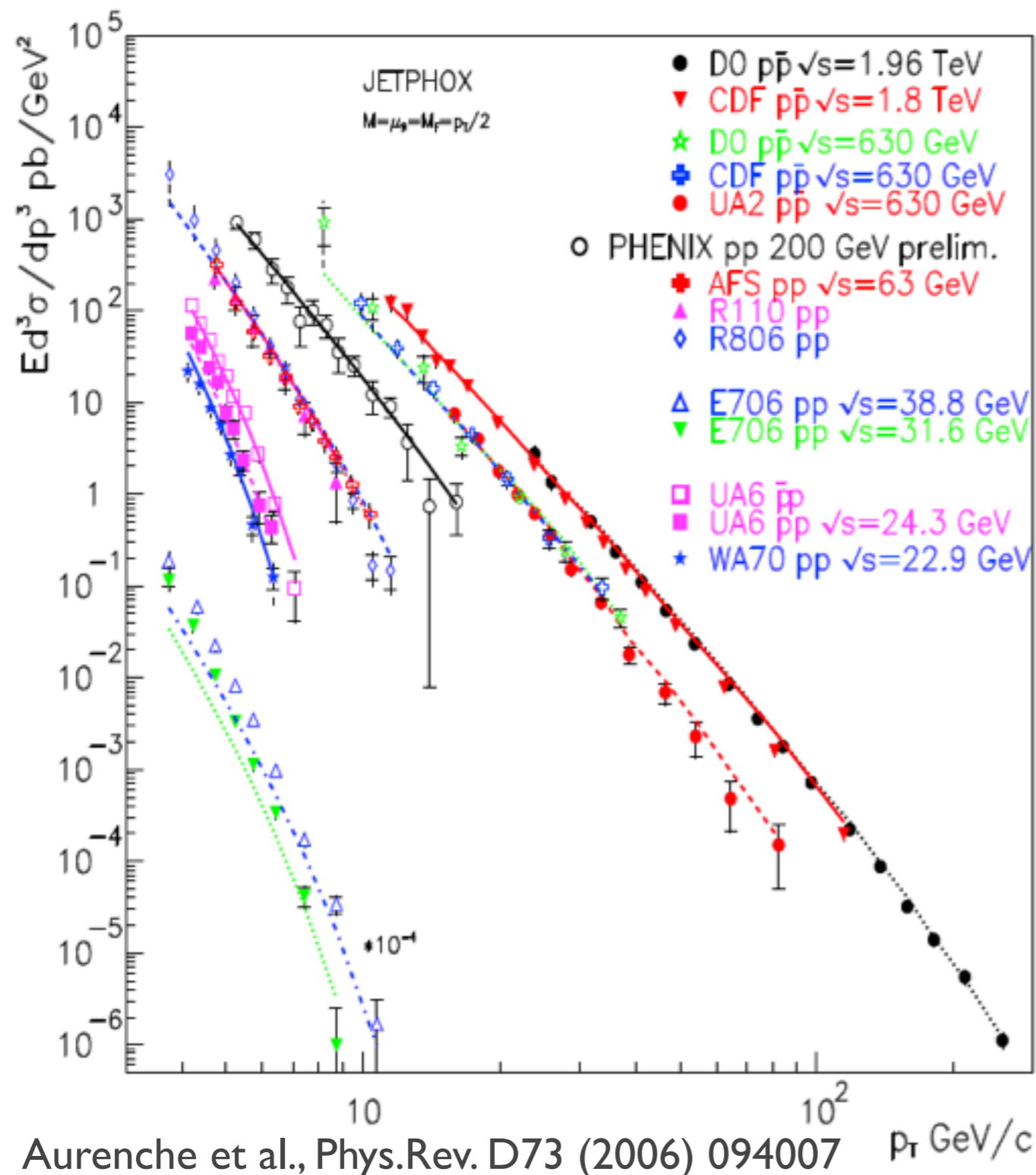
Separately normalized
to data at $m_{ee} < 30 \text{ MeV}$



Fit range: $80 < m_{ee} < 300 \text{ MeV}$

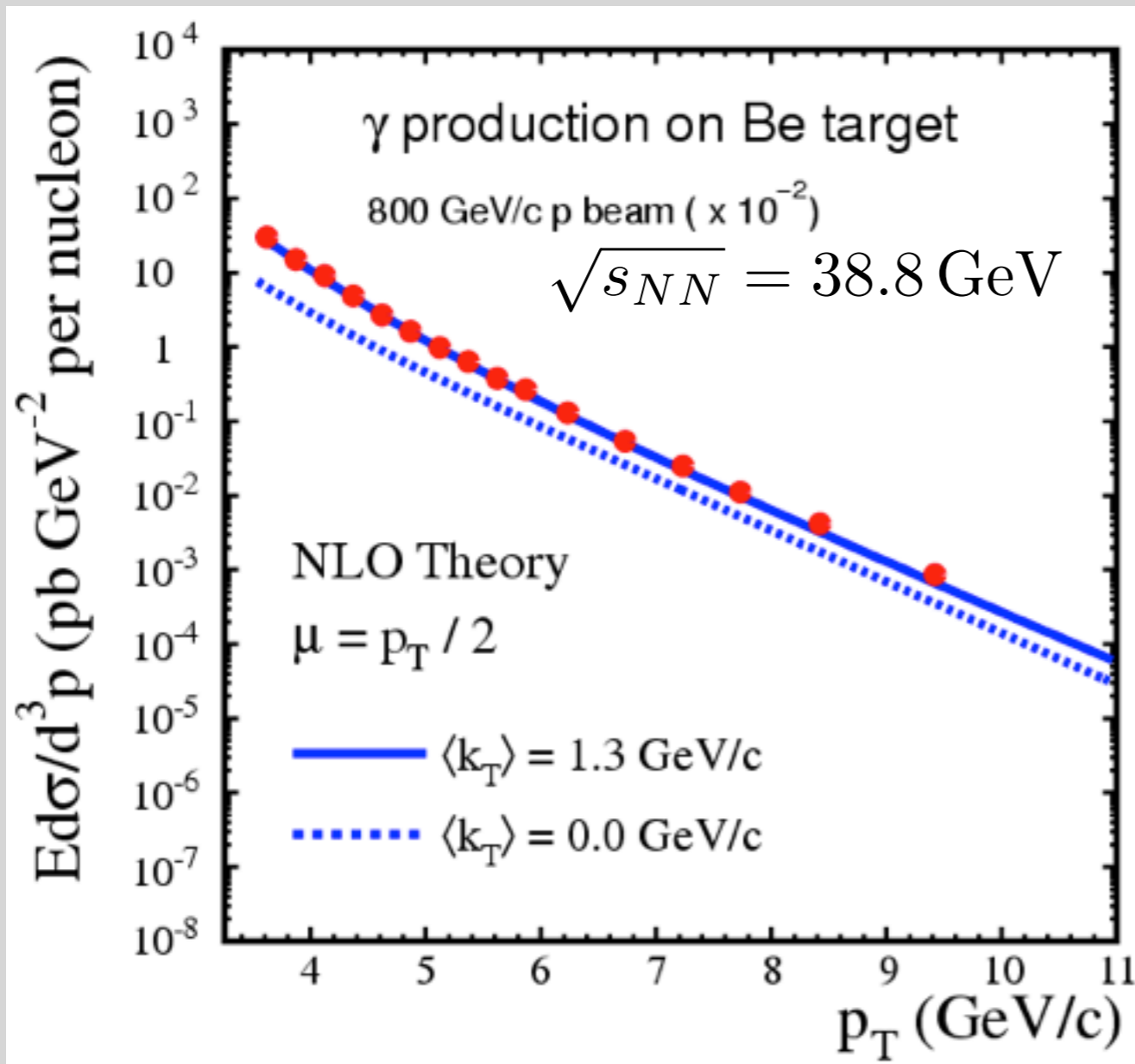
$p+p$ Collisions

$p+p(p \text{ bar})$ Direct Photon Data and pQCD – Status as of ~ 2006 (I)



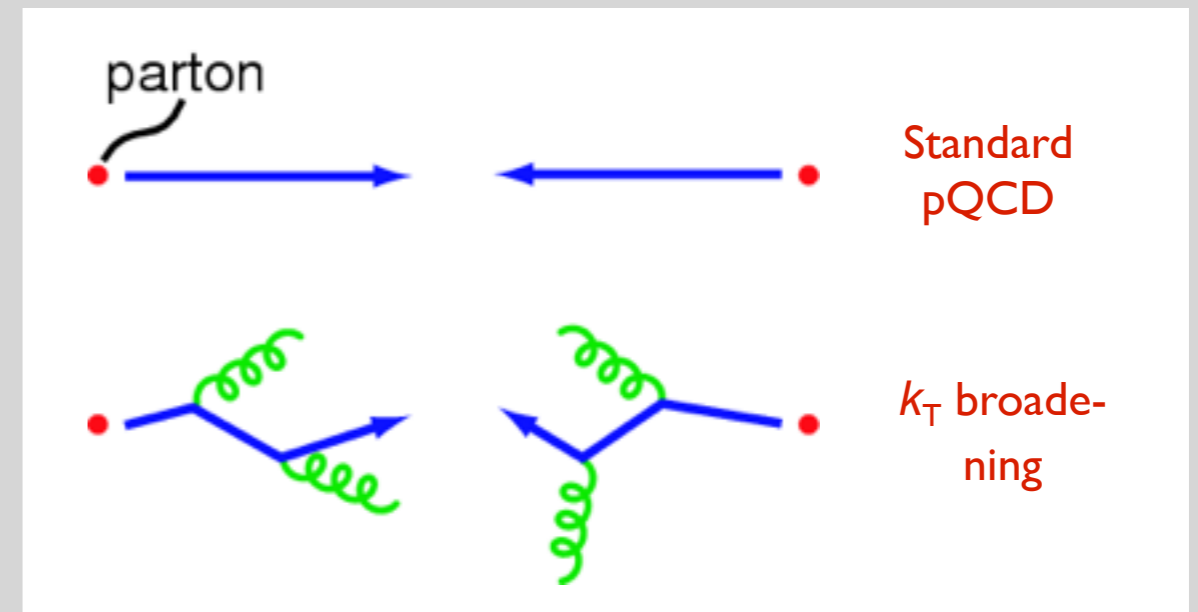
- Decent agreement at large \sqrt{s}
- Substantial deviations between data and NLO pQCD at small \sqrt{s}
- Questions:
 - Is there a systematic pattern of deviation?
 - If so, can the introduction of additional transverse momentum (k_T) of initial partons improve the agreement?
 - Are the data sets mutually consistent?

Is k_T Broadening Needed to Describe Direct Photon Data?



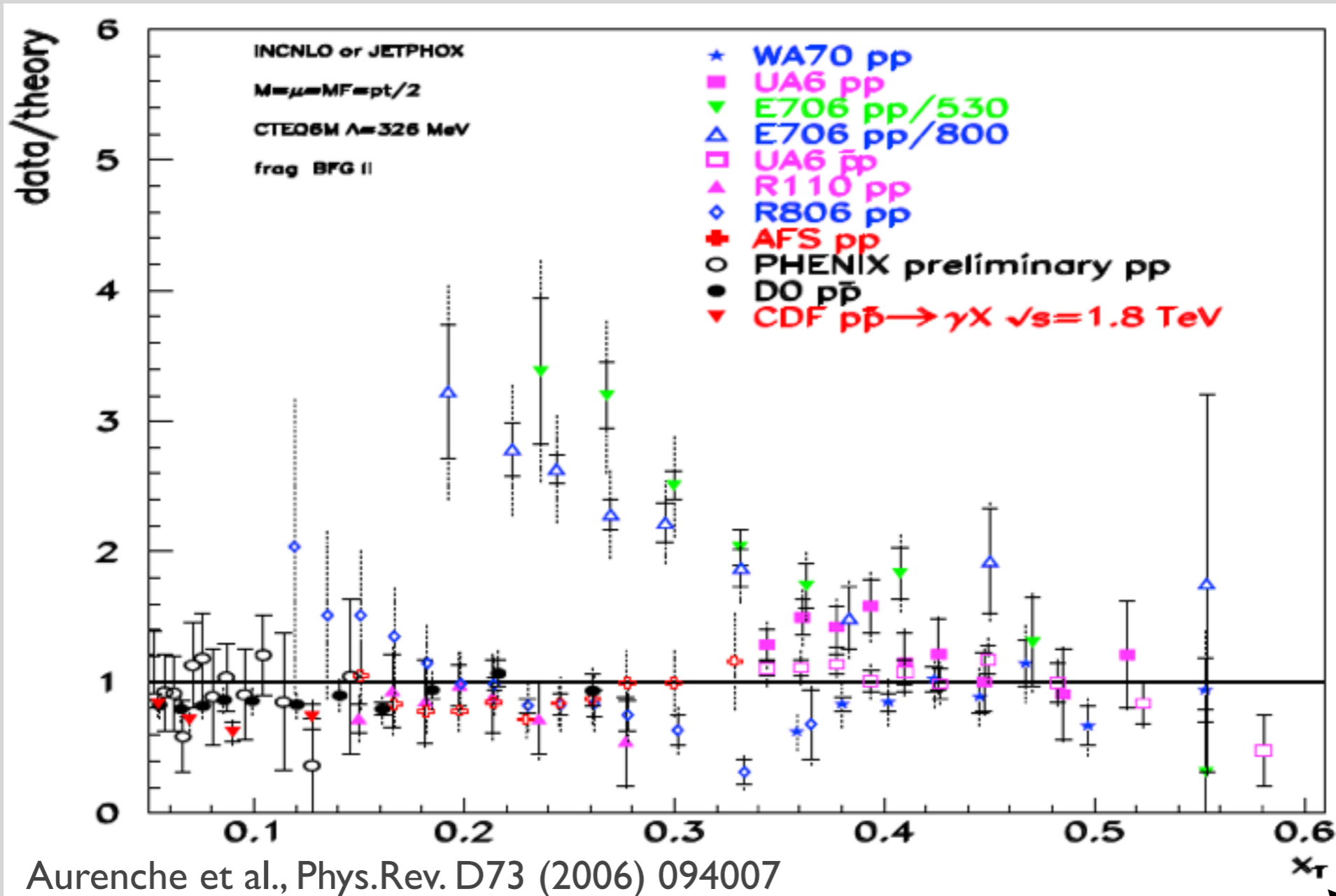
E706,
Phys.Rev.D70:092009,2004

- Data from E706 fixed target experiment can be explained with $\langle k_T \rangle \approx 1.3 \text{ GeV/c}$



Is there evidence for k_T broadening in $p+p$ at larger \sqrt{s} ?

p+p(p bar) Direct Photon Data and pQCD – Status as of ~ 2006 (II)

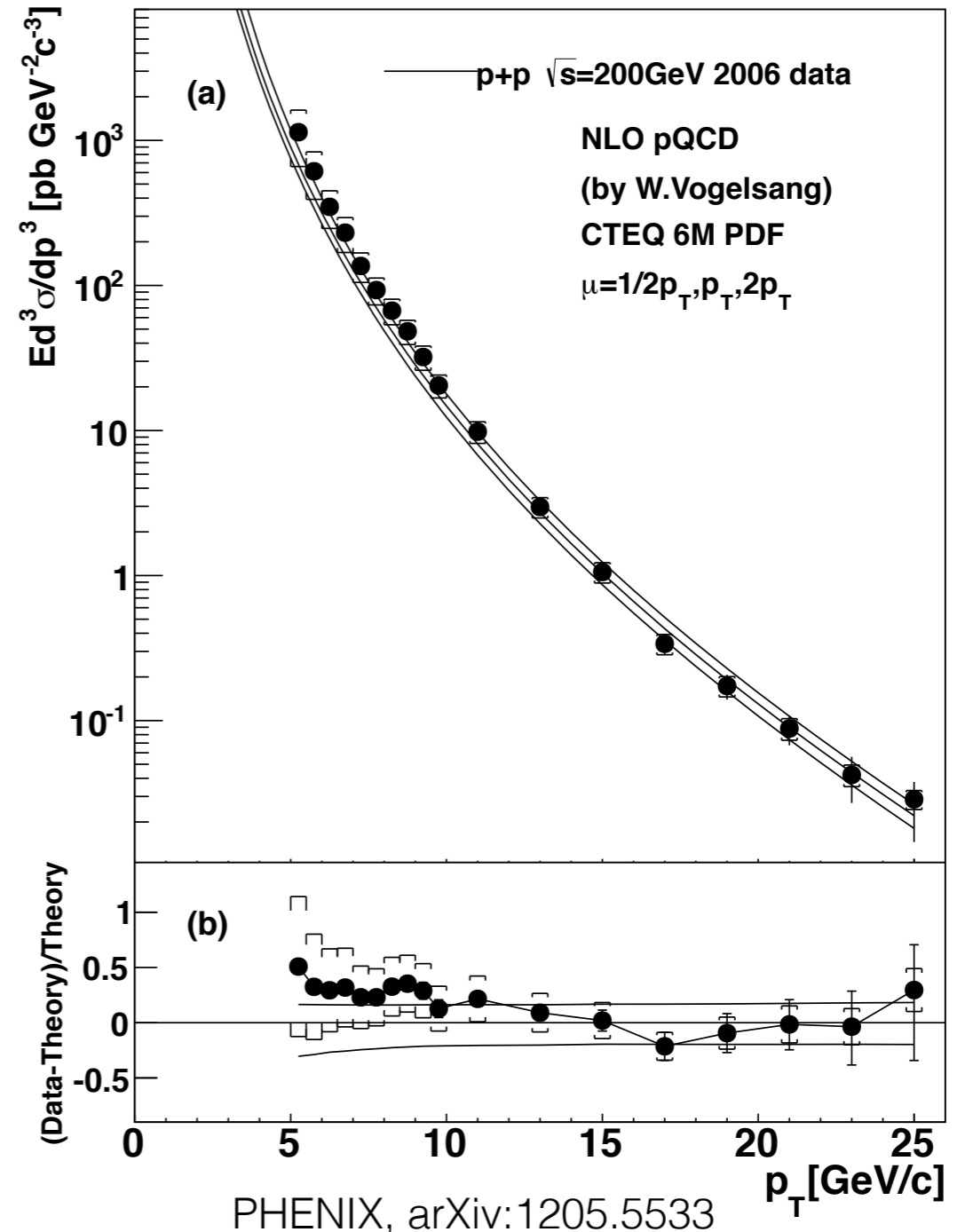


Only E706 data show strong deviation from NLO QCD.
 Probably need new data at low \sqrt{s} to settle the issue.

$$x_T = 2p_T / \sqrt{s}$$

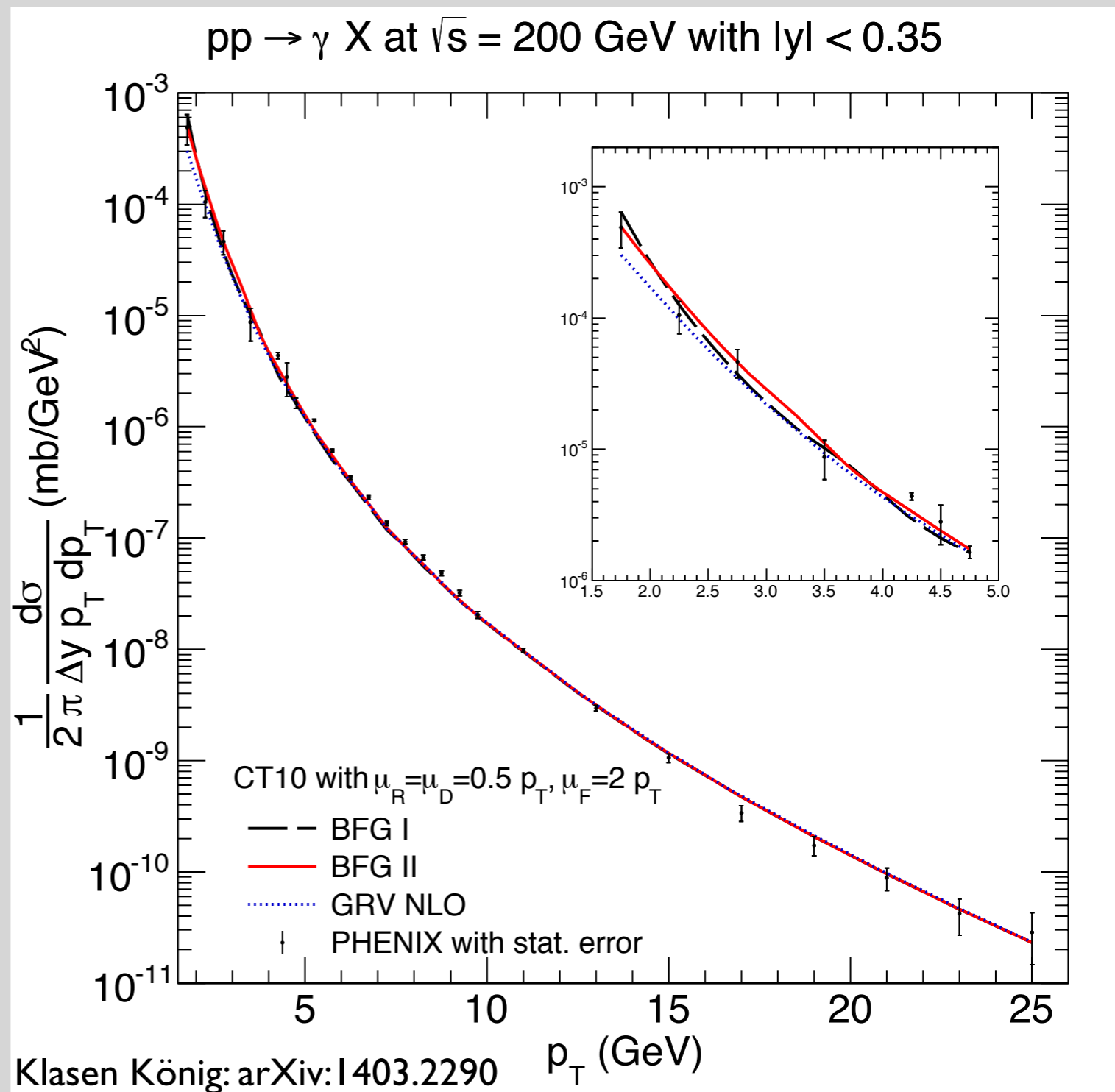
Direct Photons in $p+p$ at 200 GeV

- Analysis includes a TOF cut to remove contamination from cosmics at very high p_T
- Data compared to pQCD calculation at three different scales
- Good agreement between theory and data over whole p_T range
- pQCD dominated by directly produced photons (in contrast to fragmentation photons) at high p_T



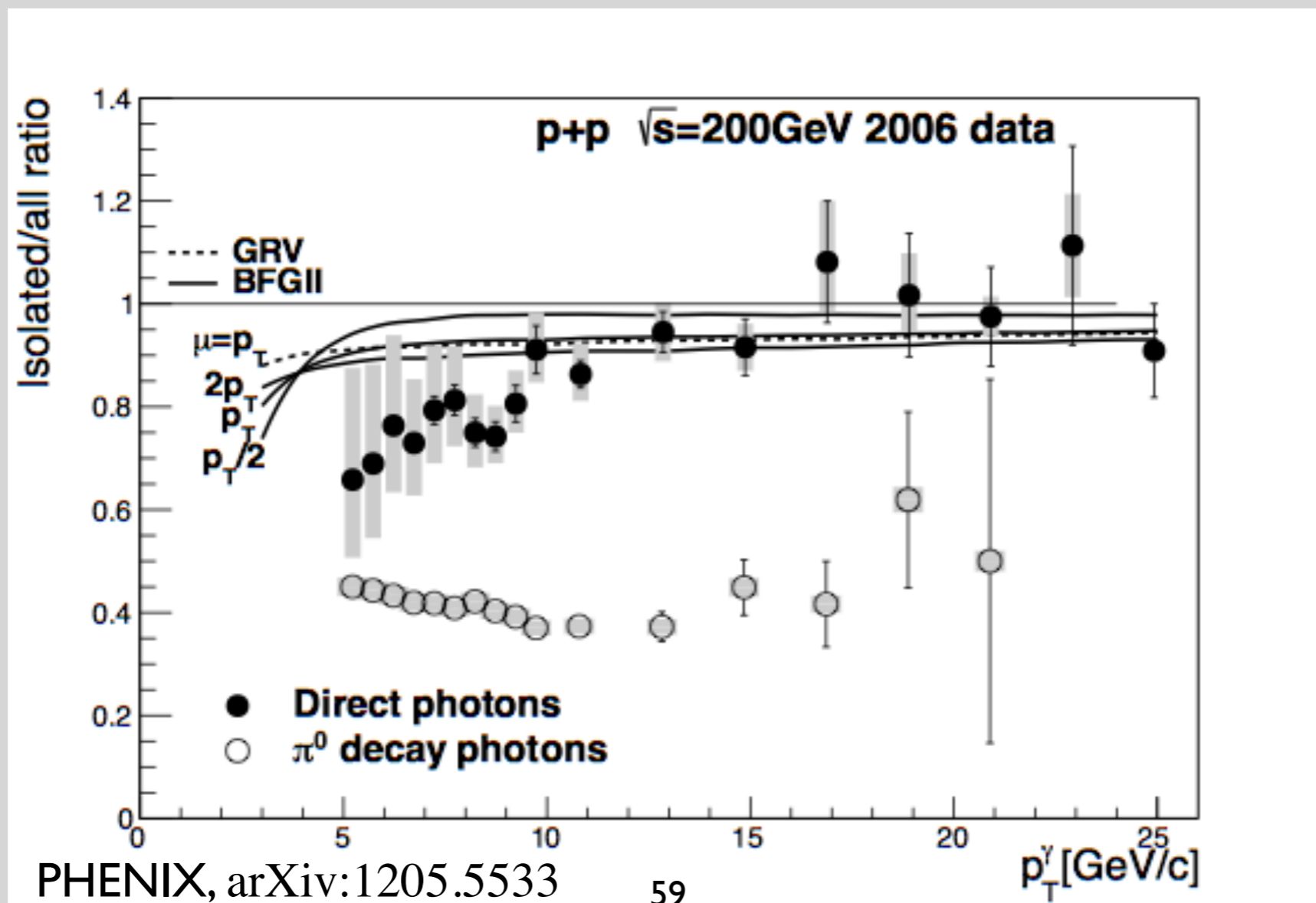
A more detailed look

- Higher precision PHENIX data at low p_T available
 - using internal conversion method
- pQCD calculations depend on fragmentation component
 - Data can further constrain fragmentation functions
- χ^2 test of available FF against data
 - high p_T part as control region
- Data favor BFG II FF

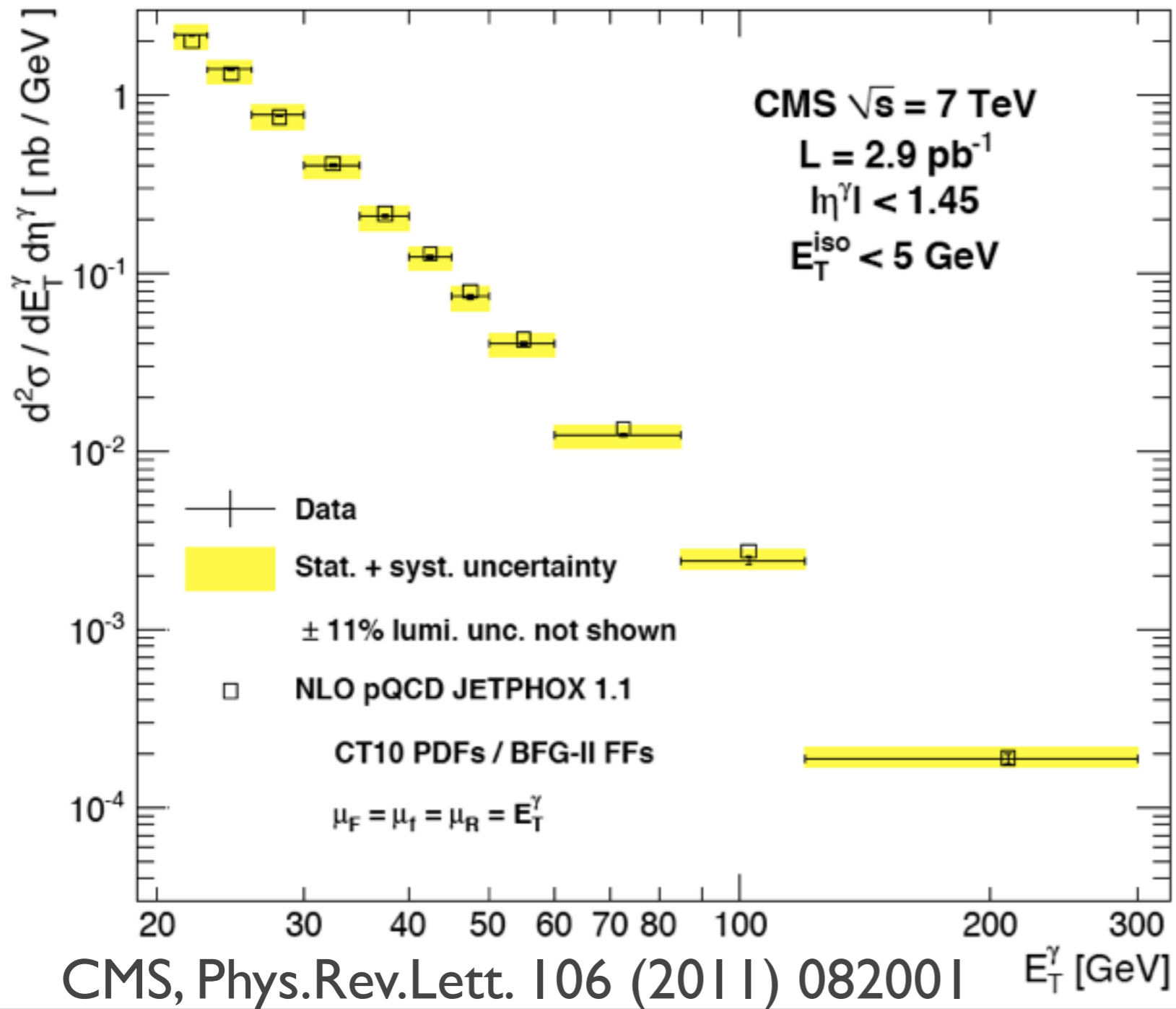


Adding an Isolation Criterium

- Applying isolation cut to photon candidates: most photons are isolated ($\sim 90\%$ at high p_T)
- Theoretical calculations agree with the data, low p_T photons have larger fragmentation component

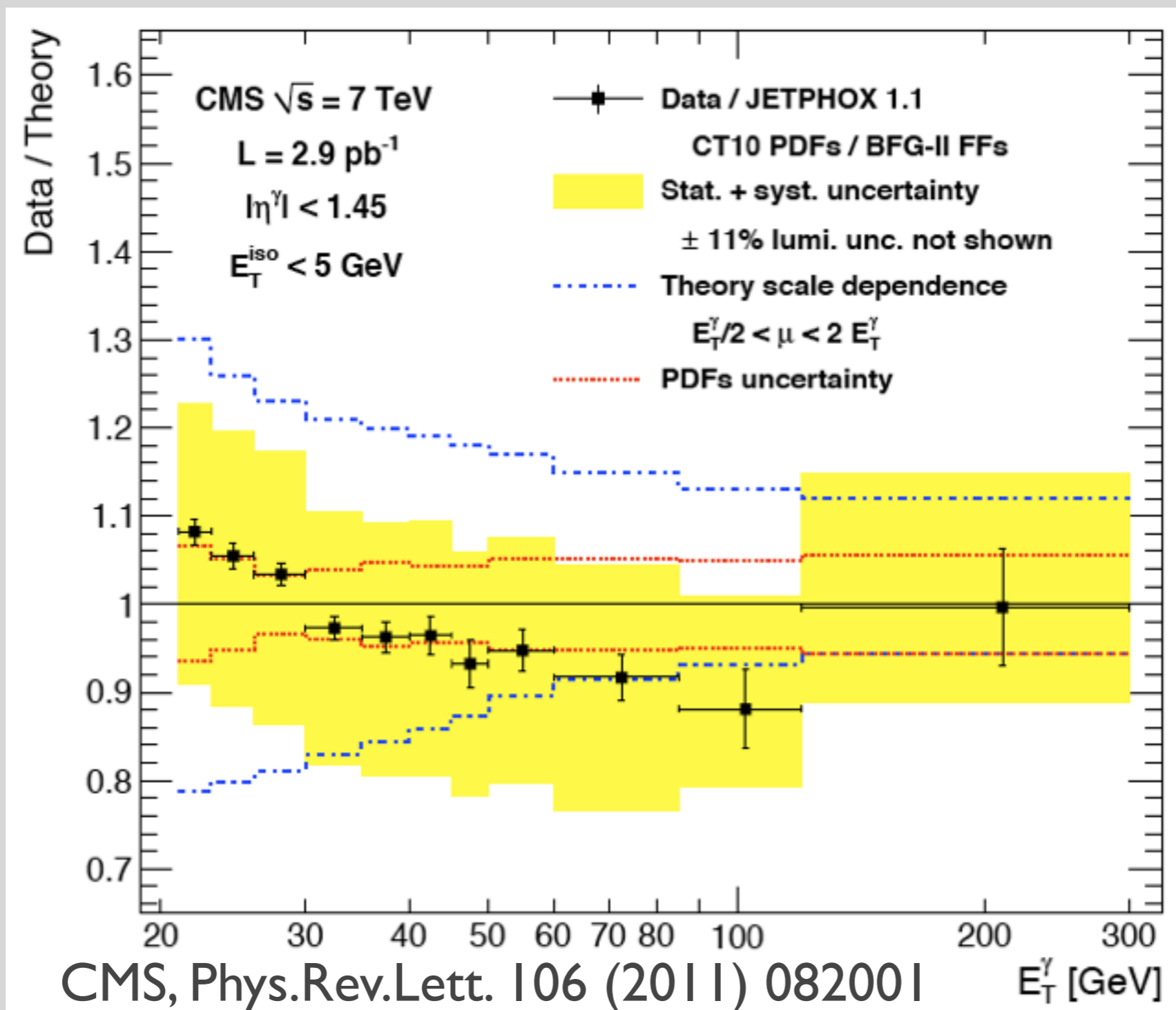


Isolated Photon Spectrum in p+p at 7 TeV (CMS)



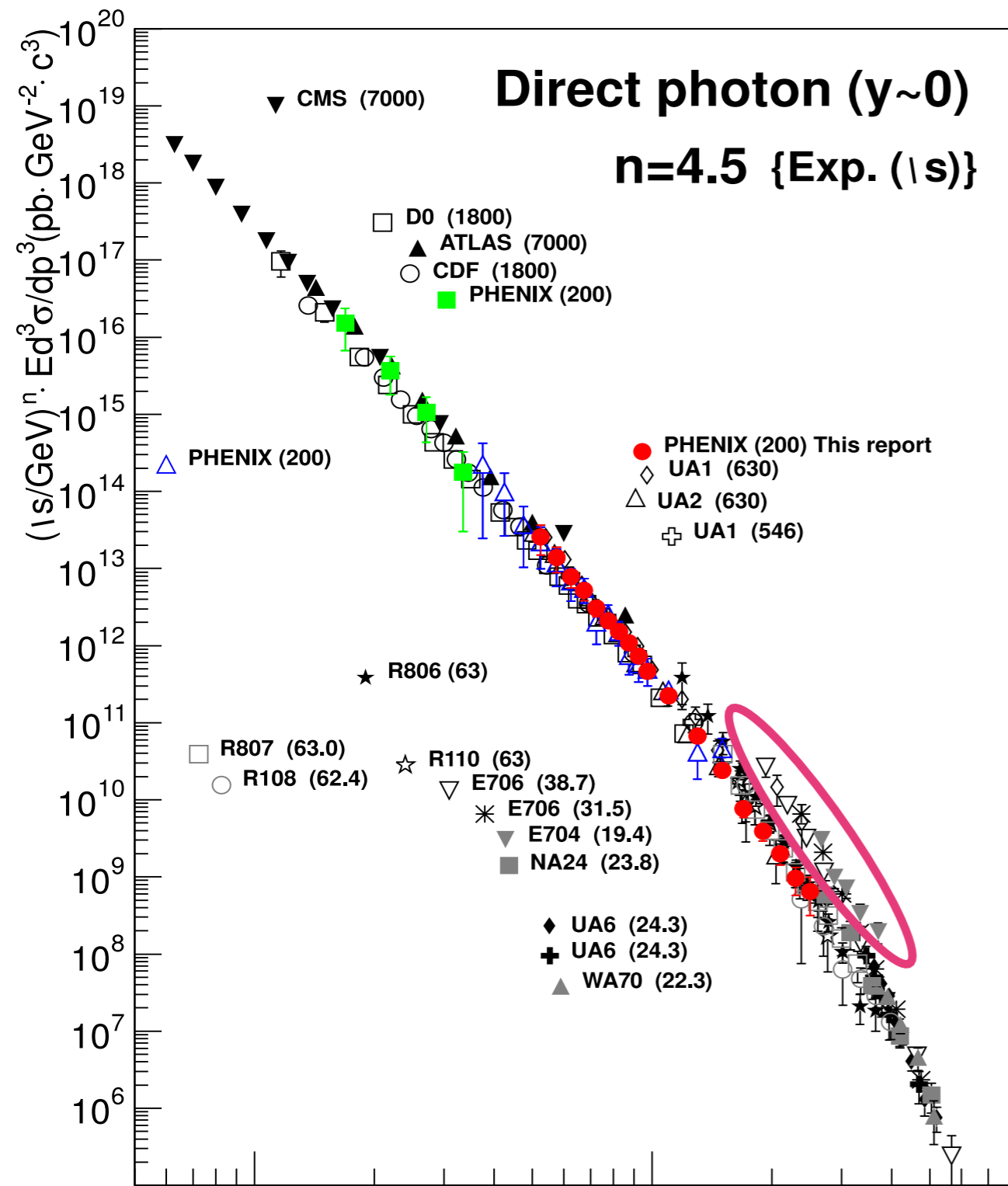
- The photon reconstruction and selection efficiencies are determined from PYTHIA:
 $\varepsilon = 0.916 \pm 0.034$
(rather independent of photon energy)
- Spectrum corrected for finite energy resolution

Isolated Photons in $p+p$ at 7 TeV: Agreement with NLO pQCD



P.Aurenche et al., Eur. Phys. J. C 13 (2000) 347 (http://lapth.in2p3.fr/PHOX_FAMILY).

A New “Complete” Picture



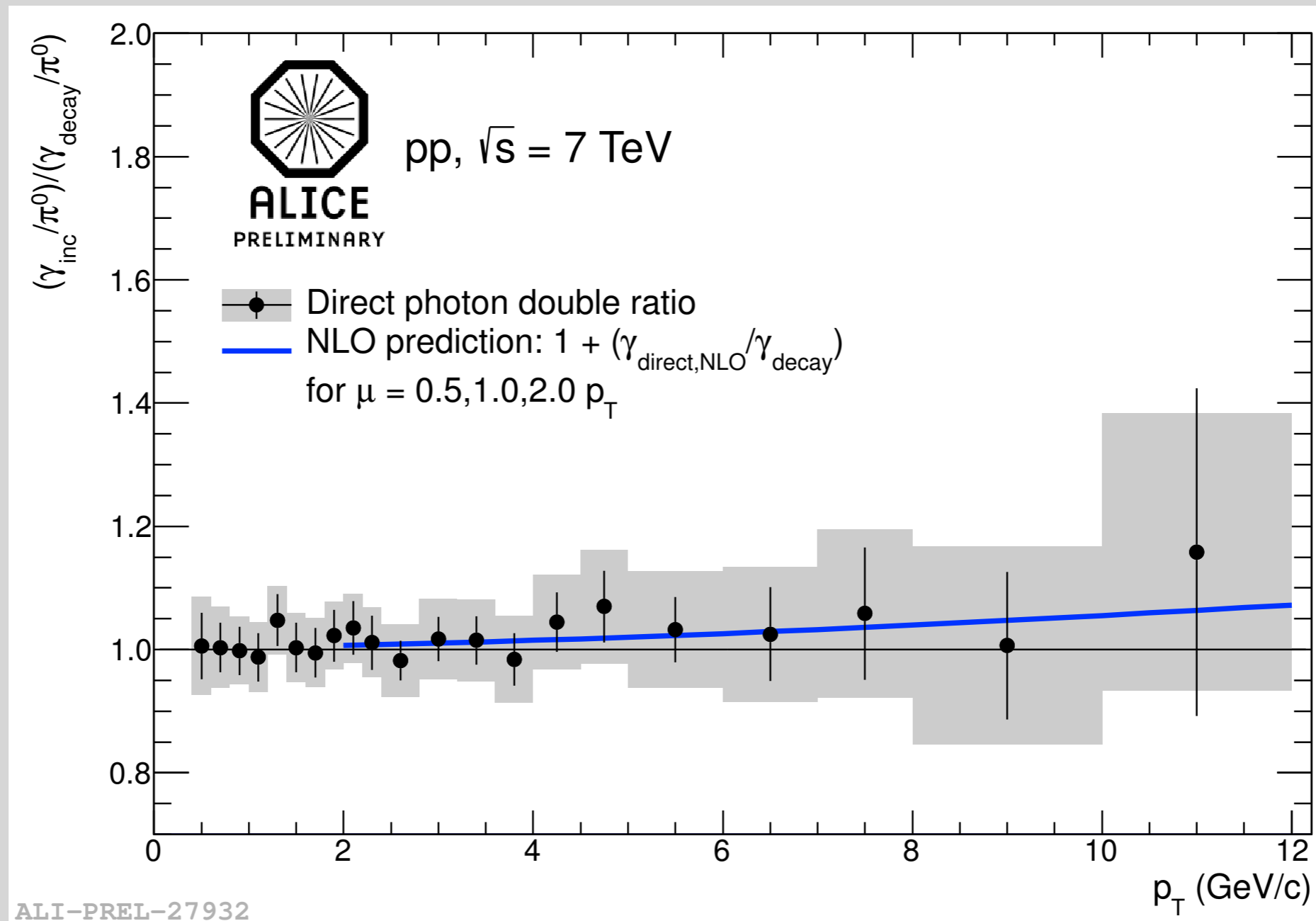
- $p+p$ (p bar) plotted vs. x_T
- Data scaled with empirical $(\sqrt{s})^n$ with $n=4.5$
- Pure vector gluon exchange: $n=4$ as in Rutherford scattering
- However, scale breaking effects in QCD, they empirically taken into account for by assuming $n-4 = 0.5$
- All data are on one universal curve

➡ QCD works

PHENIX, 10^{-2} arXiv:1205.5533 10^{-1}

$x_T \leftarrow x_T = 2p_T / \sqrt{s}$

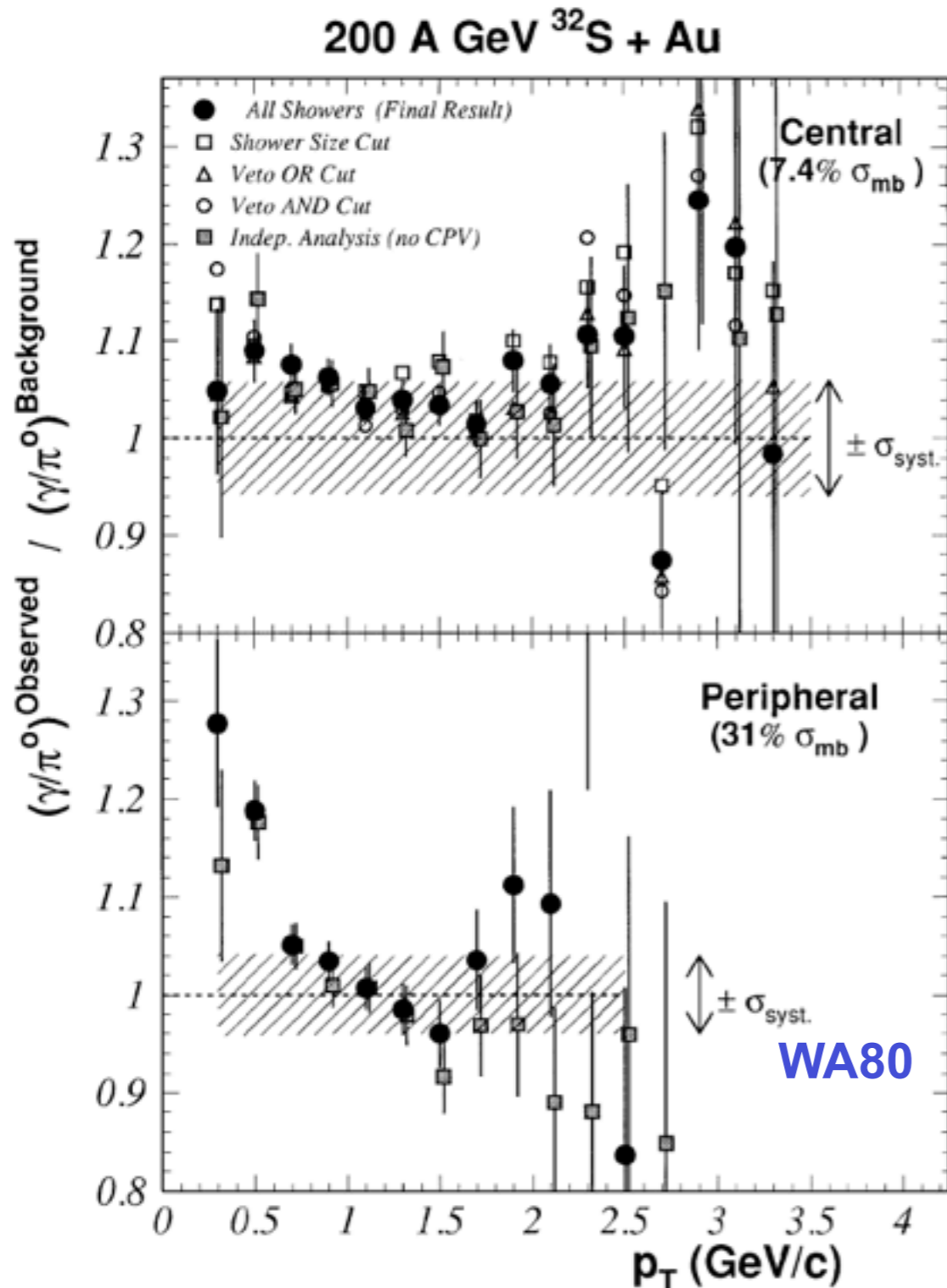
Direct Photon Search in $p+p$ at LHC at Low p_T : Is It Possible?



Possible signal much smaller than systematic errors

Results in A+A

Early CERN SPS Results: Upper Limits



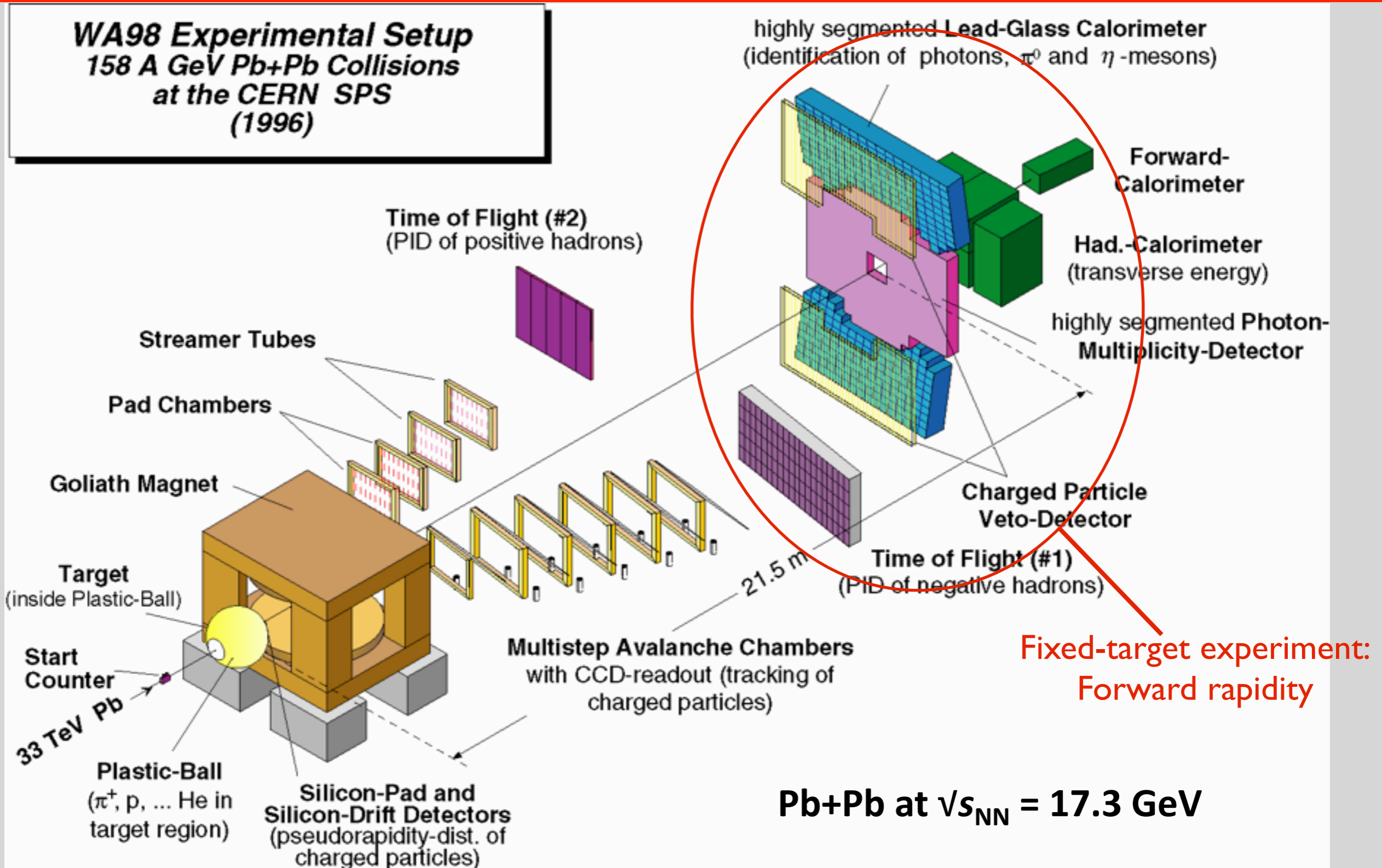
on $\gamma_{\text{direct}} / \gamma_{\text{background}}$

Experiment	p	System	Upper limit
HELIOS 2	0.1 – 1.5	p-W, O-W, S-W	13%
WA80	0.4 – 2.8	O-Au	15%
CERES	0.4 – 2.0	S-Au	14%
WA80	0.5 – 2.5	S-Au	12.5%

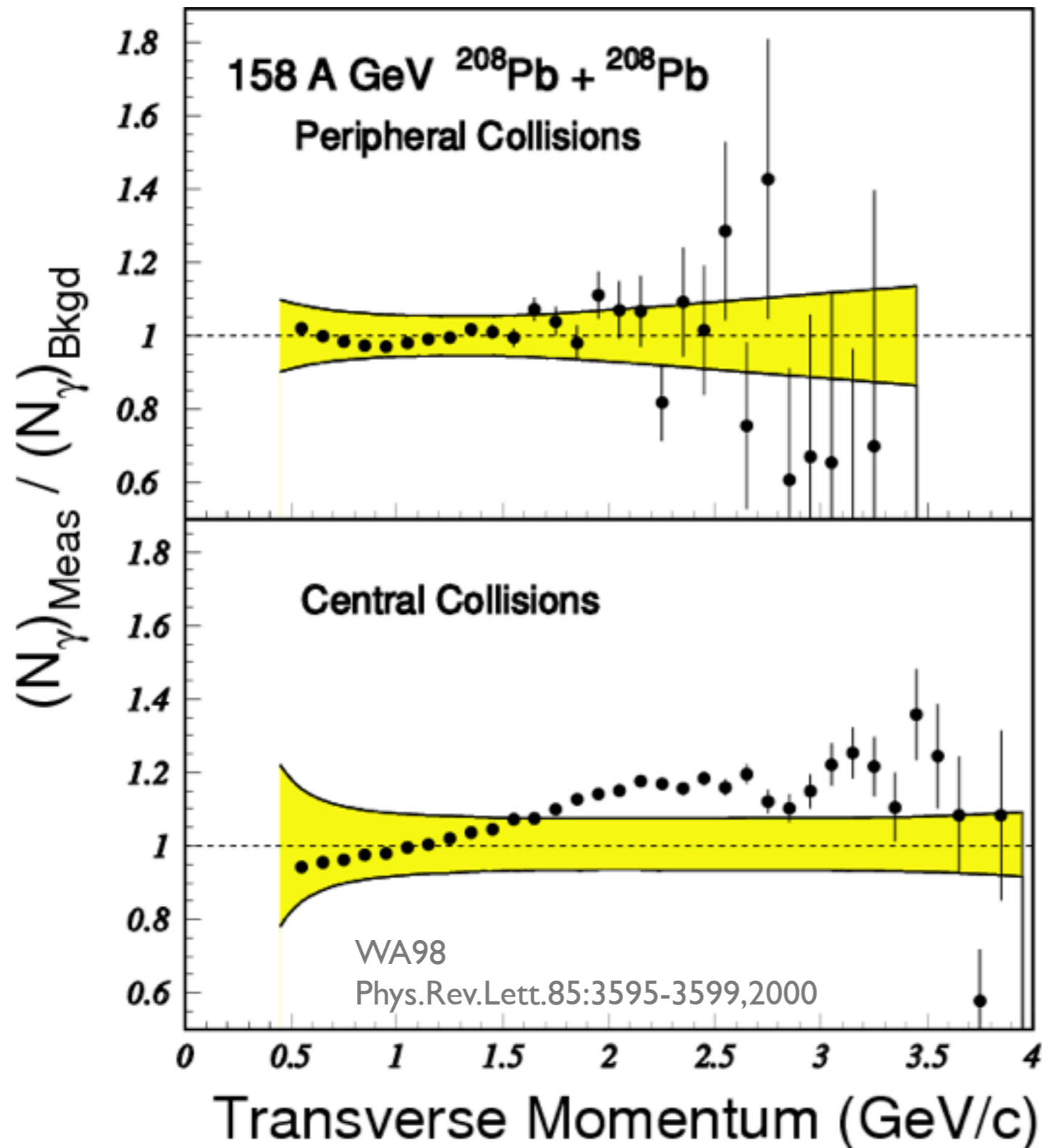
1. Z.Phys.C46:369-376,1990
2. Z.Phys.C51:1-10,1991
3. Z.Phys.C71:571-578,1996
4. Phys.Rev.Lett.76:3506-3509,1996

Early fixed target experiments at the CERN SPS only gave upper limits

Photons as SPS:WA98 Experiment

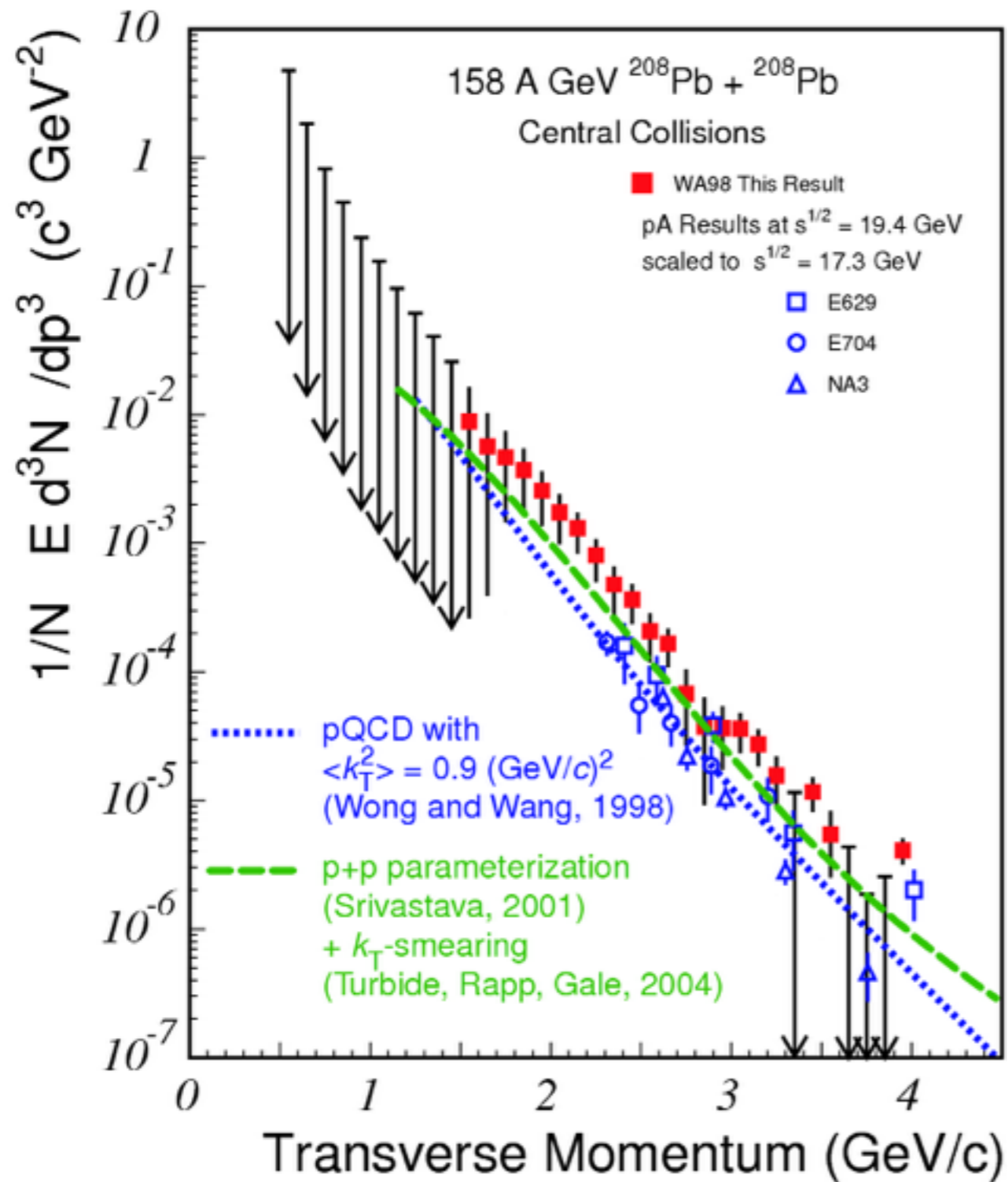


WA98 Result on Direct Photons



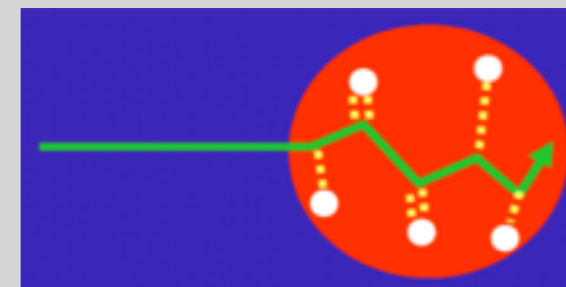
- No signal within errors in peripheral collisions
- 20% direct photon excess at high pT in central Pb+Pb collisions at CERN SPS

WA98 Direct Photon Spectrum: Hard Scattering + Nuclear k_T Broadening ?

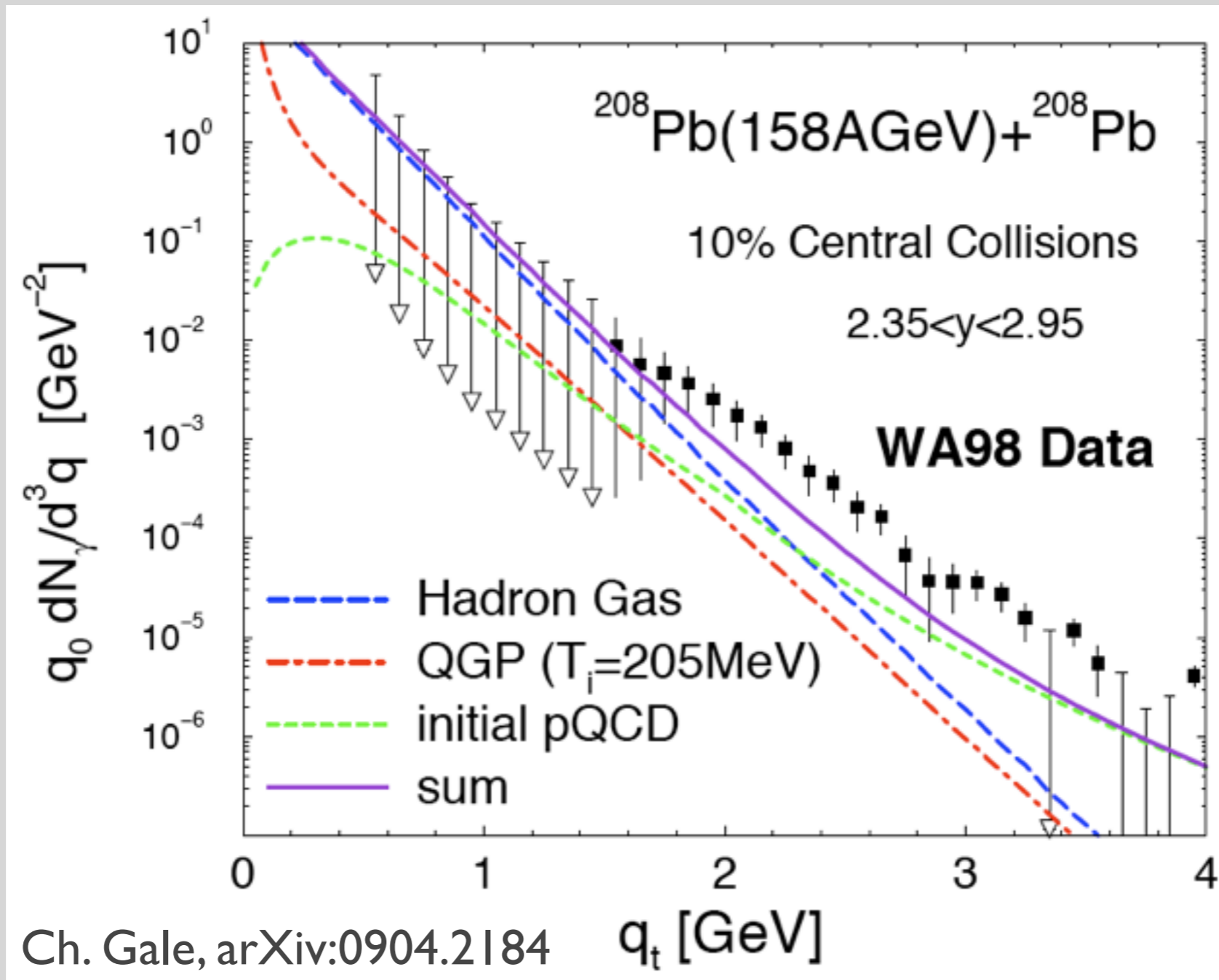


- Better p+p and p+A measurement desirable
- Very unlikely that Pb+Pb spectrum is just hard scattering

Cronin-effect:
Multiple soft scattering in p+A prior to hard scattering (“nuclear k_T ”)

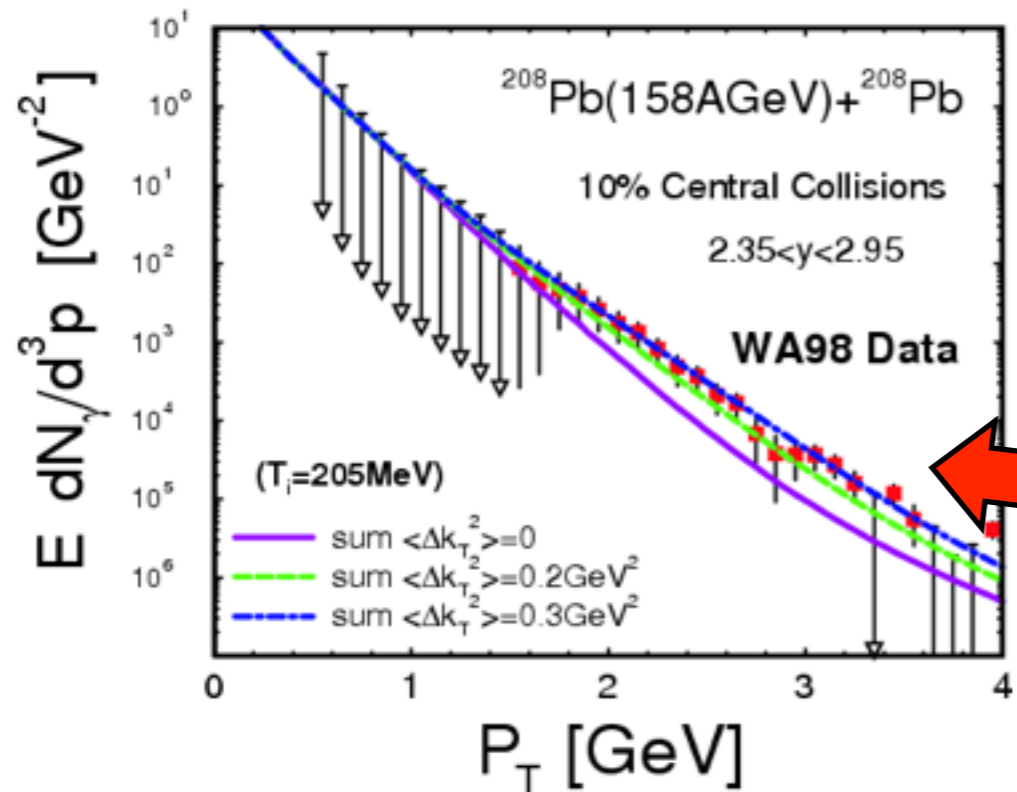


Interpretation of the WA98 Data



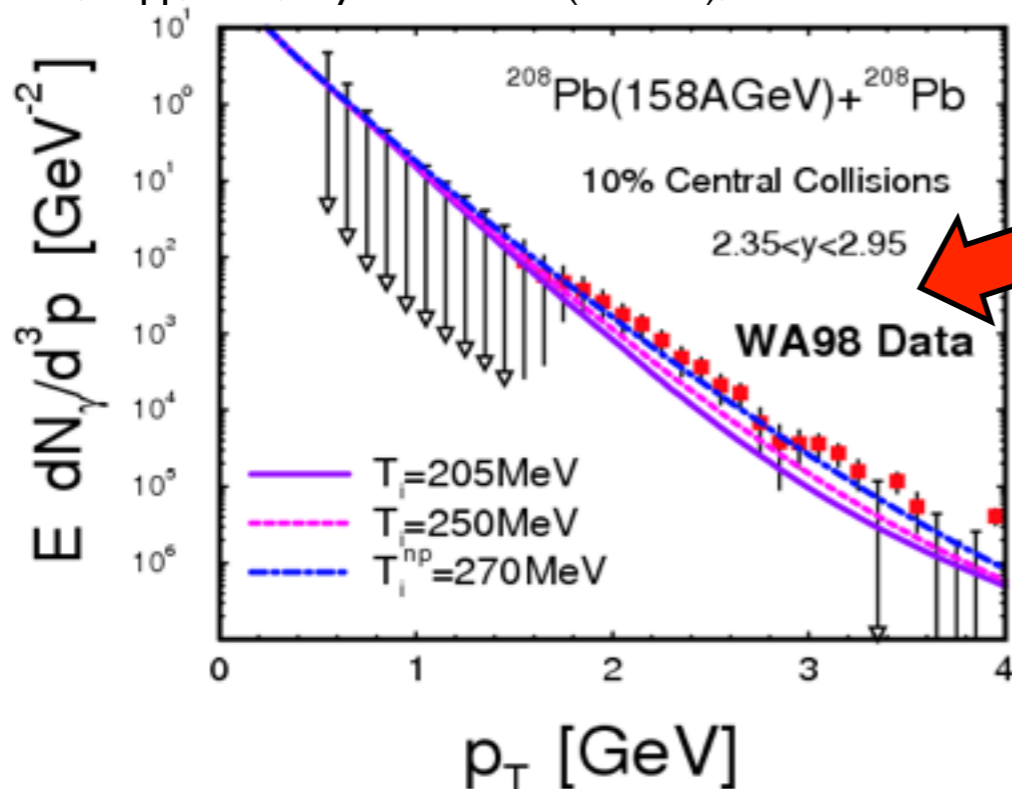
Interplay between T and k_T , contribution from QGP small

Direct Photons at CERN SPS: T or k_T ?

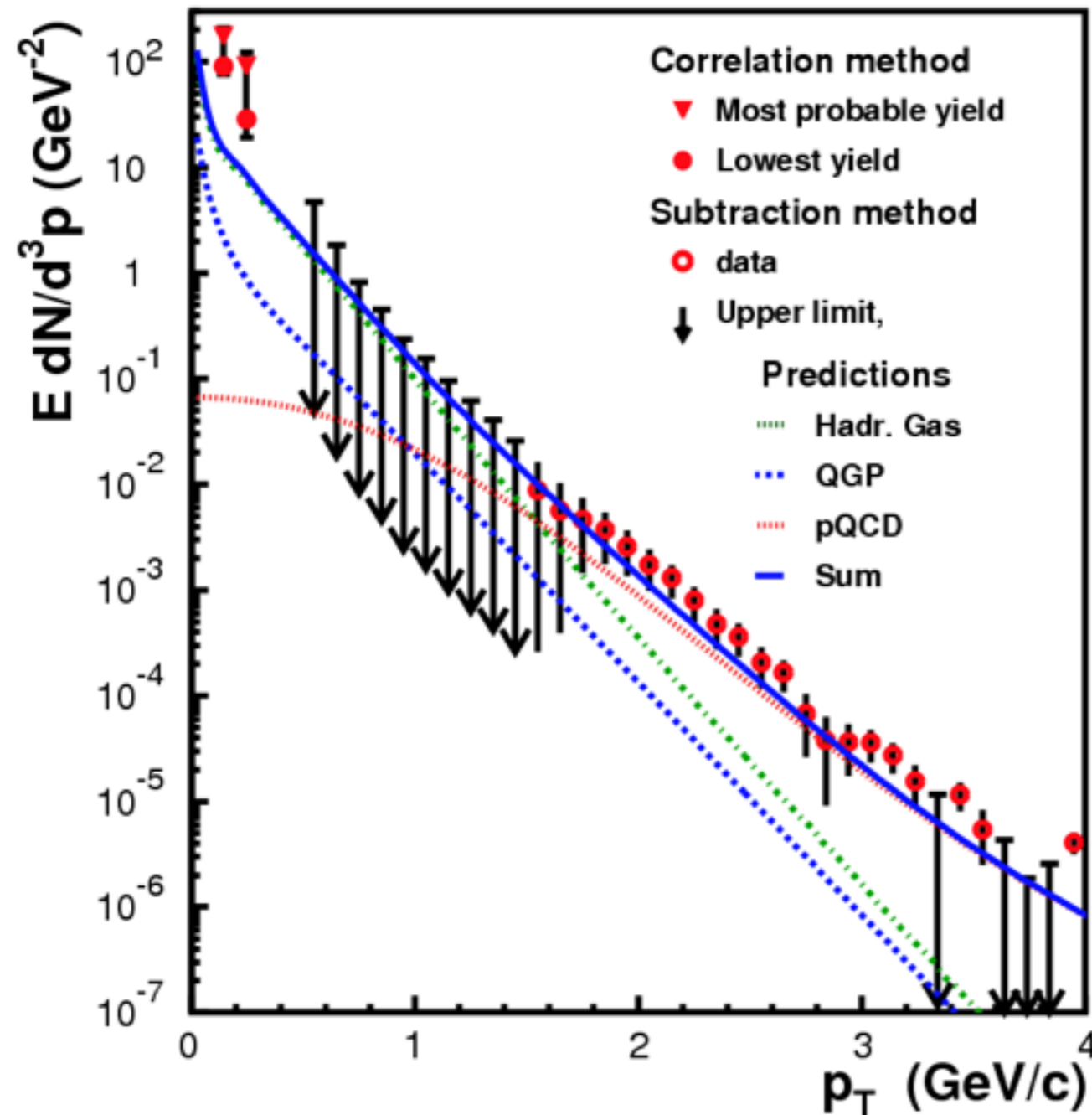


- QGP + HG rates convoluted with simple fireball model plus pQCD hard photons
- Data described with initial temperature $T_i = 205 \text{ MeV}$ + some nuclear k_T broadening (Cronin-effect)
- Data also described without k_T broadening but with high initial temperature ($T_i = 270 \text{ MeV}$)

Turbide, Rapp, Gale, Phys. Rev. C 69 (014902), 2004



WA98: New low- p_T Points



WA98, Phys. Rev. Lett. 93 (022301), 2004

- Two-photon correlations observed and attributed to Bose-Einstein correlations of direct photons
- Correlation strength used to extract direct photon signal at low p_T
- Possible explanation: photon bremsstrahlung from hot hadron gas
(Lui, Rapp, nucl-th/0604031)

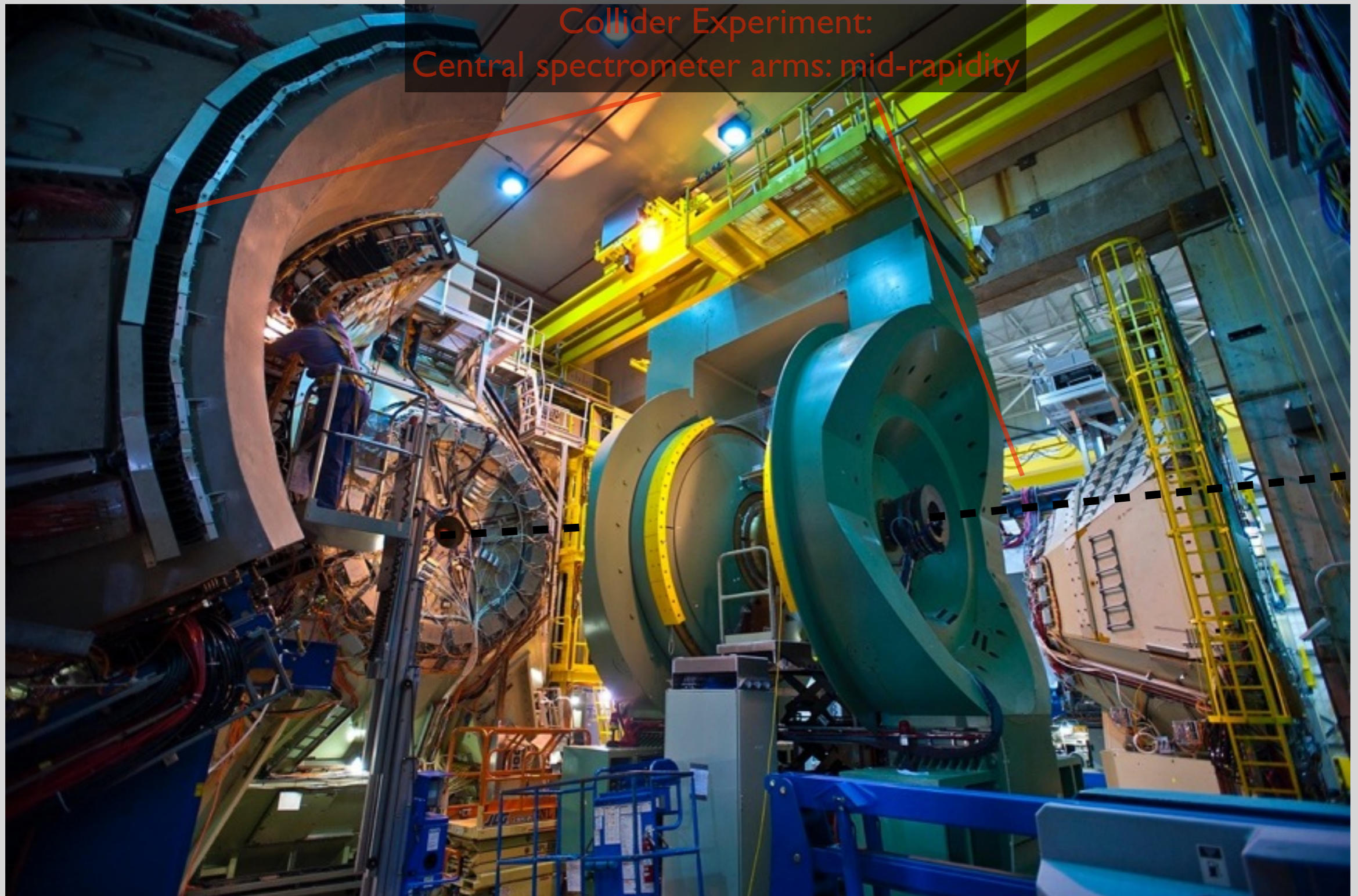
Direct Photons at CERN SPS: Conclusions

Data can be described under a variety of different assumptions, e.g.:

Turbide, Rapp, Gale (Phys.Rev.C69:014903,2004)	QGP + HG + pQCD with	T τ_0
	QGP + HG + pQCD without	T_i τ_0
Renk (Phys.Rev.C67:064901,2003)	QGP + HG + pQCD	$250 <$ $0,5 <$
Svrvastava (nucl-th/0411041)	QGP + HG + pQCC (Bjorken hydro)	T τ_0
Huovinen, Ruuskanen, Räsänen (Nucl. Phys.A 650 (227) 1999)	QGP + HG + pQCD (Non-boost inv. hydro)	T_i
	Pure HG + pQCD (Non-boost inv. hydro)	T_i

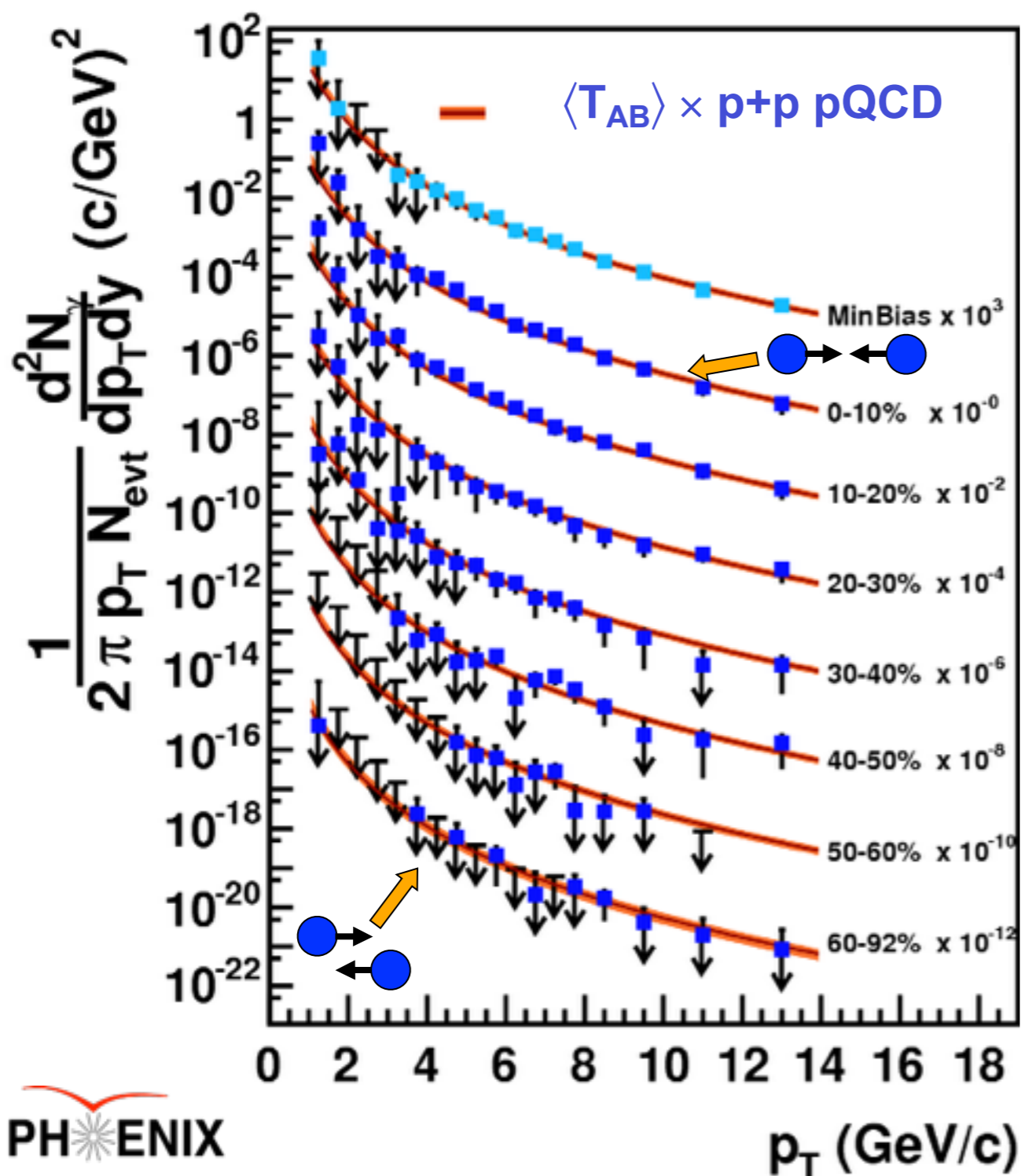
- Data consistent with QGP picture, but also with pure HG picture
- Large variations in extracted initial temperature T_i
(however, most models give $T_i > T_c$)

Reminder: PHENIX detector

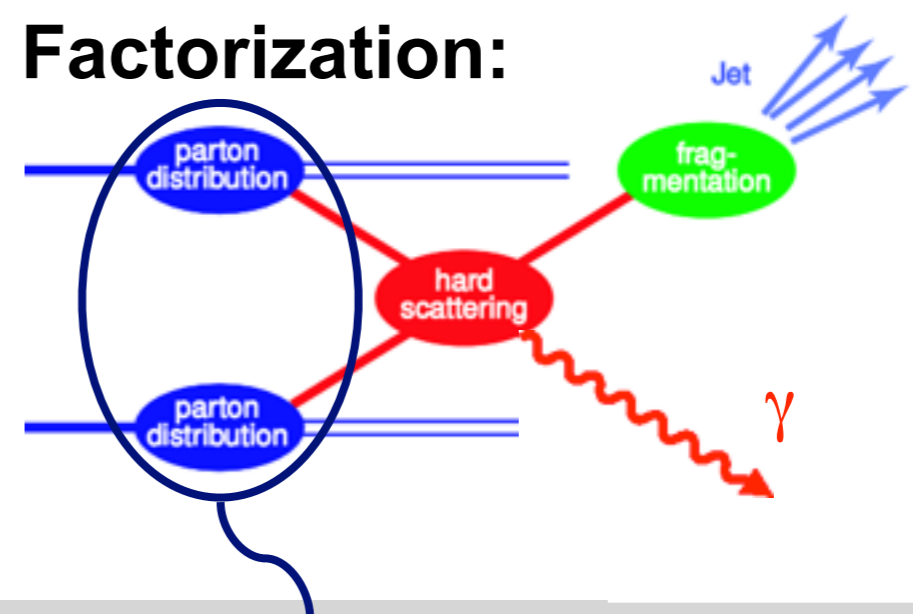


Direct-Photon Spectra in Au+Au

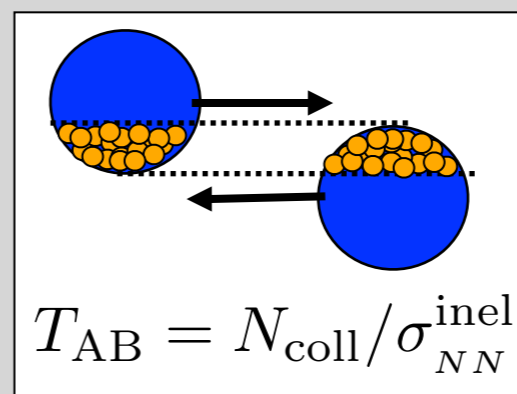
Au+Au at $\sqrt{s_{NN}} = 200$ GeV (RHIC run 2)



Factorization:



T_{AB} : increase in parton-luminosity per event (p+p \rightarrow Au+Au)

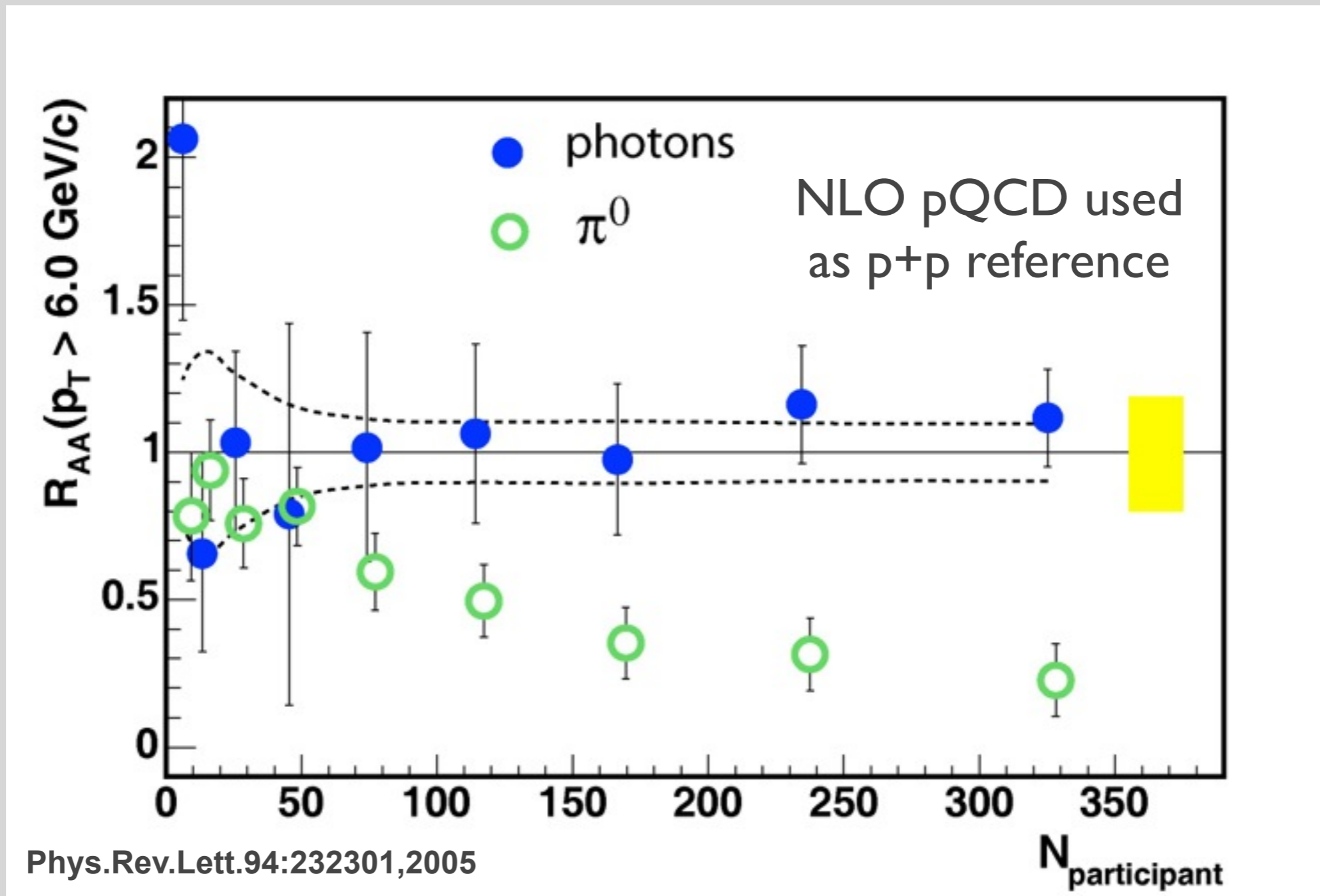


0 – 10%:
 $\langle N_{\text{coll}} \rangle = 955 \pm 94$

60 – 92%:
 $\langle N_{\text{coll}} \rangle = 14.5 \pm 4$

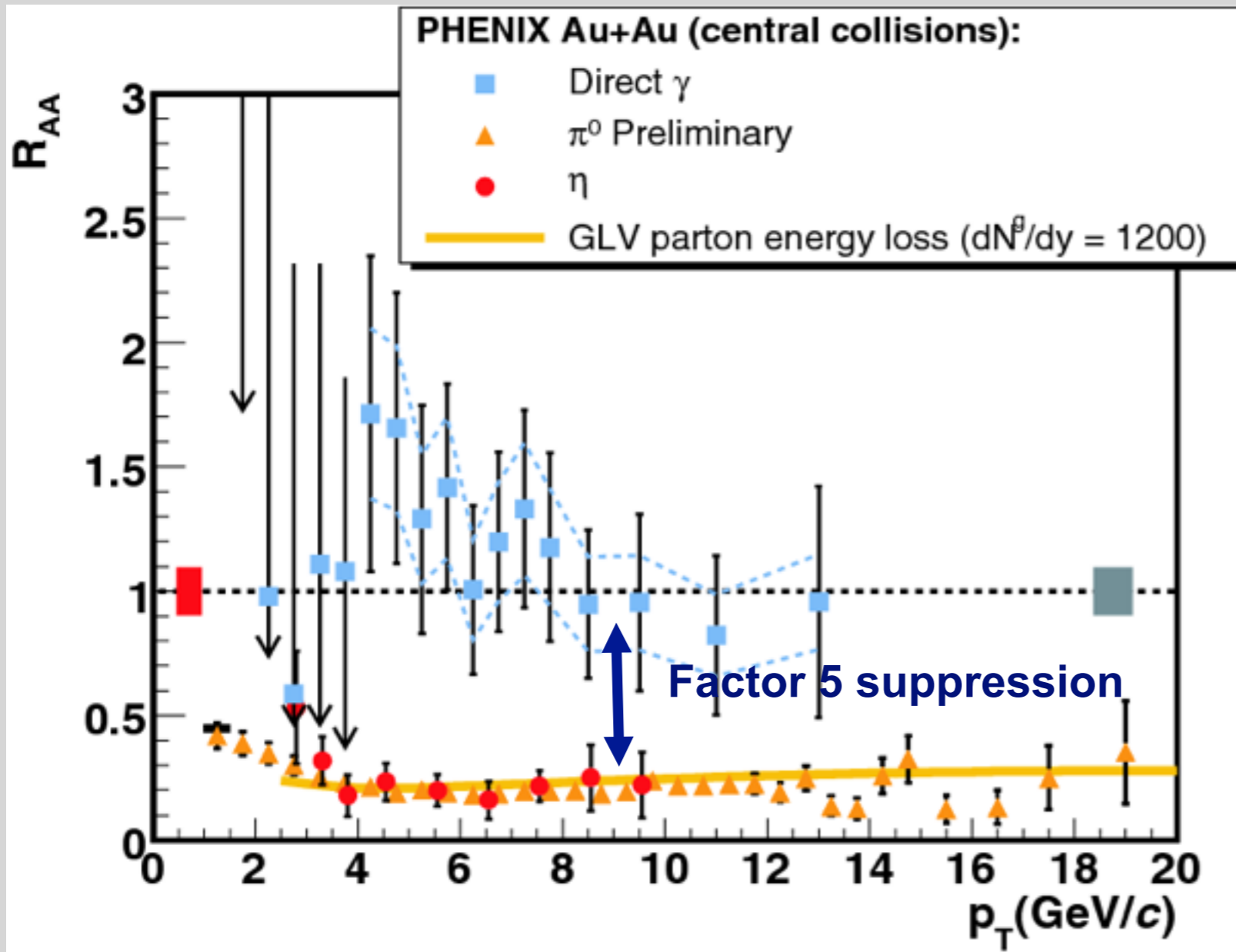
- High- p_T direct photons scale with $\langle T_{AB} \rangle$
- No indication of nuclear effects

Centrality Dependence of the Direct Photon and π^0 R_{AA} in Au+Au Collisions at 200 GeV

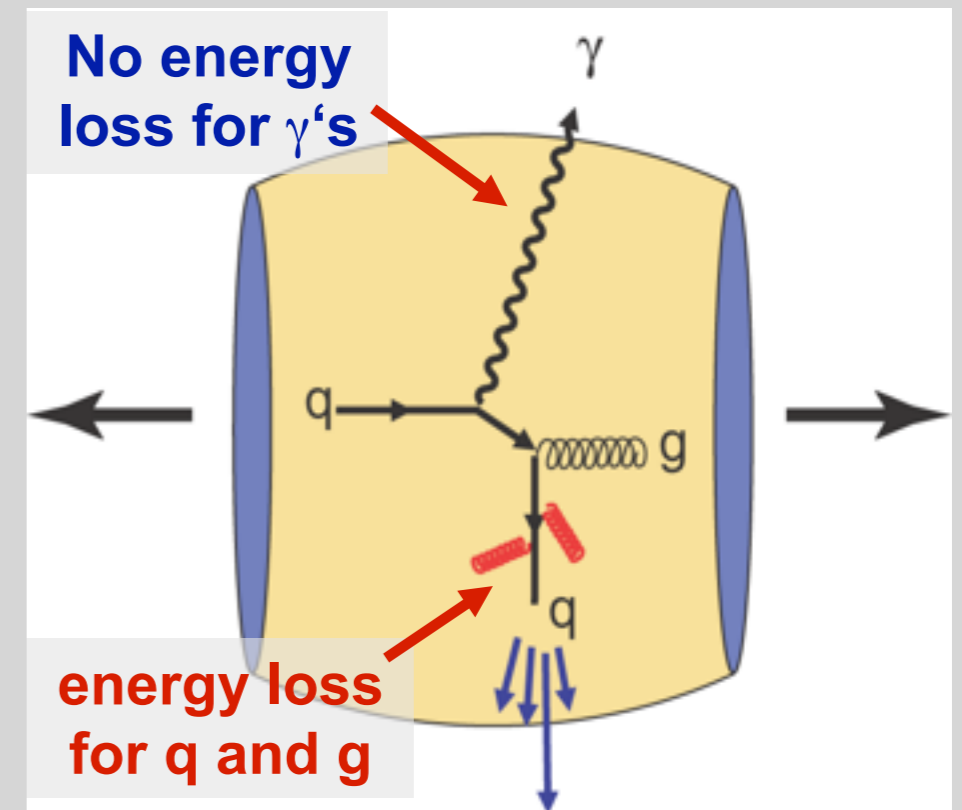


Direct photons follow T_{AB} scaling

Hadron Suppression: A Final State Effect!

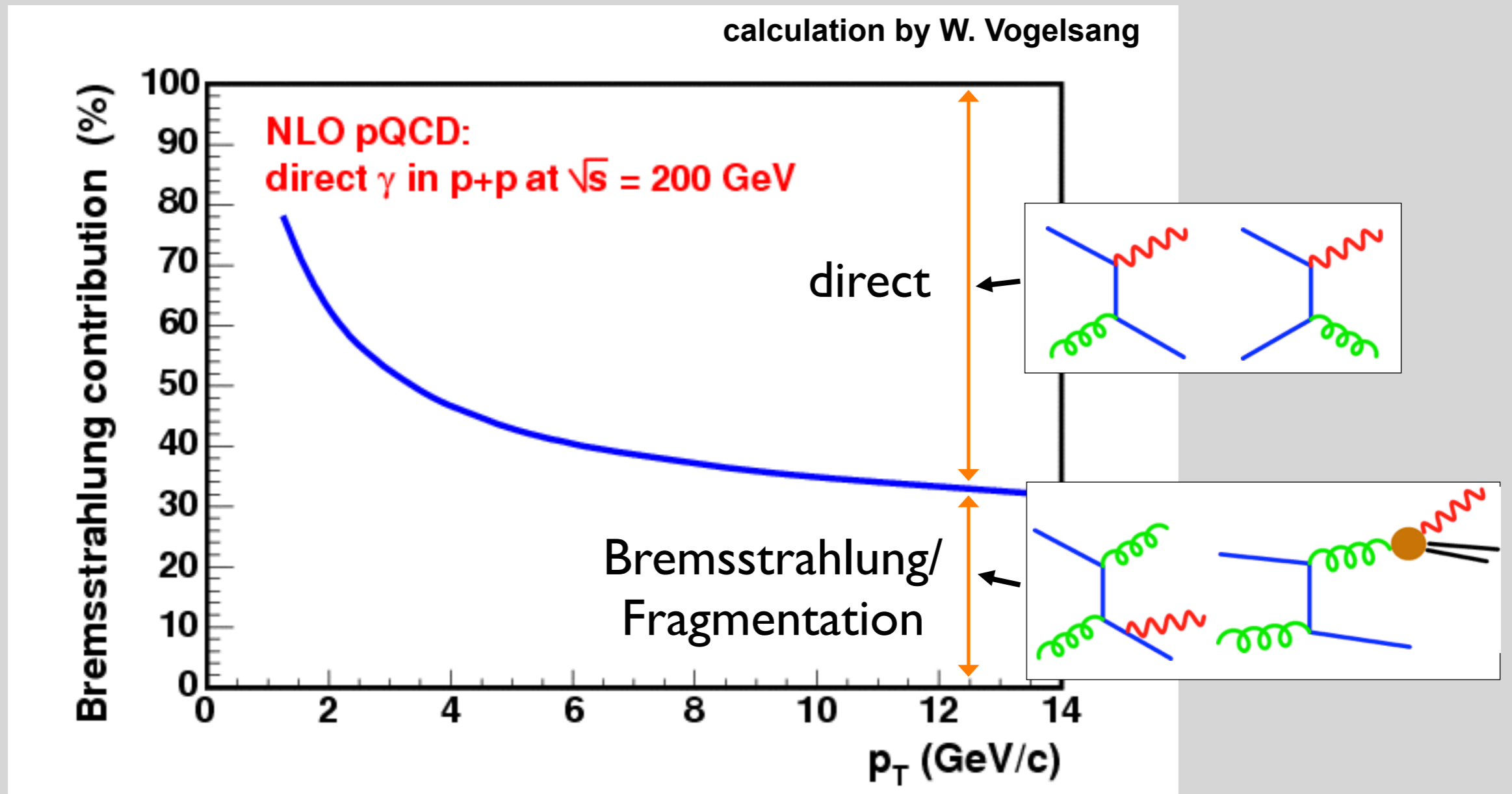


$$R_{AA} = \frac{dN/dp_T(A + A)}{\langle T_{AA} \rangle \times d\sigma/dp_T(p + p)}$$



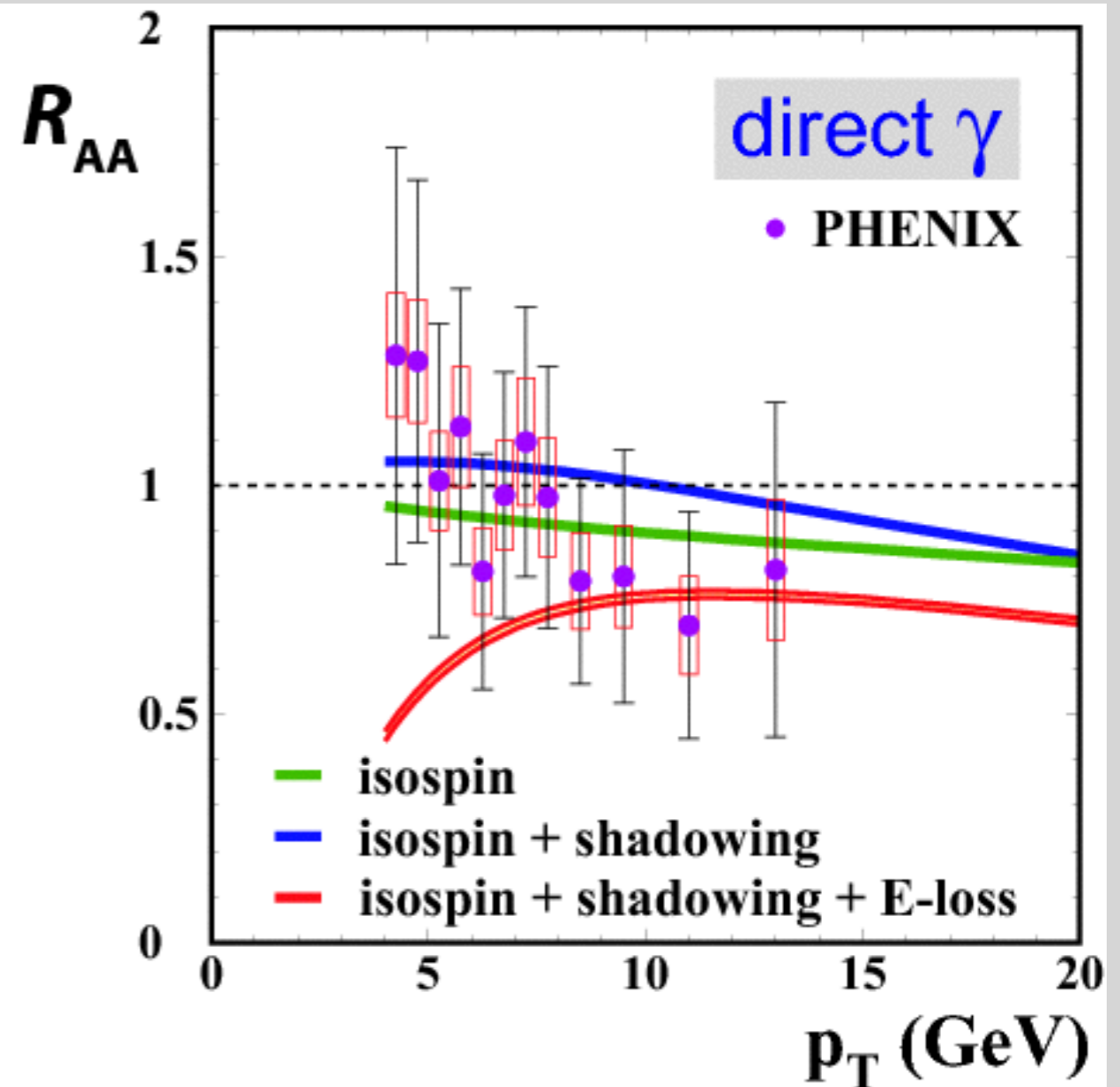
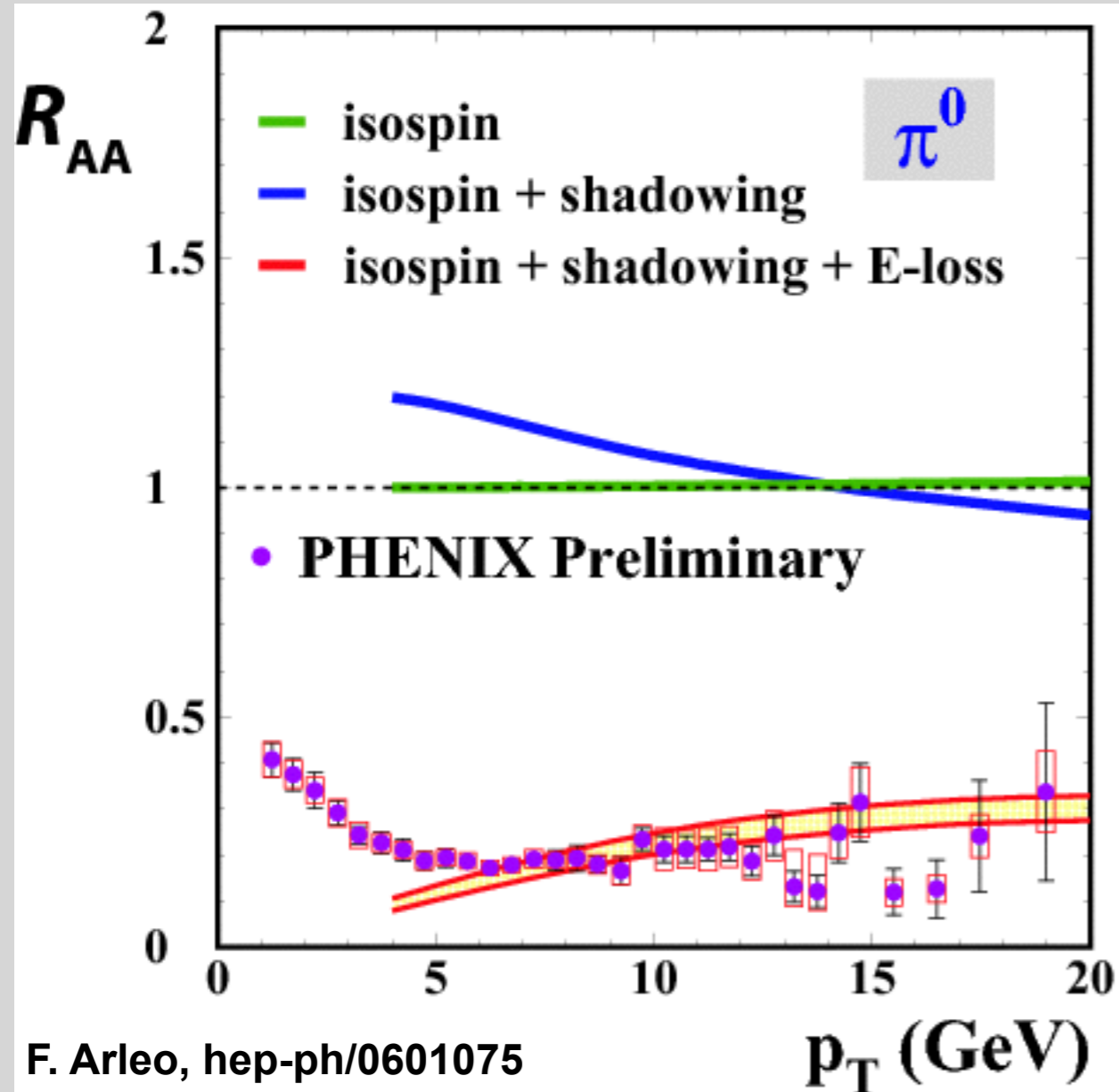
**Hadrons are suppressed, but direct photons are not:
Evidence for parton energy loss (as expected in the QGP)**

pQCD: Bremsstrahlung/Fragmentation Component



- Bremsstrahlung/fragmentation contribution large
- Suppression of bremsstrahlung/fragmentation contribution expected in A+A

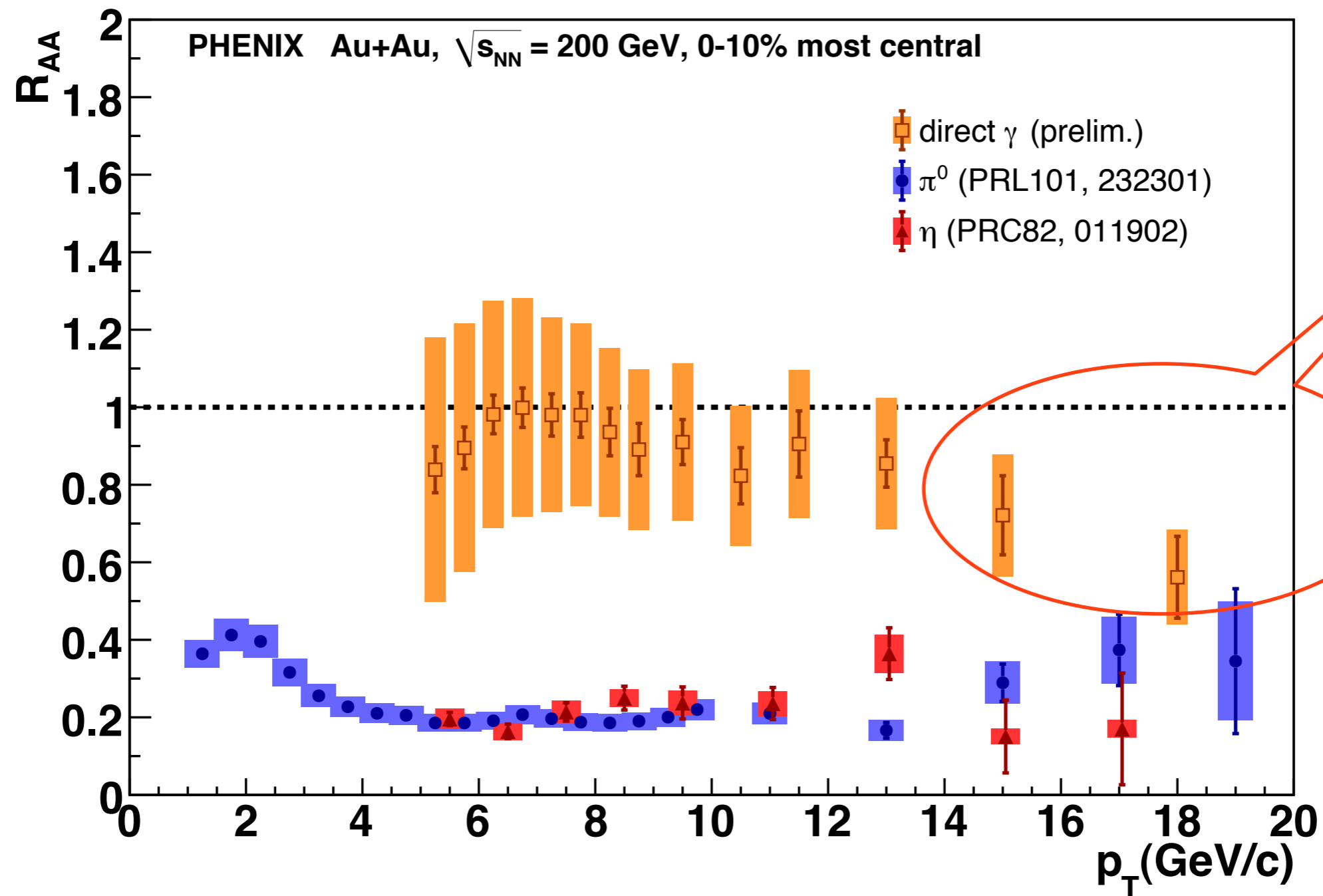
Effect of Parton Energy Loss



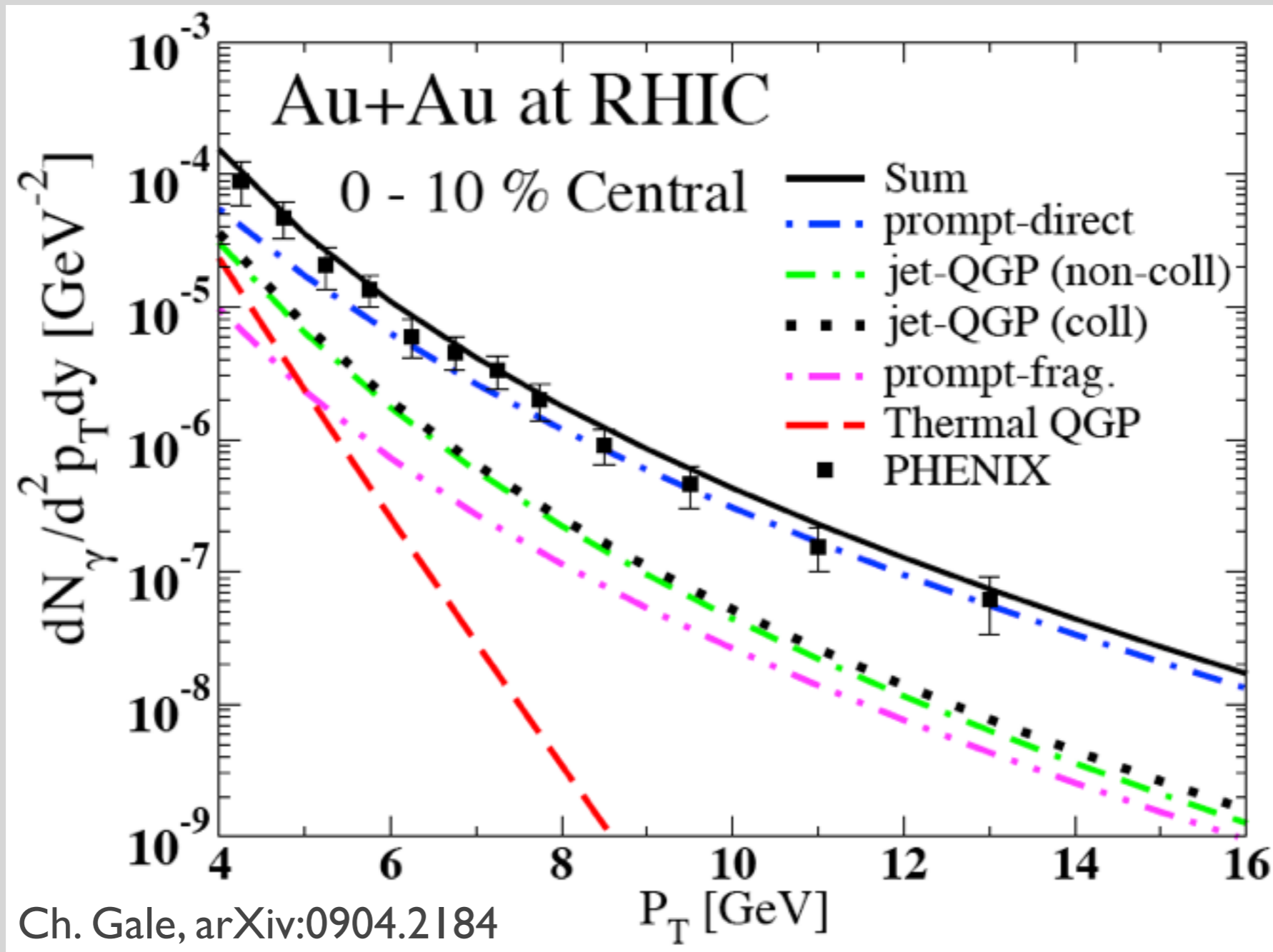
F. Arleo, hep-ph/0601075

- 20-30% reduction of direct photon R_{AA} expected due to parton energy loss
- Consistent with PHENIX data

The Puzzle of the Preliminary Direct Photon R_{AA} at high p_T (PHENIX, Run 4 Au+Au data)

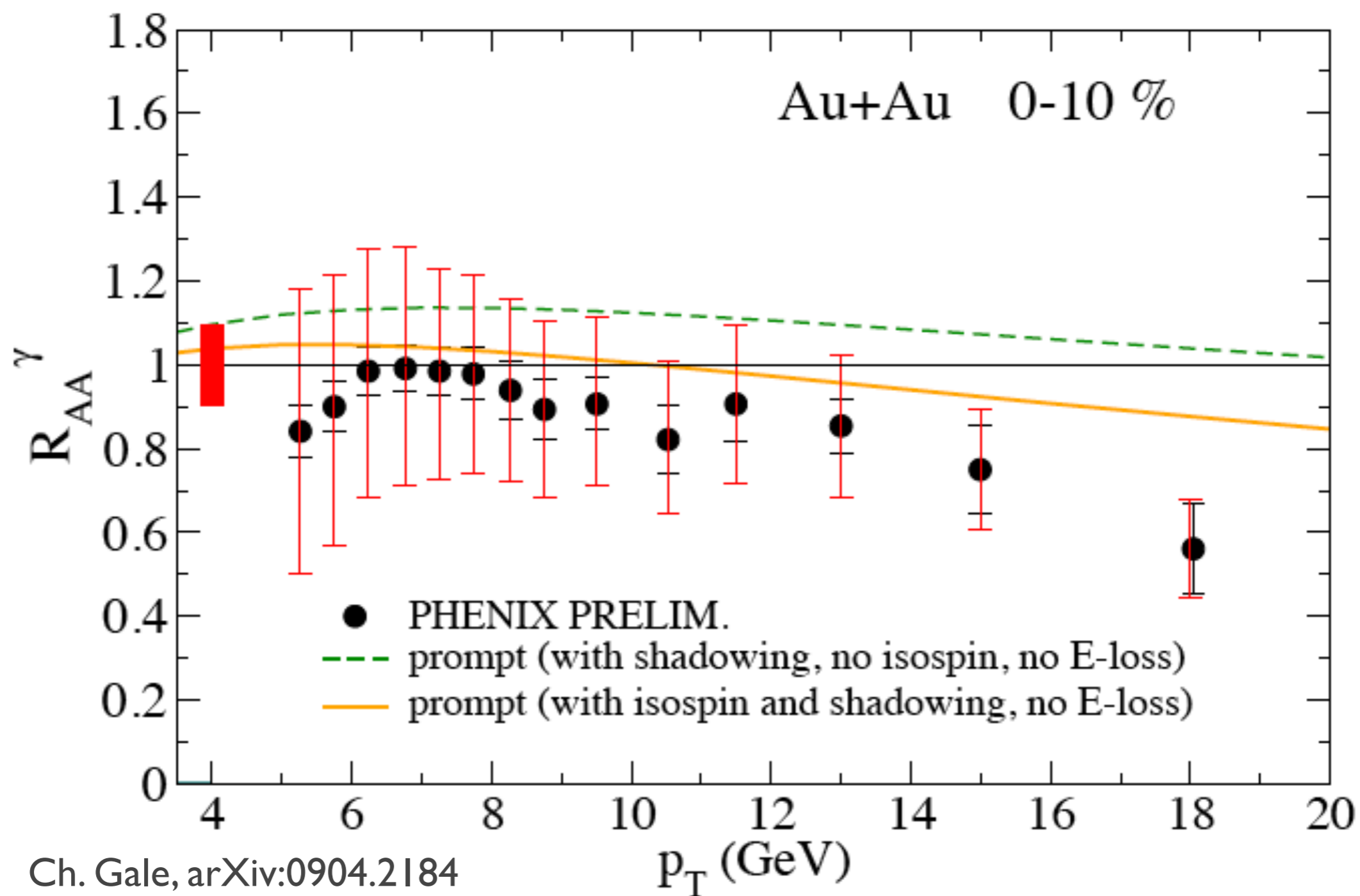


Interpretation of the Direct-Photon Spectrum at RHIC ($p_T > 4 \text{ GeV}/c$) (I)

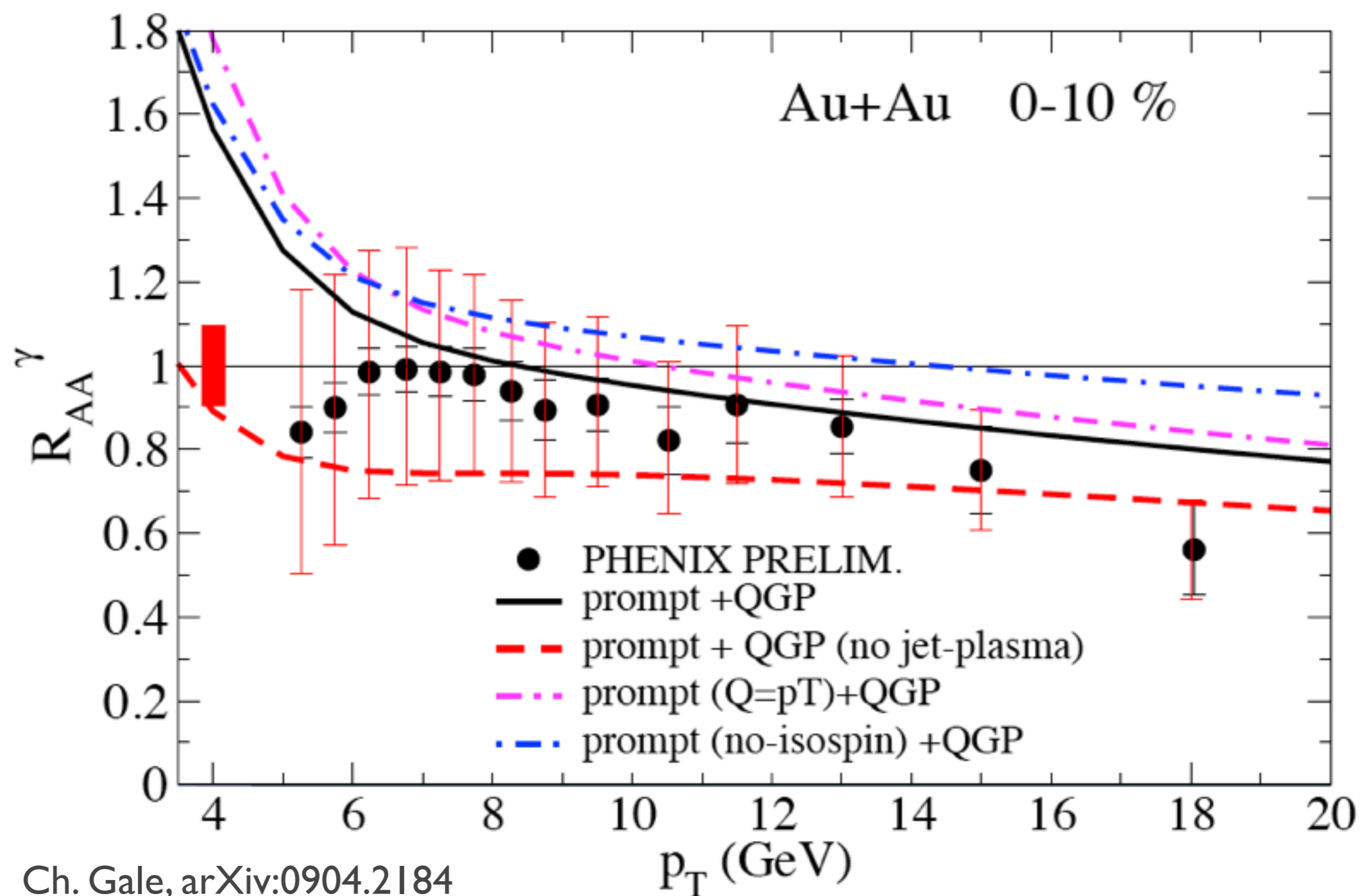


Indication for relevance of photons from jet-plasma interactions for $p_T < 6 \text{ GeV}/c$?

Interpretation of the Direct-Photon Spectrum at RHIC ($p_T > 4$ GeV/c) (II)

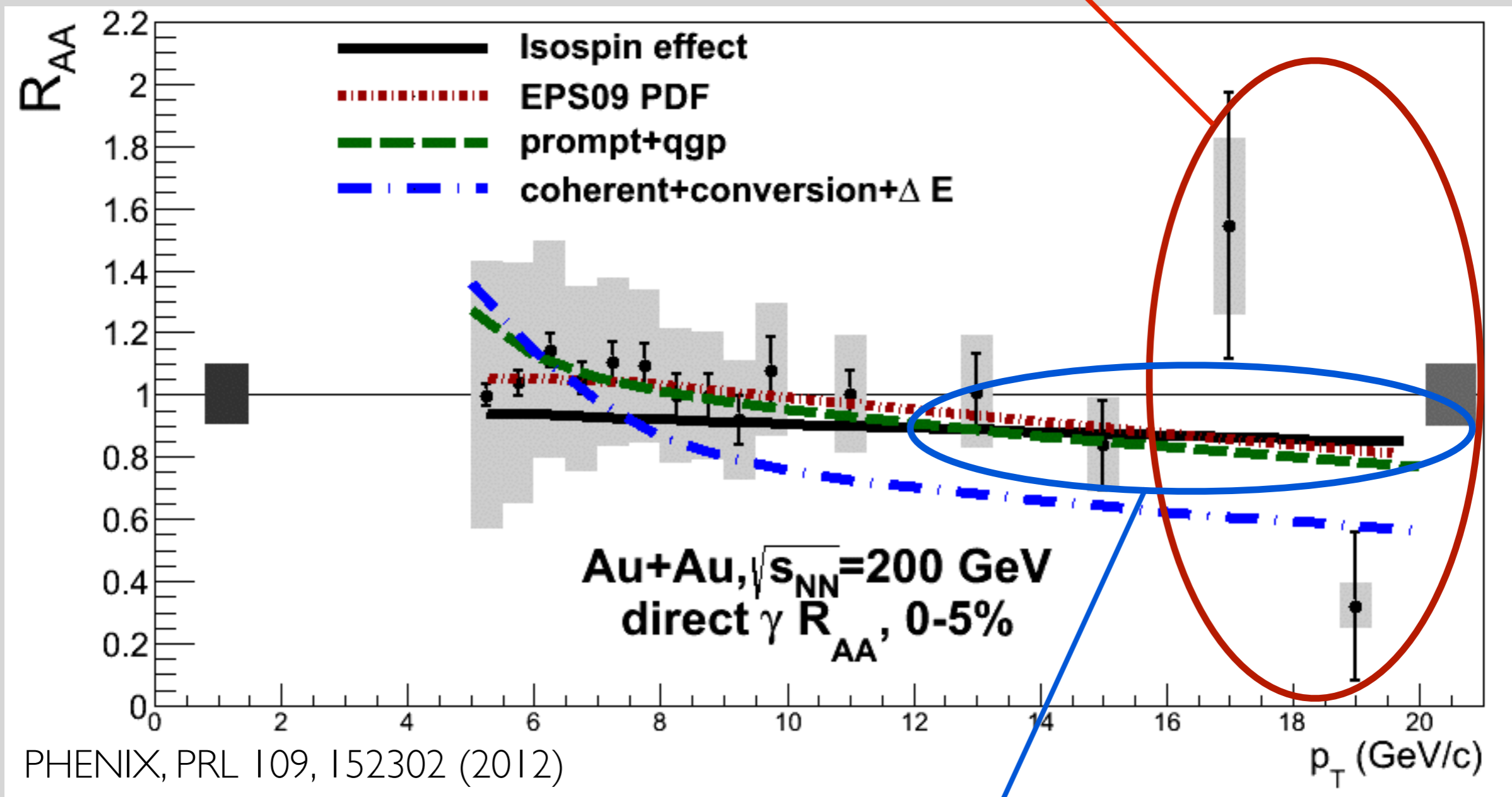


Interpretation of the Direct-Photon Spectrum at RHIC ($p_T > 4$ GeV/c) (III)



And Now: The Final Results

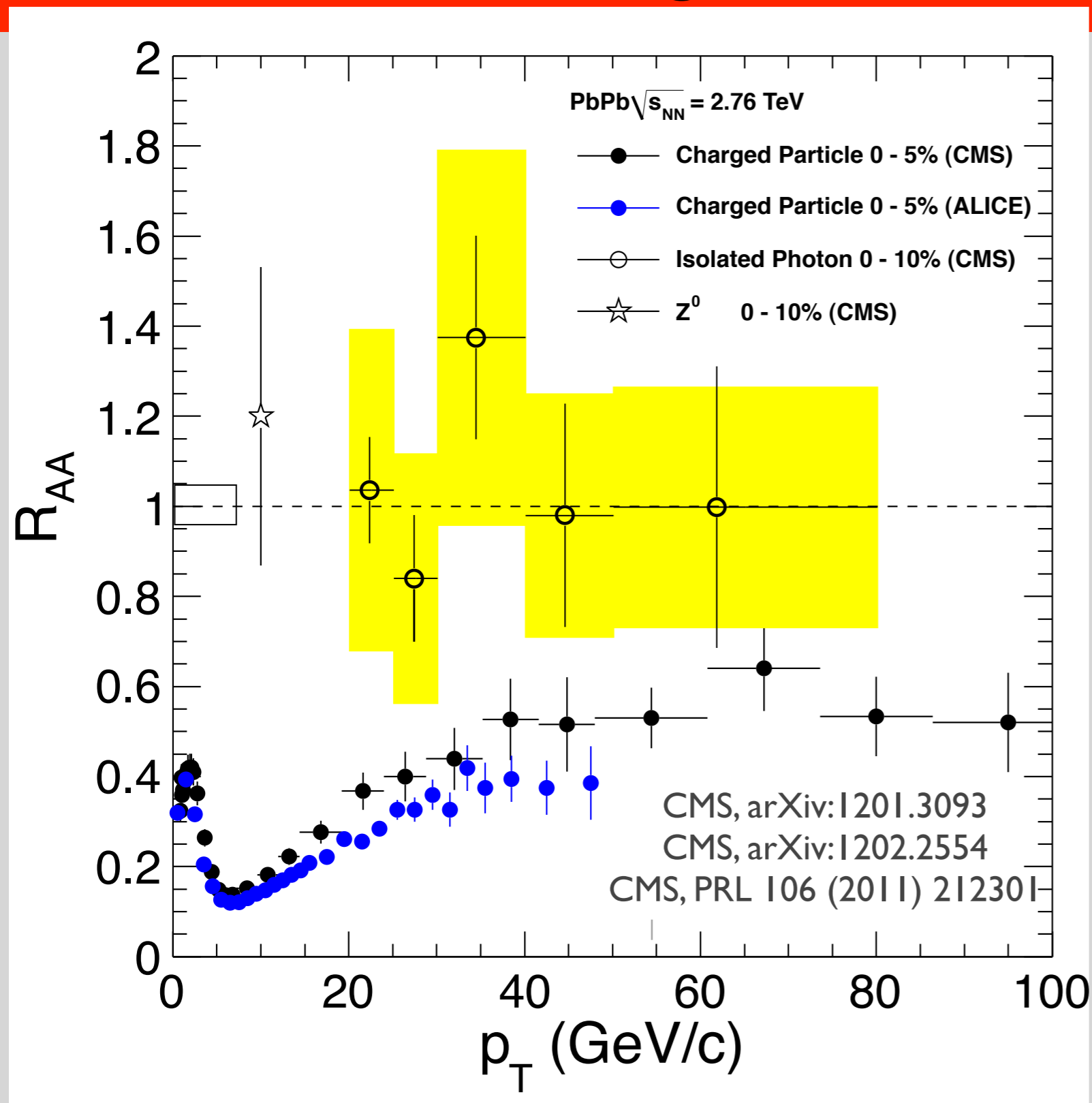
Looks like statistical fluctuations



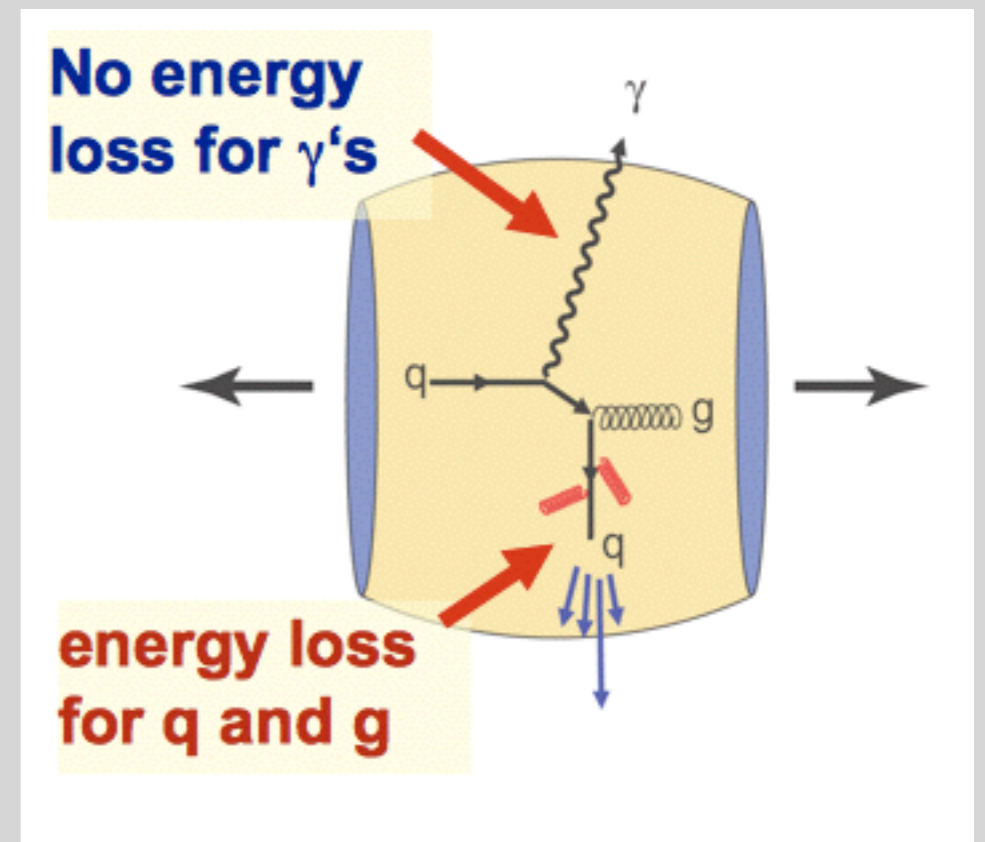
The effects from the QGP appear to cancel

Pb+Pb at the LHC:

Test of T_{AA} Scaling With Prompt Photons (and Z)



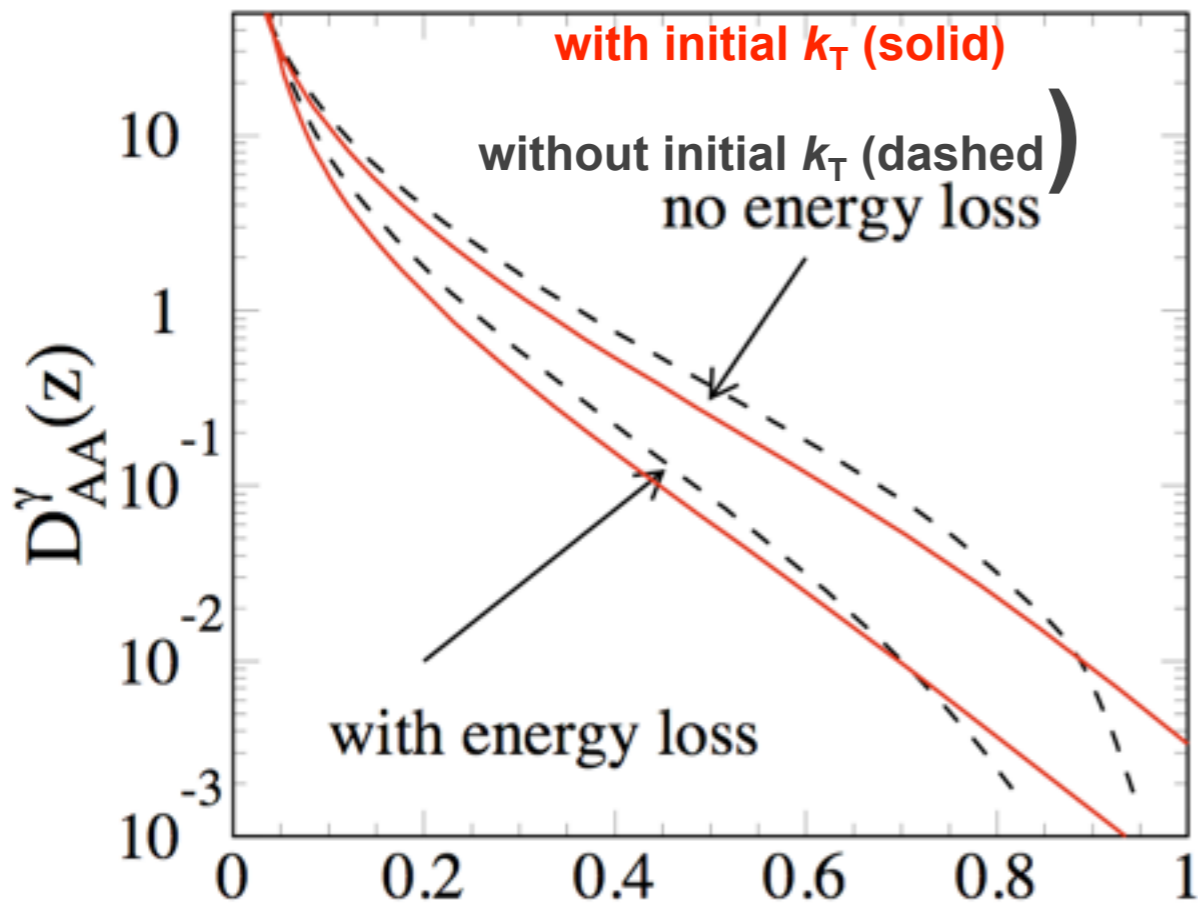
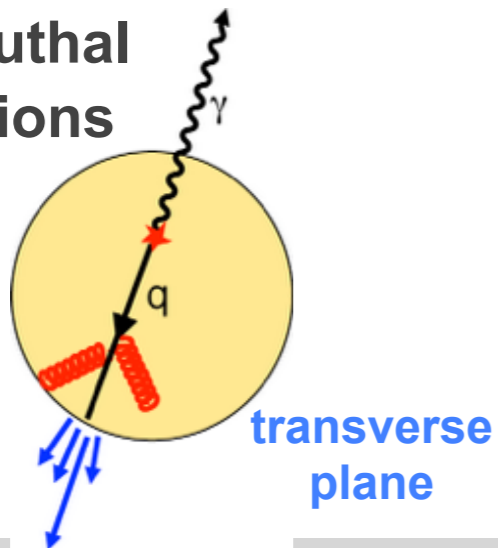
$$R_{AA} = \frac{dN/dp_T(A+A)}{\langle T_{AA} \rangle \times d\sigma/dp_T(p+p)}$$



Prompt Photons (and Z^0 's)
are not suppressed:
Strong Evidence for Parton
Energy Loss Picture

γ -Triggered Away-Side Correlations: Basic Idea

γ -h azimuthal correlations

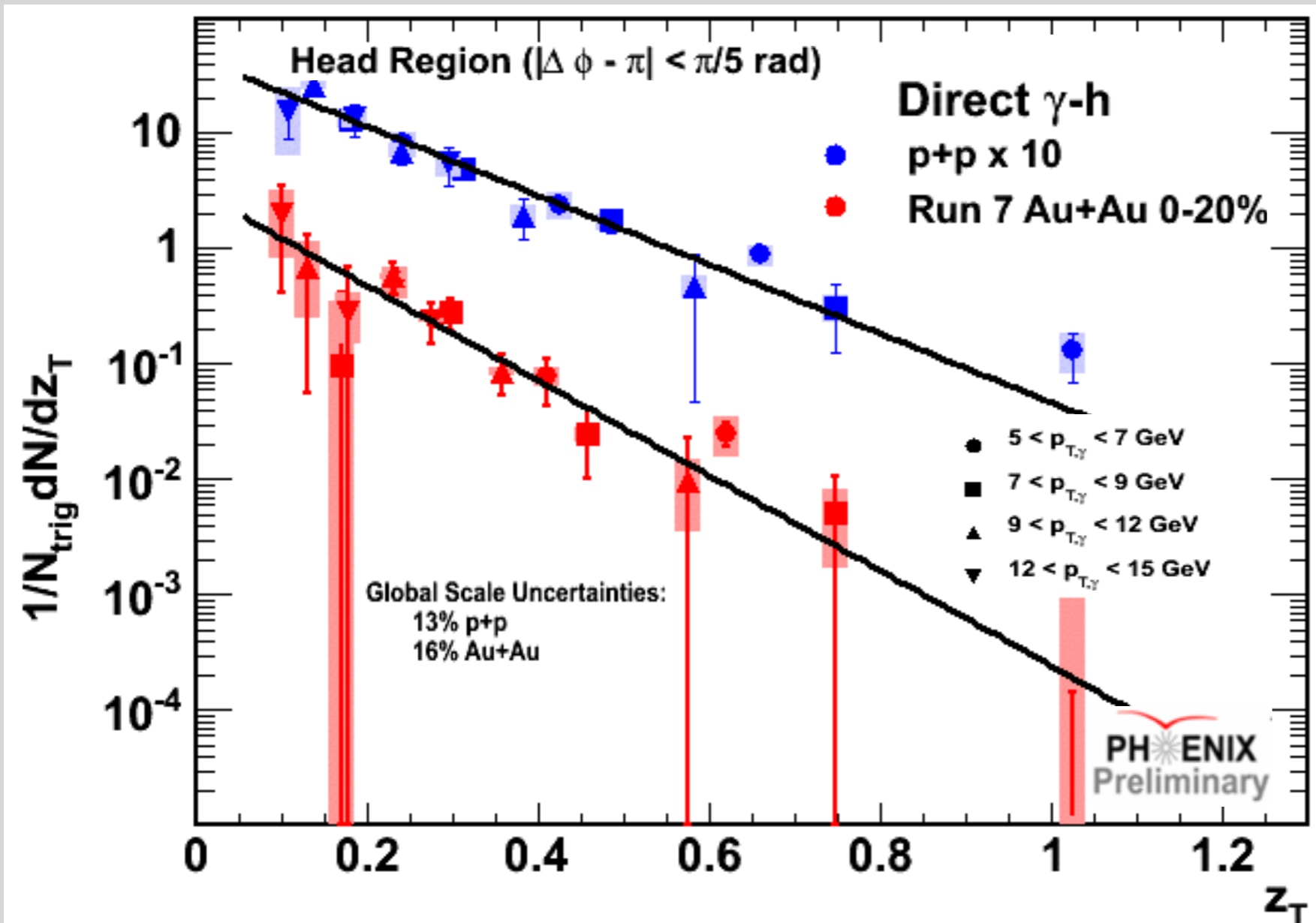


- p+p:
(Effective) jet fragmentation functions can be extracted from γ -hadron azimuthal correlations (modulo initial k_T effect)
- A+A:
Modification of fragmentation function provides information on parton energy loss
- Variables:

$$z_T = \frac{p_T^h}{p_T^\gamma}$$

$$D(z_T) = \frac{1}{N_{\text{trig}}} \frac{dN(z_T)}{dz_T}$$

γ -Triggered Away-side Correlations: Jet Fragmentation Function in p+p and Au+Au



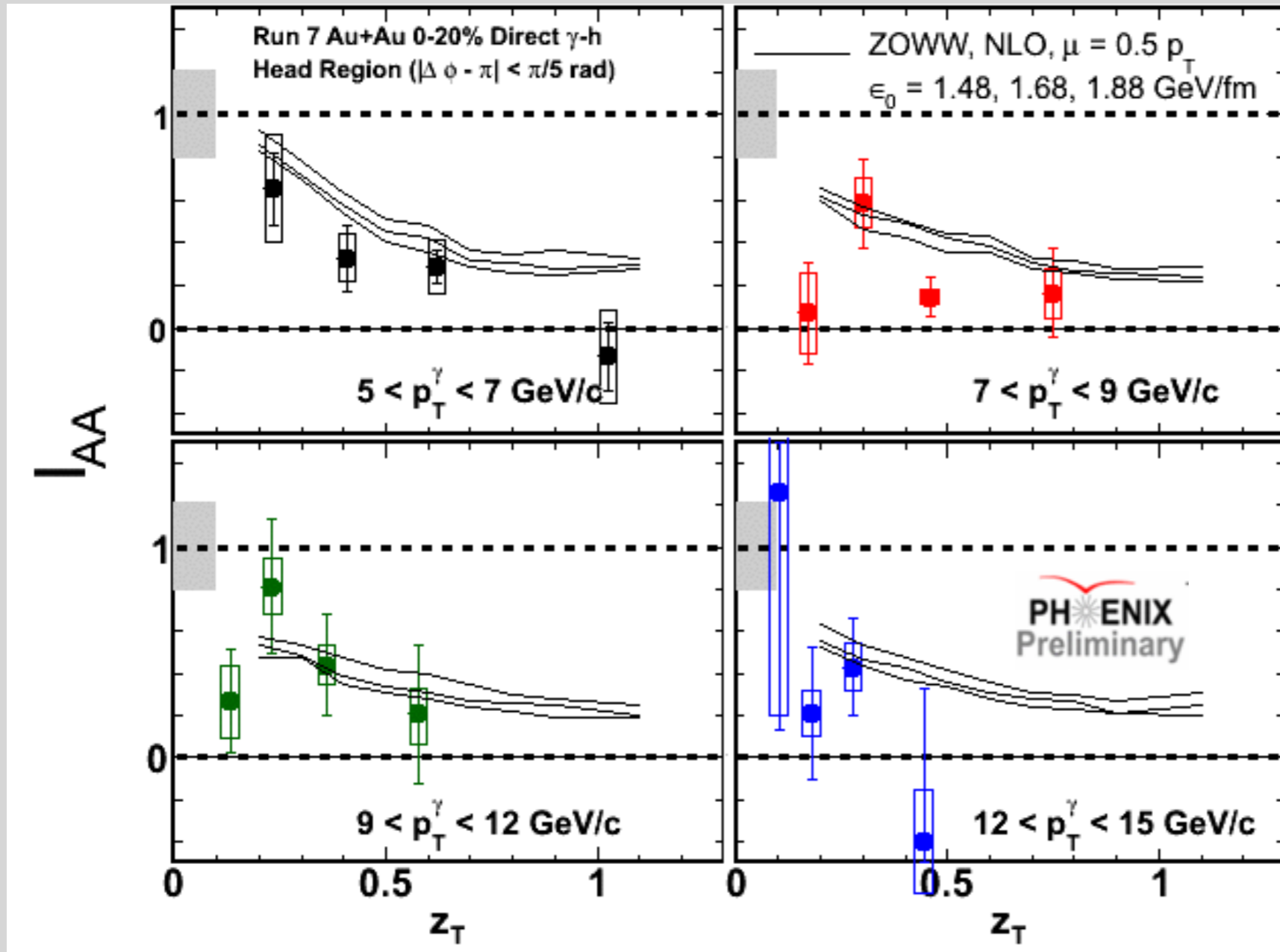
- Fit effective FF's with

$$\frac{dN}{dz_T} = N e^{-bz_T}$$

- p+p: $b = 6.89 \pm 0.64$
- Au+Au: $b = 9.49 \pm 1.37$
- Difference reflects influence of the medium

γ -Triggered Away-side Correlations: Results

$$I_{AA} = D_{AA}(z_T) / D_{pp}(z_T)$$



- Different z_T regions probe different regions of the fireball (arXiv:0902.4000v1)
- Agreement with NLO pQCD + parton energy loss: Indication that energy loss in different regions of the fireball is understood

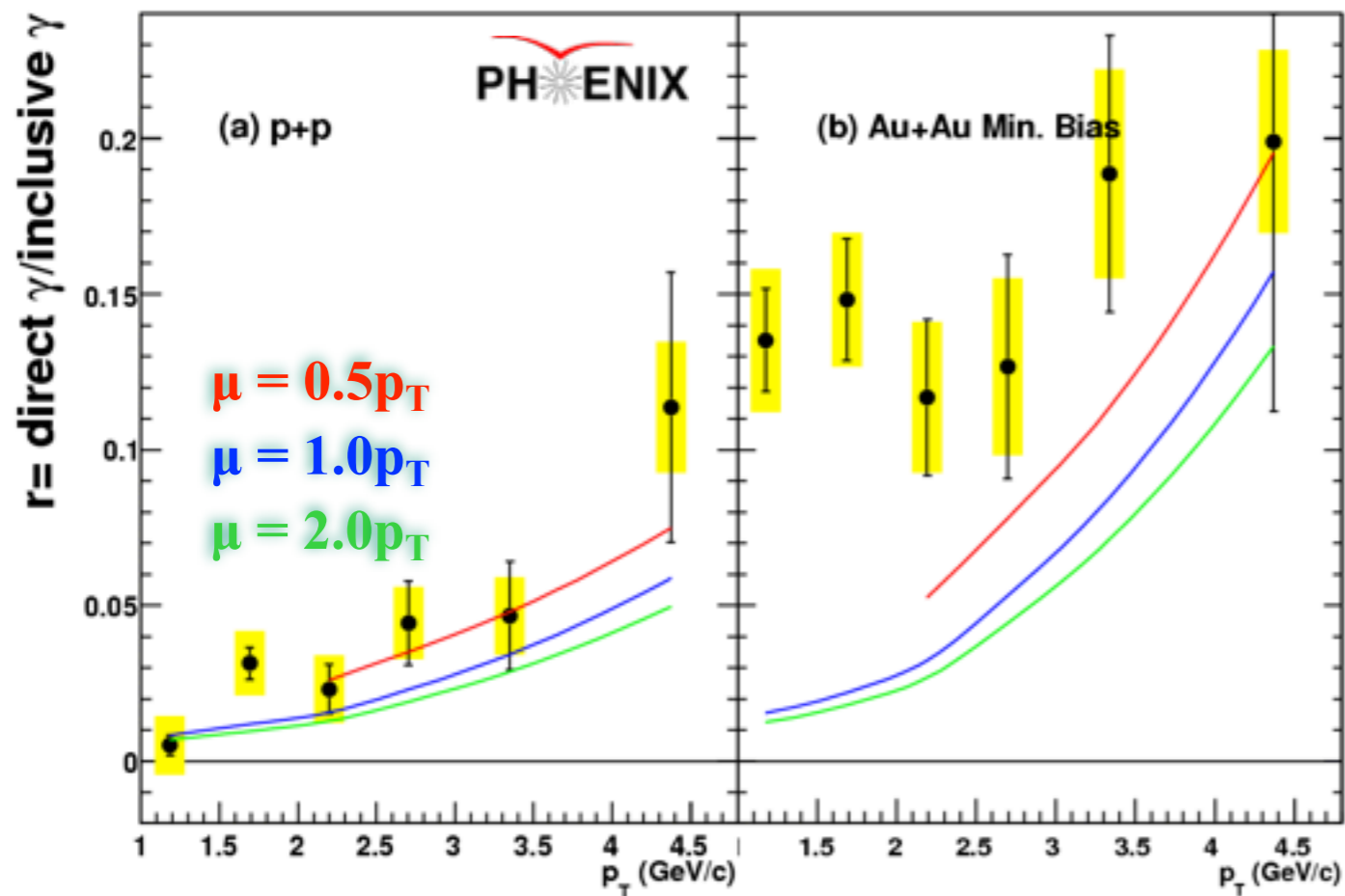
NLO calculation:

Zhang et al. (ZOWW), arXiv:0902.4000v1

Thermal Region

Direct Photon Fraction in p+p and Au+Au at $\sqrt{s_{NN}} = 200$ GeV

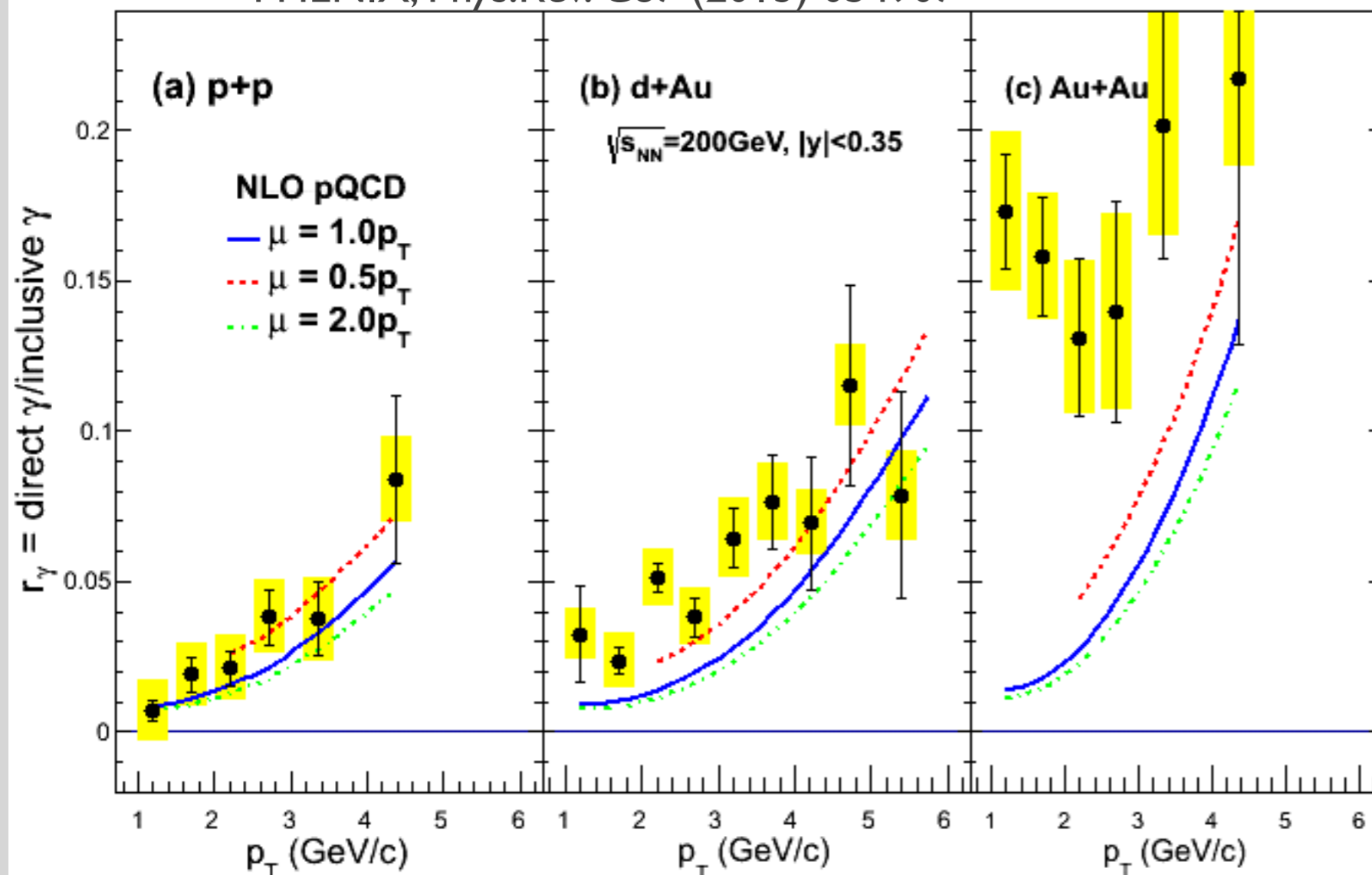
PHENIX, Phys.Rev.Lett. 104 (2010) 132301



- Lowest p_T ever measured in p+p
- Comparison to NLO pQCD (colored lines)
- p+p: Agreement
- Au+Au: Strong enhancement at low p_T

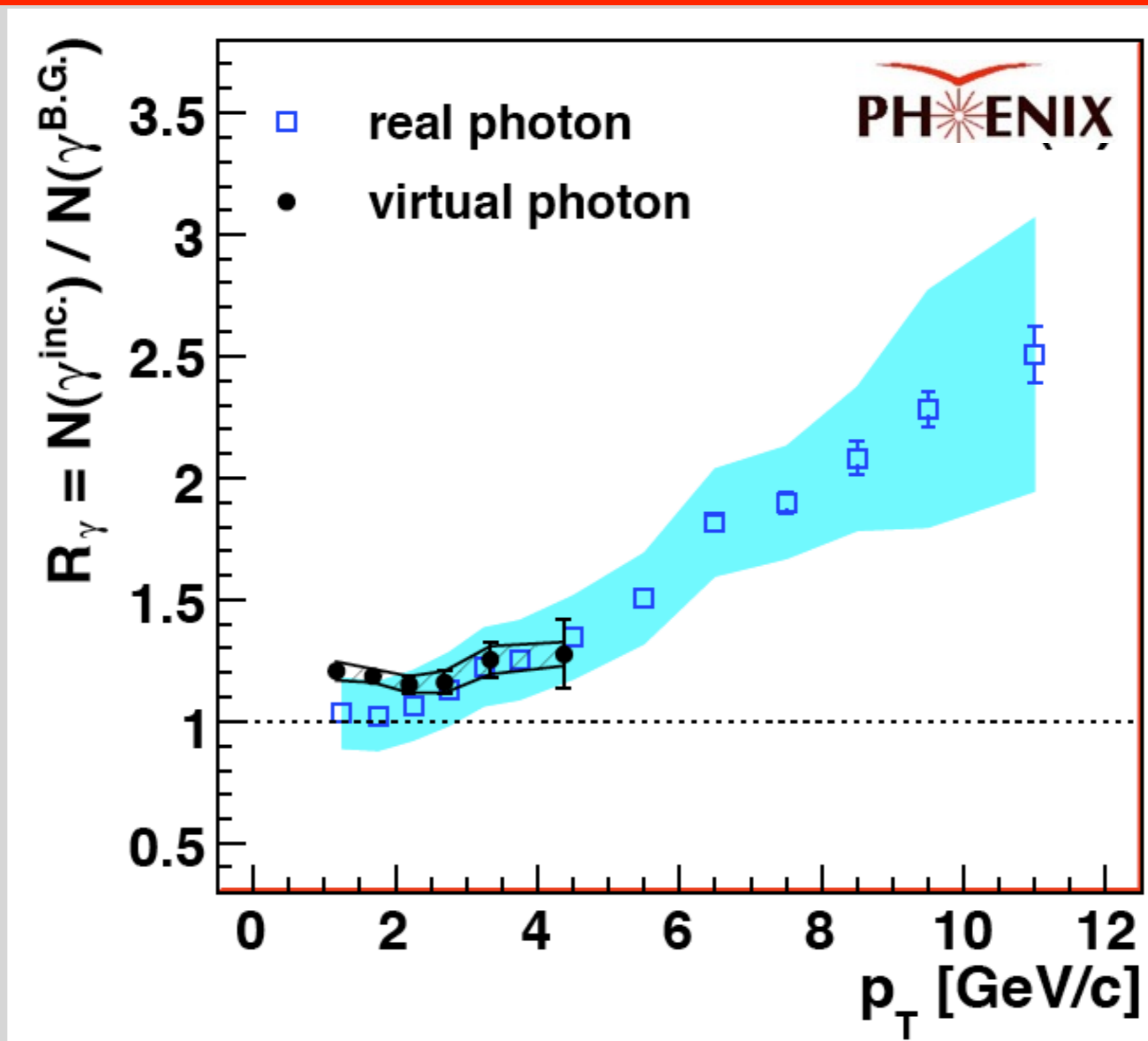
Is it Initial State?

PHENIX, Phys.Rev. C87 (2013) 054907

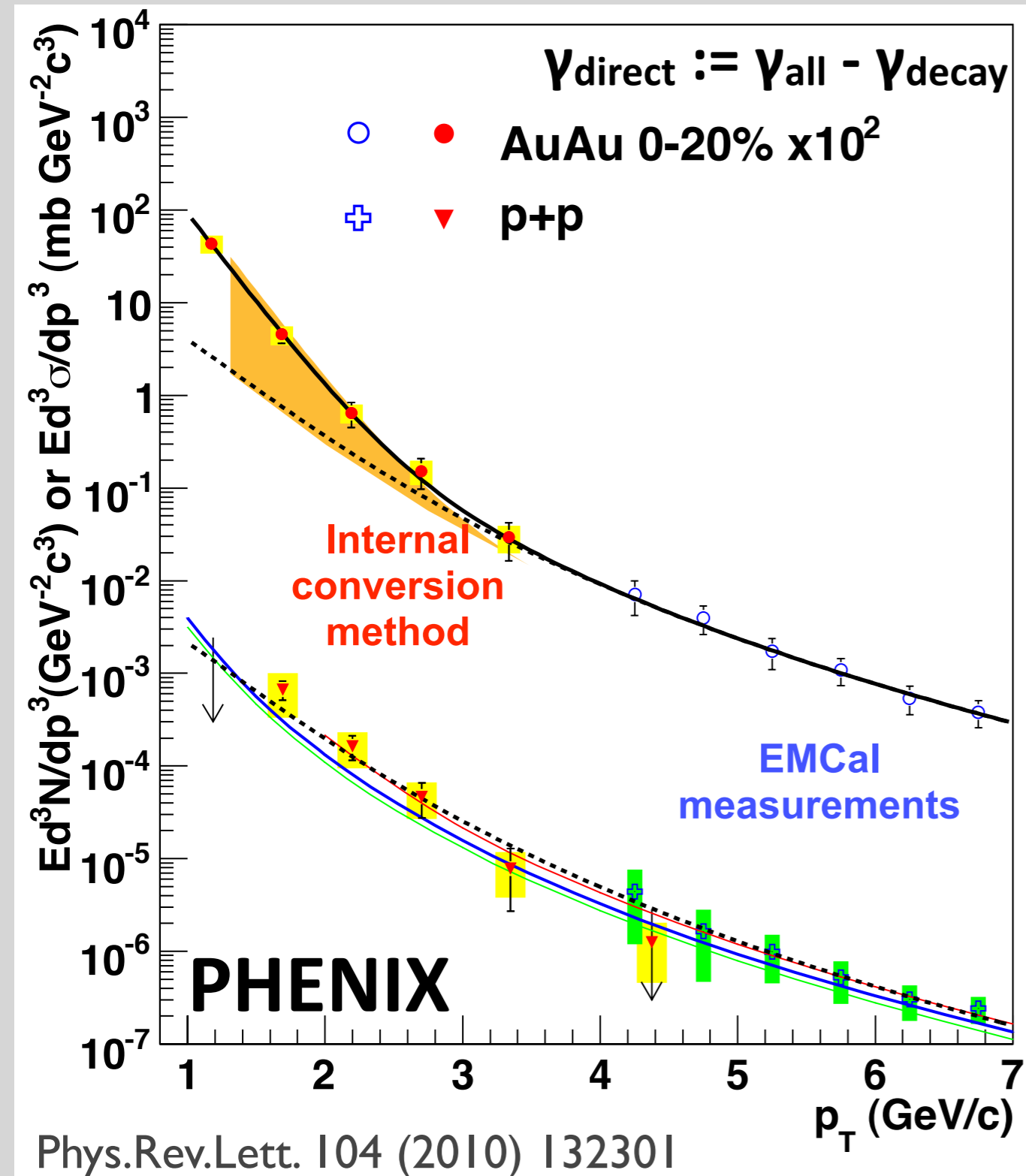


- Use d+Au collisions
- Same method: no significant low p_T enhancement in such collisions
- Hints strongly that enhancement is final state effect in Au+Au

Comparison Between the Internal Conversion Method and the Calorimeter Measurement



Low p_T Direct Photon Excess at RHIC: A Handle to Measure the Temperature of the QGP



- p+p: spectrum described with

$$f_{p+p}(p_T) = A \cdot (1 + p_T^2 / b)^{-n}$$

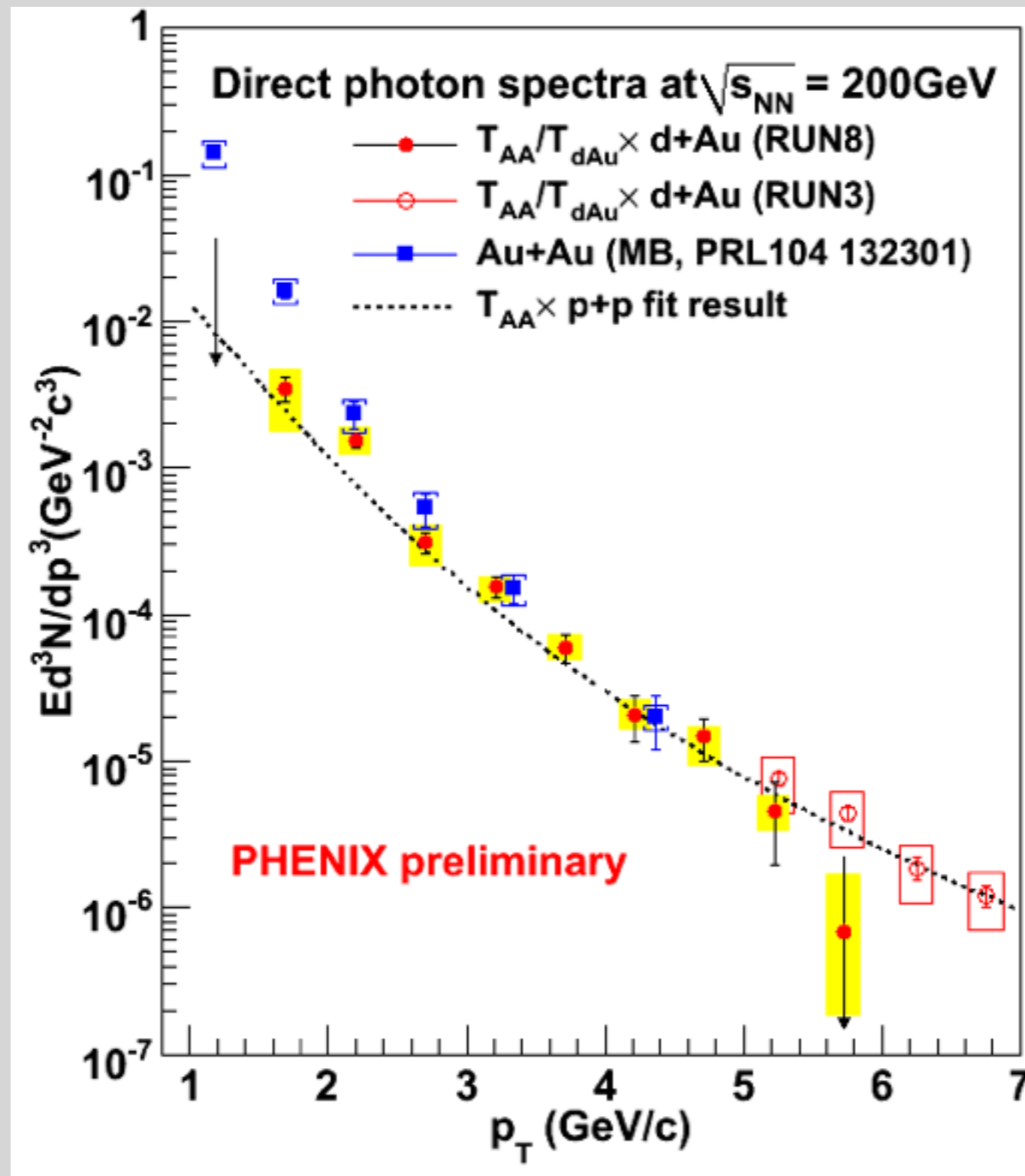
- Au+Au:
Enhancement above p+p described by an exponential (as expected for a thermal source)

$$f_{Au+Au}(p_T) = \frac{N_{\text{coll}}}{\sigma_{\text{NN}}^{\text{inel}}} \times f_{p+p}(p_T) + B \times e^{-\frac{p_T}{T}}$$

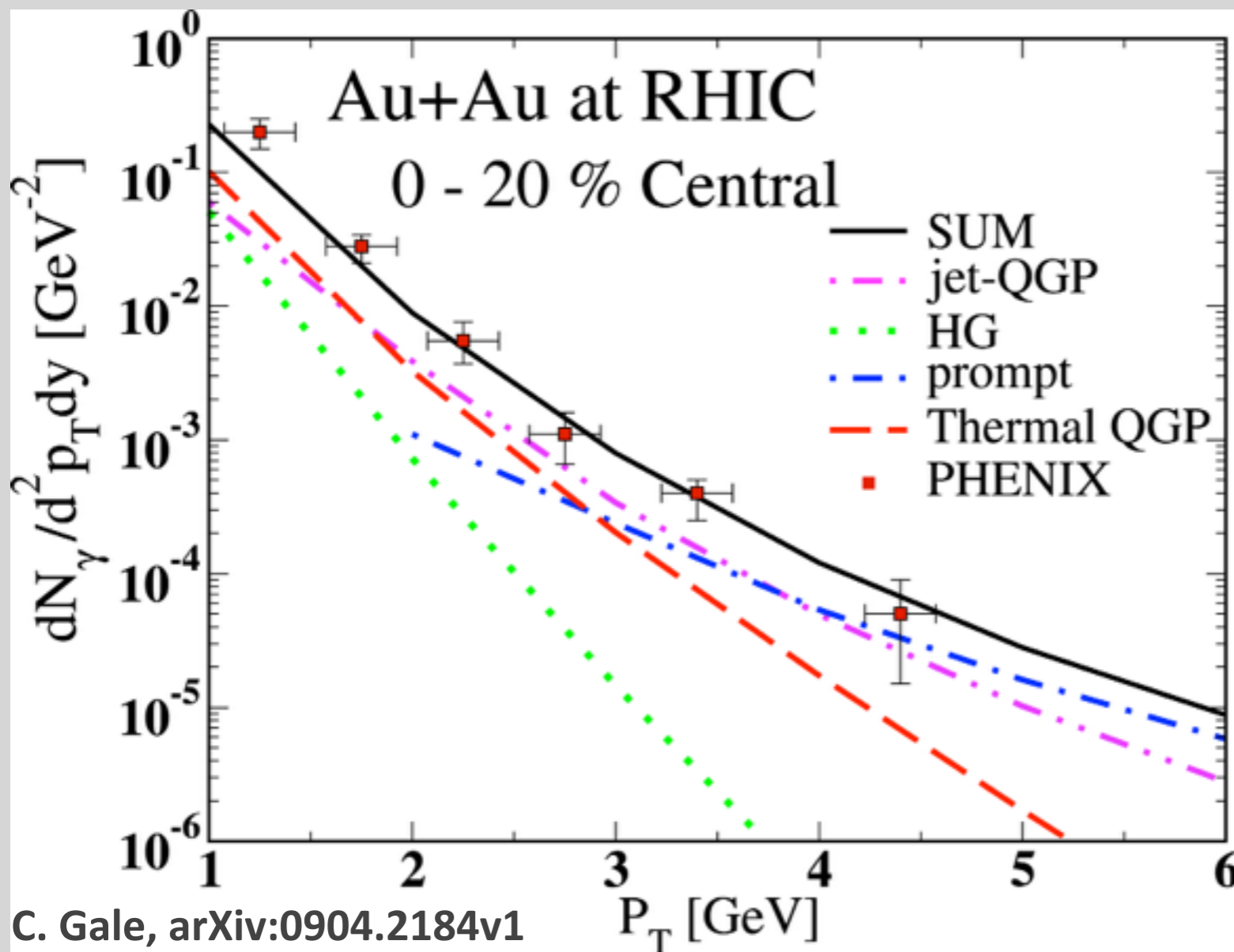
- Slope parameter (0-20%):
 $T = (221 \pm 23 \pm 18) \text{ MeV}$

Historically expected to be a lower limit for the initial temperature!

Critical d+Au Check: No exponential excess in d+Au



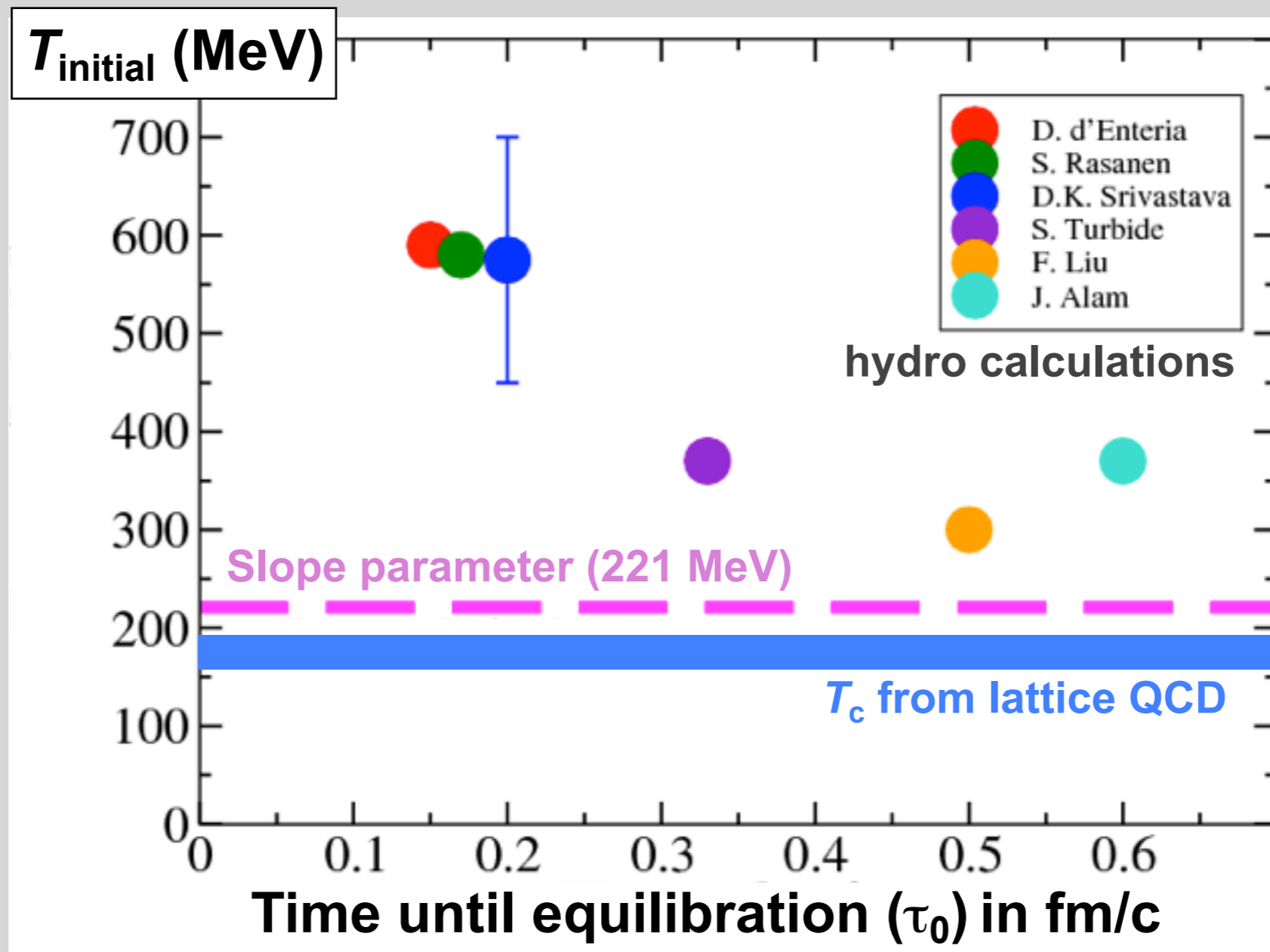
Model Comparison



Similar conclusions for essentially
all hydro models on the market

- Model space-time evolution with ideal hydro
- This calculation (arXiv:0904.2184v1)
- Hydro starts early ($\tau_0 = 0.2 \text{ fm}/c$) to take pre-equilibrium photons into account
- Thermal equilibrium expected at $\tau_0 = 0.6 \text{ fm}/c$ ($T_{\text{initial}} = 340 \text{ MeV}$)
- Photons from jet-plasma interaction needed
- $T_{\text{initial}} > T_c \approx 170 - 190 \text{ MeV}$
→ evidence for the formation of a quark-gluon plasma

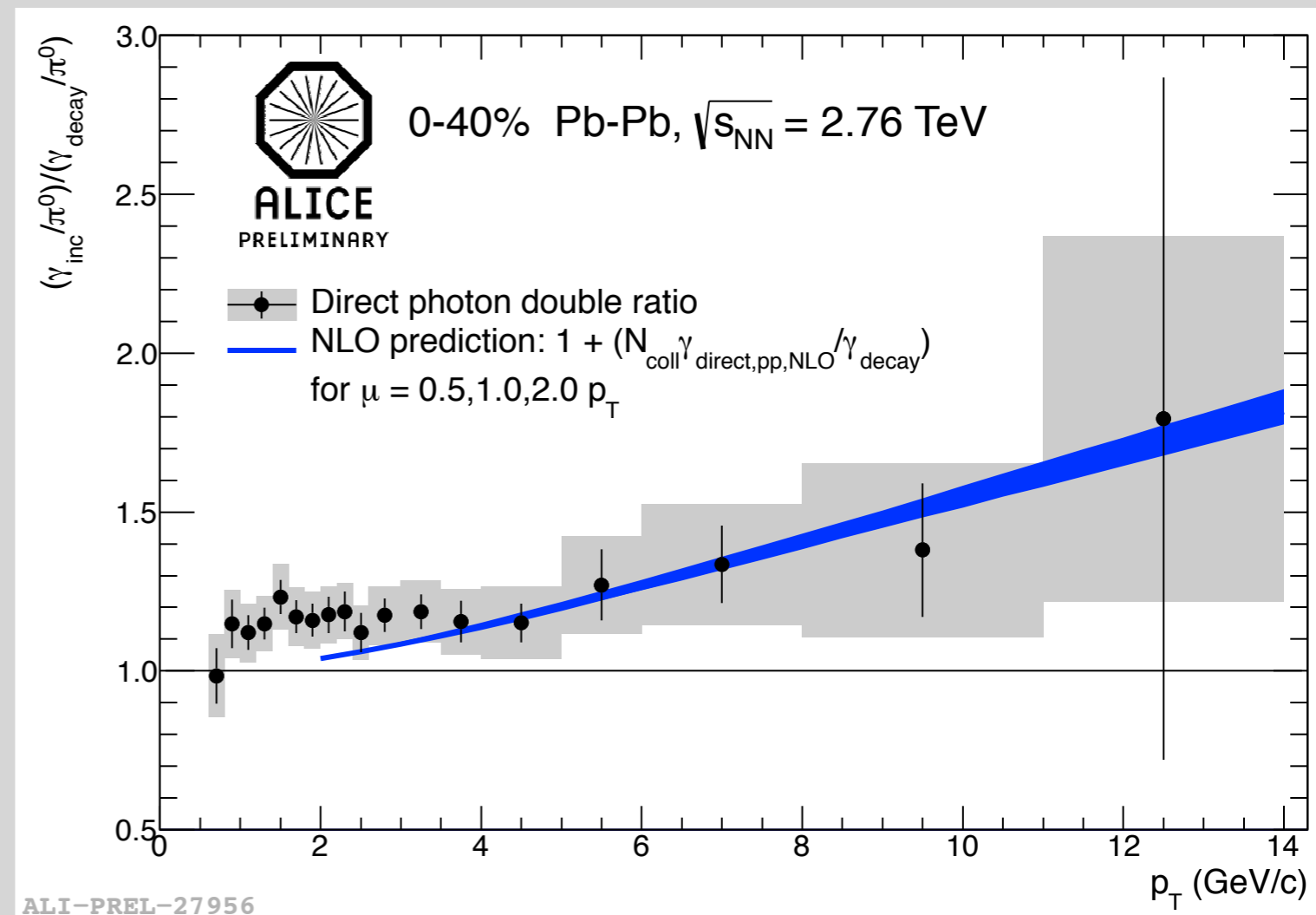
PHENIX Low p_T Direct Photon Data: Comparison with Different Hydro Models



Initial temperature above T_c in all models

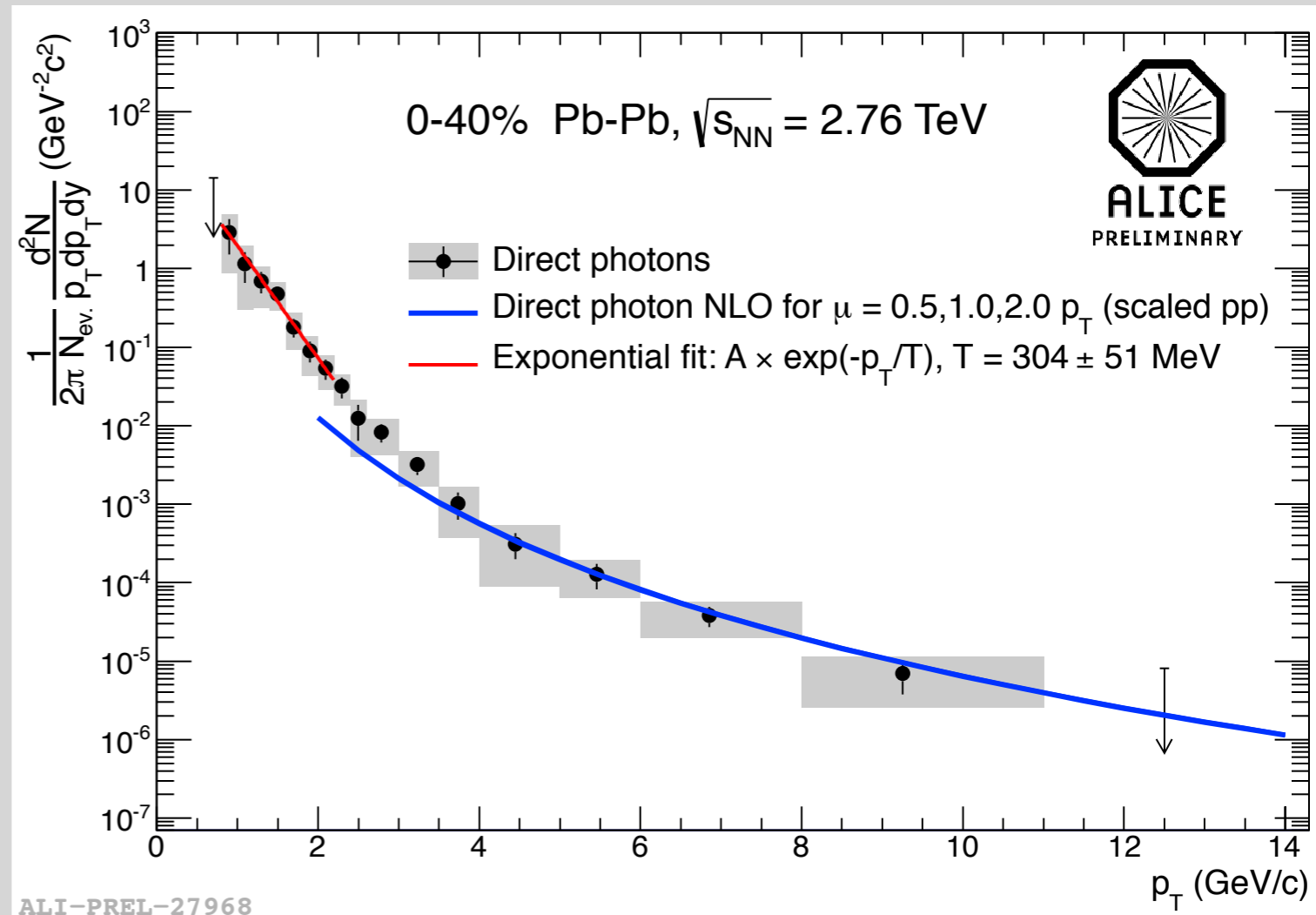
Thermal Photons at the LHC

- ALICE measurement through external conversion also done in Pb+Pb collisions
 - Remember: no significant excess in p+p collisions
 - Same in peripheral Pb+Pb: no significant direct γ signal
- In central Pb+Pb, ALICE observes significant direct photon signal
 - At low p_T , signal is also significantly above NLO!
 - There appear to be additional (thermal) photons



Direct Photon Spectrum at the LHC

- With the double ratio, direct photon spectrum is calculated, here for central Pb+Pb
- At low p_T , the measured spectrum is significantly above **NLO calculation**
- Use **exponential fit** to extract slope T from the spectrum:
 $f(p_T) = A \times \exp(-p_T/T)$
(same fit as used by PHENIX)



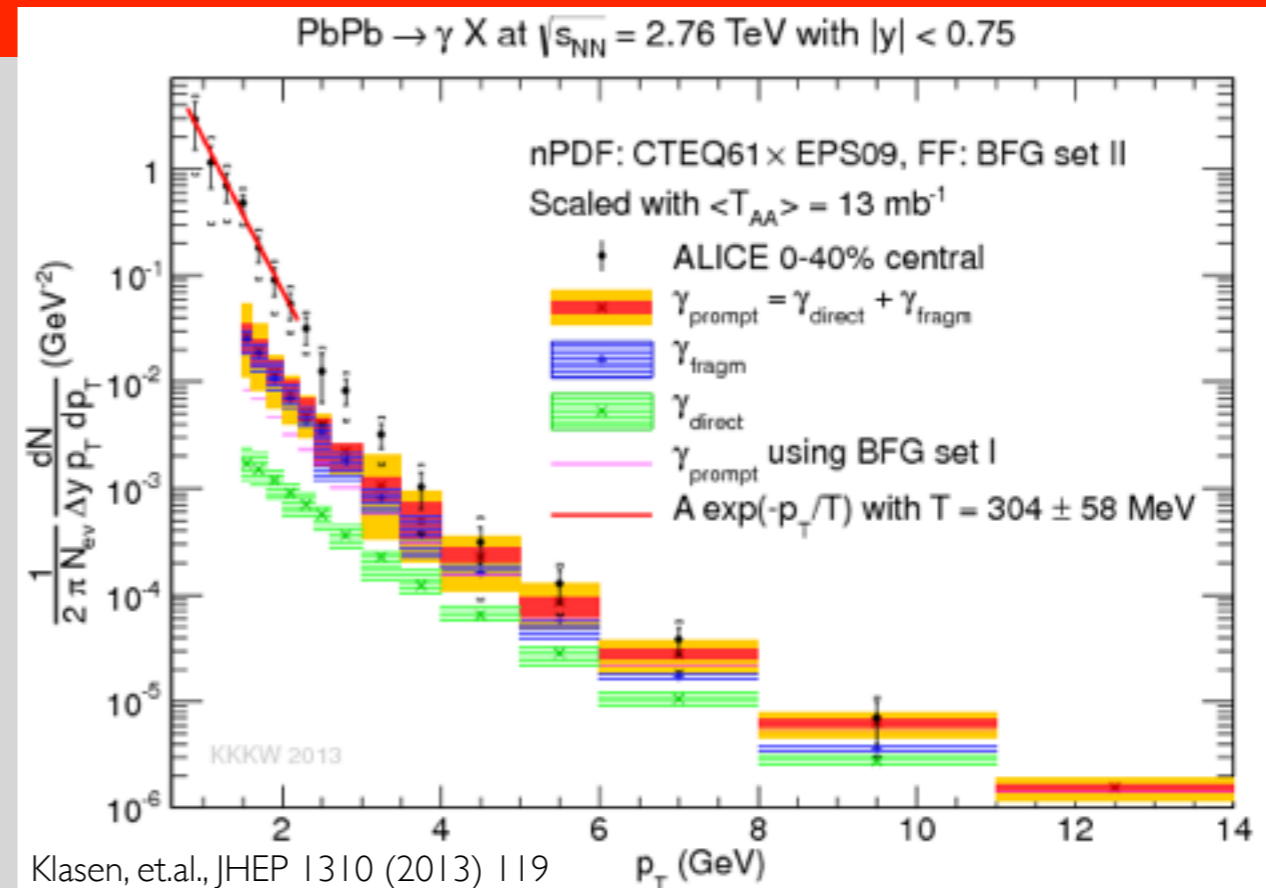
Slope parameter from exponential fit:
 $T = 304 \pm 51$ MeV

compare RHIC: $T = 221 \pm 23 \pm 18$ MeV

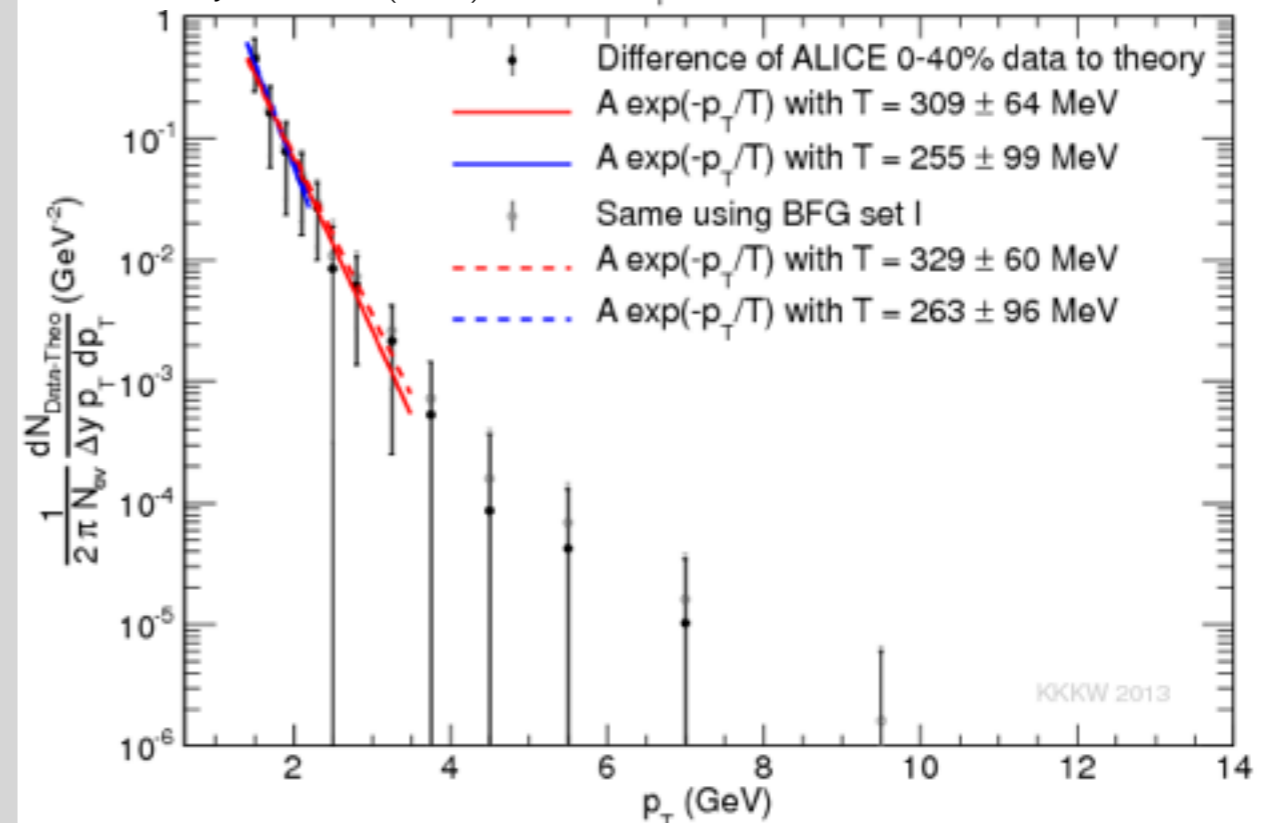
➔ $T(\text{LHC}) > T(\text{RHIC})$

How Robust is the Thermal Interpretation?

- pQCD has uncertainties
- Study effect of uncertainties on slope parameter T
 - Subtract pQCD “background” from thermal signal, including theory uncertainty
 - Fit remaining thermal photon spectrum
- T parameter is very similar to ALICE fit (depending on the BFG set and fit range)
- Thermal signal remains!



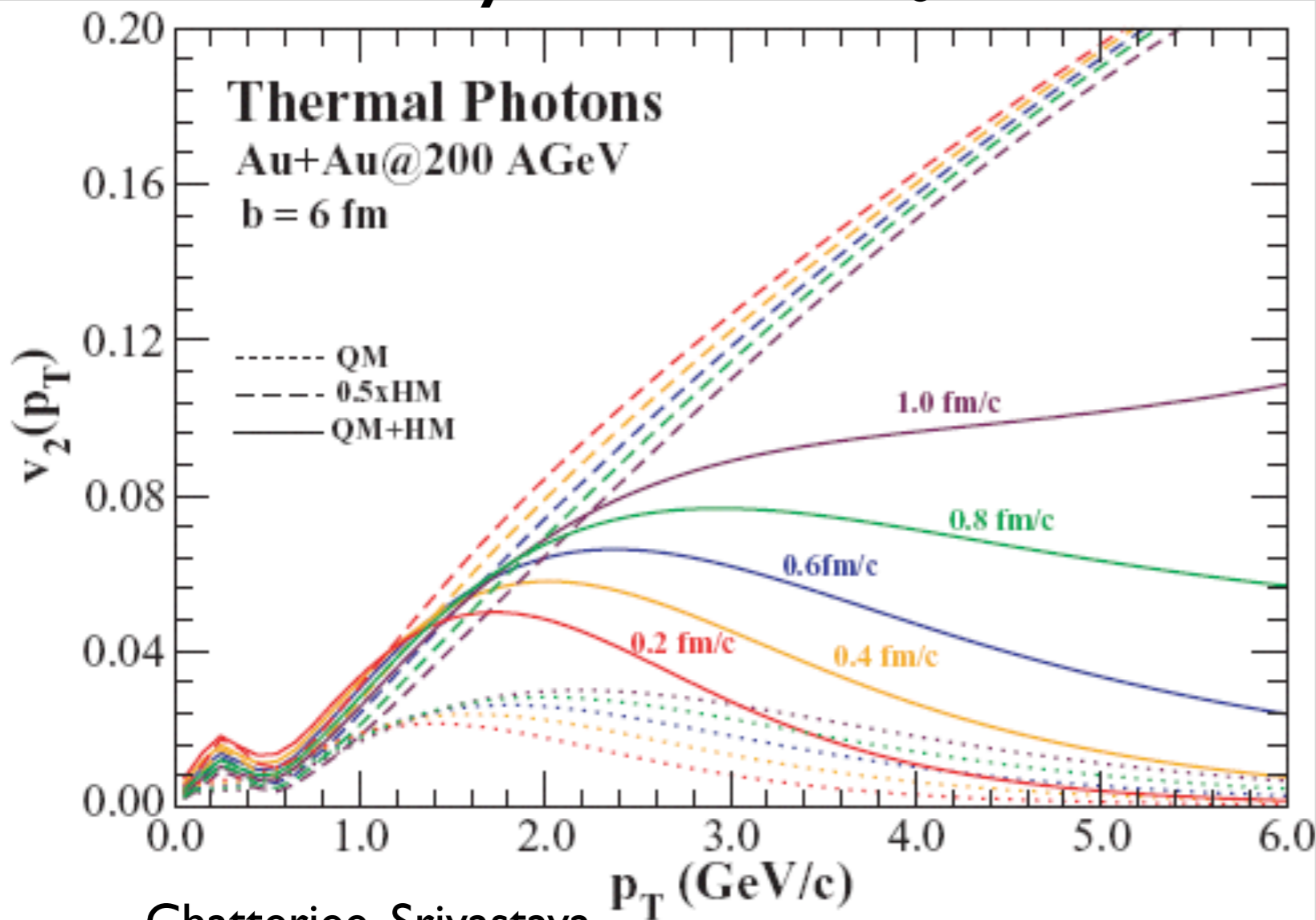
Klasen, et al., JHEP 1310 (2013) 119



Direct Photon Flow

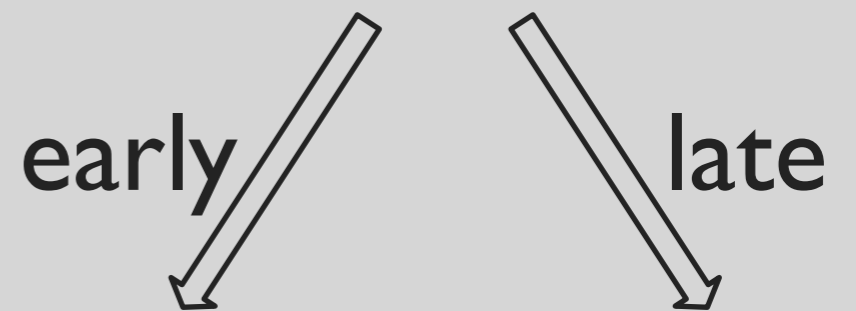
Direct photon v_2 further constrains T_i

Hydro after τ_0



Chatterjee, Srivastava
 PRC79, 021901 (2009)

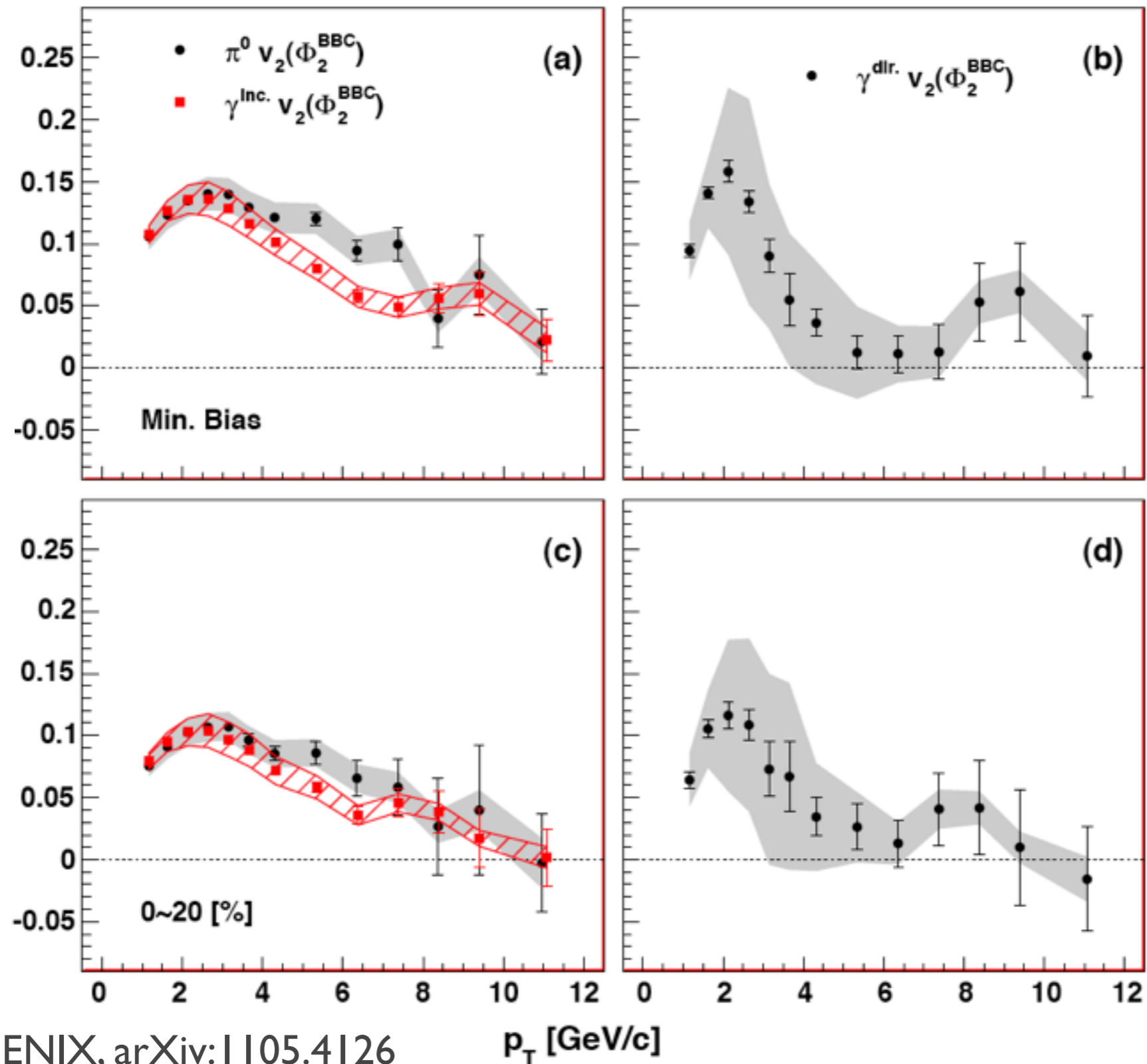
- expected v_2 :
 - prompt photons: 0 (time zero)
 - thermal photons



small
 (flow not
 built up)

large
 (like
 hadrons)

Significant Elliptic Flow of Direct Photons Found in Au+Au at 200 GeV for $p_T < 3$ GeV/c

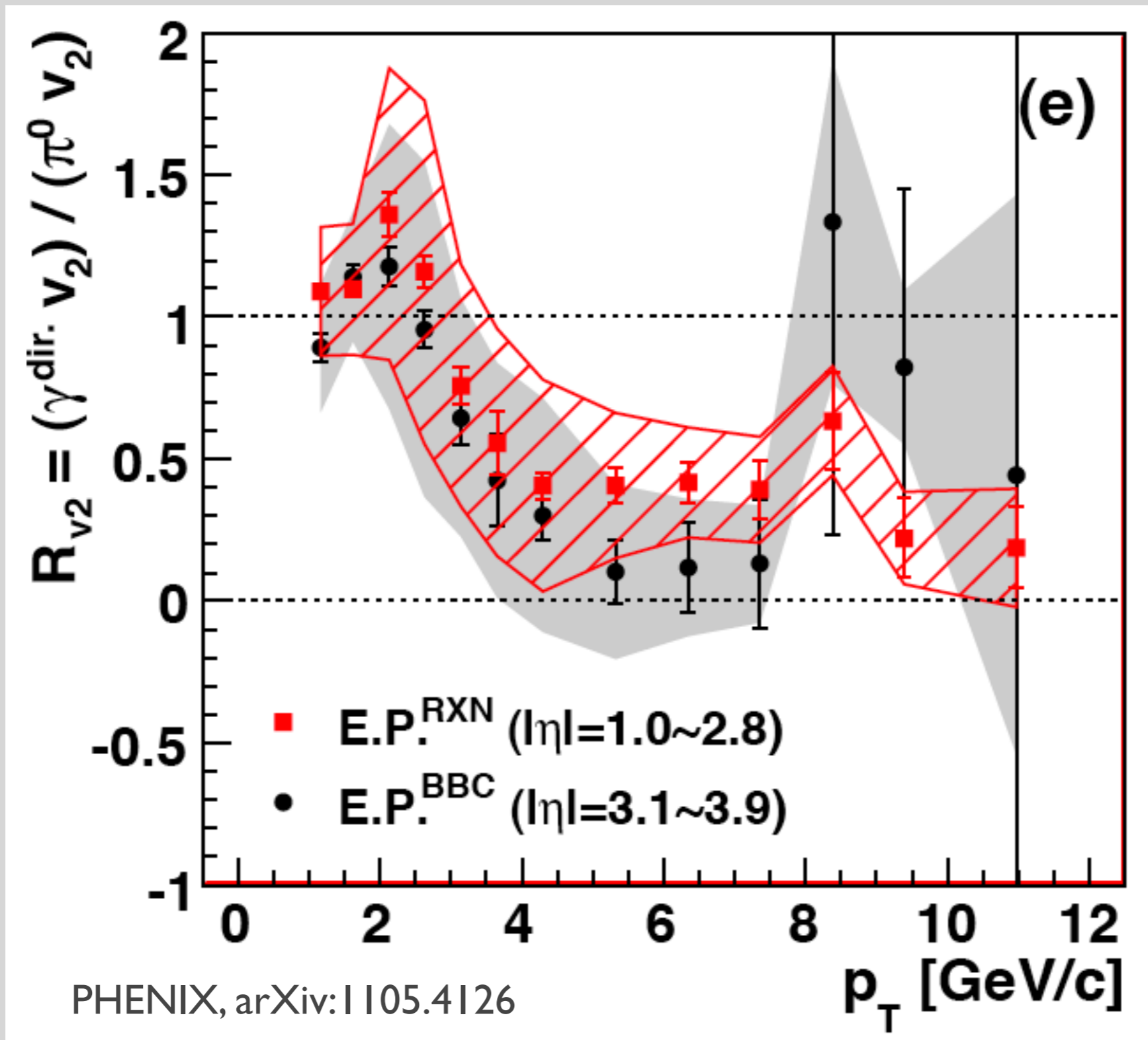


$$v_2^{\text{dir}} = \frac{R_\gamma v_2^{\text{inc}} - v_2^{\text{decay}}}{R_\gamma - 1}$$

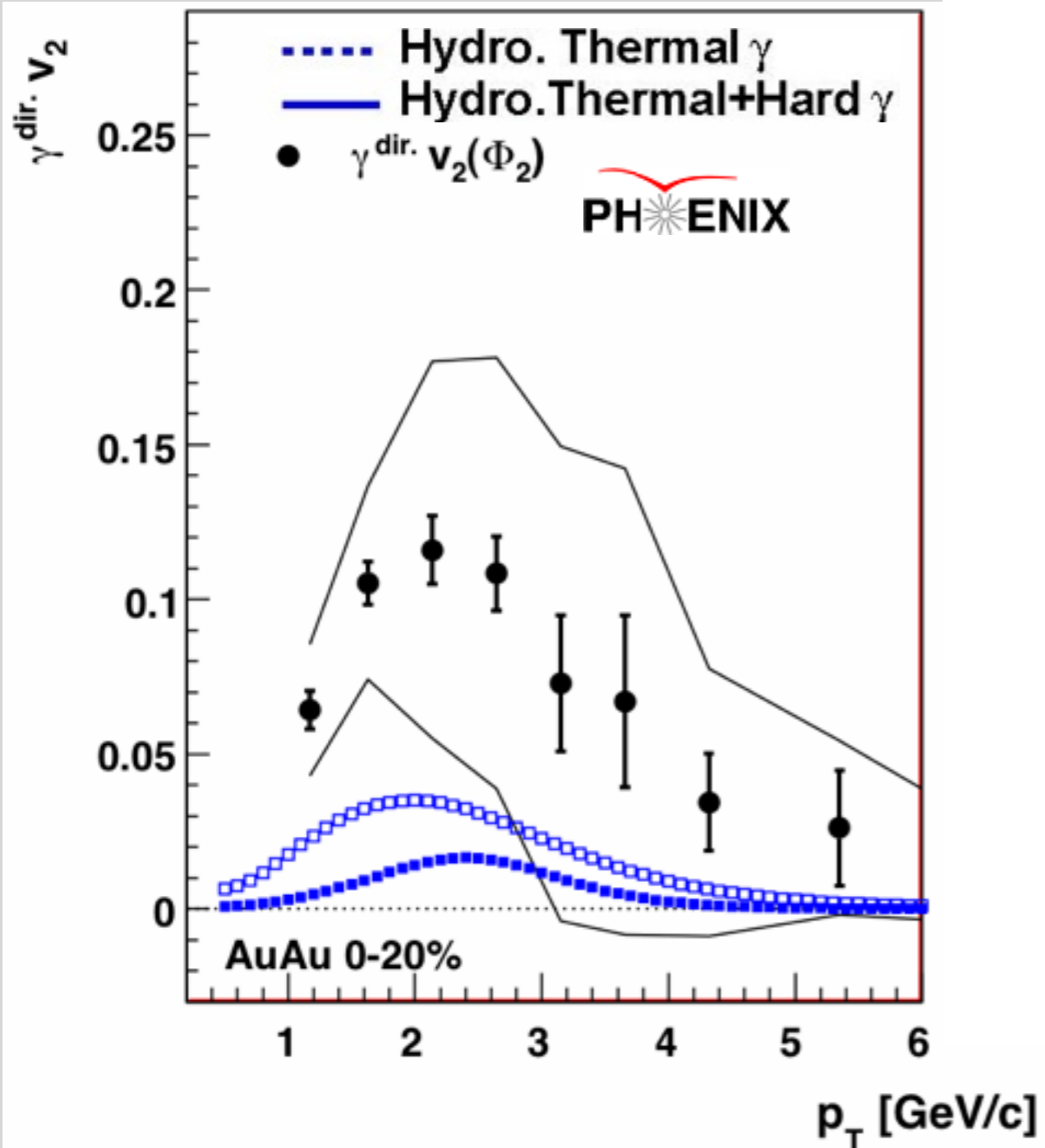
$$R_\gamma = \frac{\gamma^{\text{inc}}}{\gamma^{\text{decay}}}$$

v_2^{inc} from calorimeter measurement

Direct Photon v_2 at $p_T = 2$ GeV/c as large as for Pions

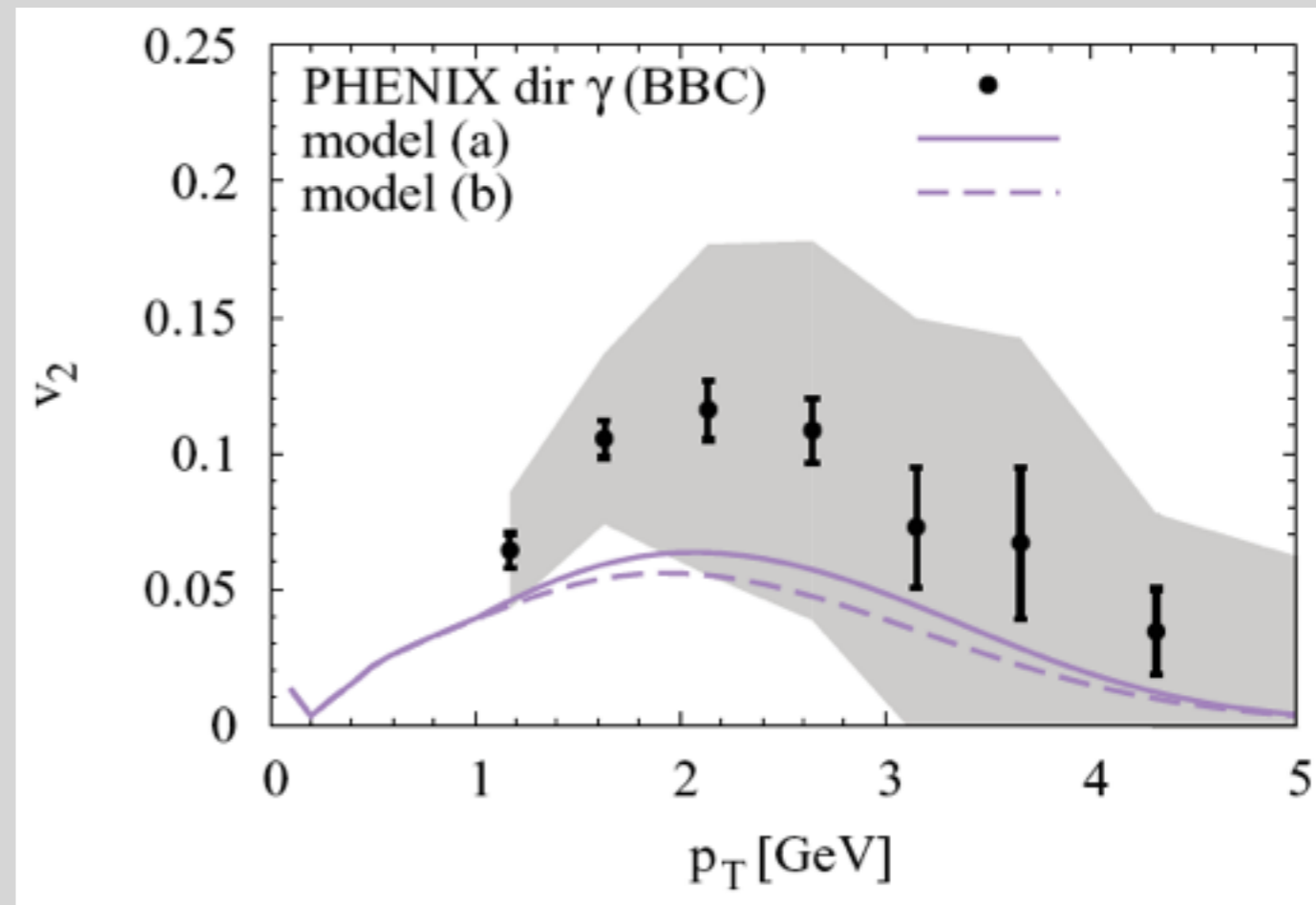


Theory Comparison: A Big Puzzle (!?)



Theory calculation:
 Holopainen, Räsänen, Eskola
 arXiv:1104.5371v1

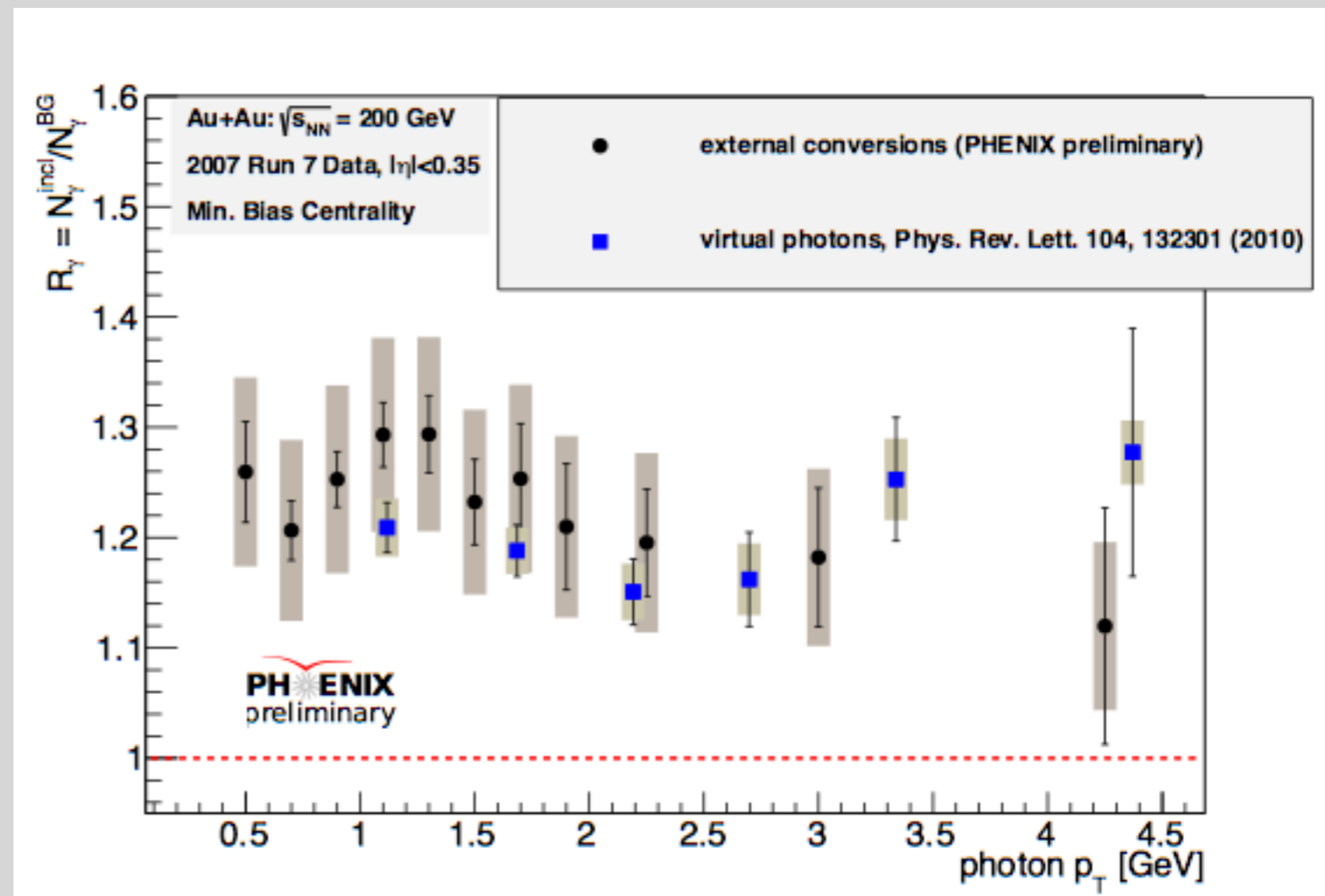
Hendrik van Hees, Charles Gale, Ralf Rapp,
 Phys.Rev. C84 (2011) 054906



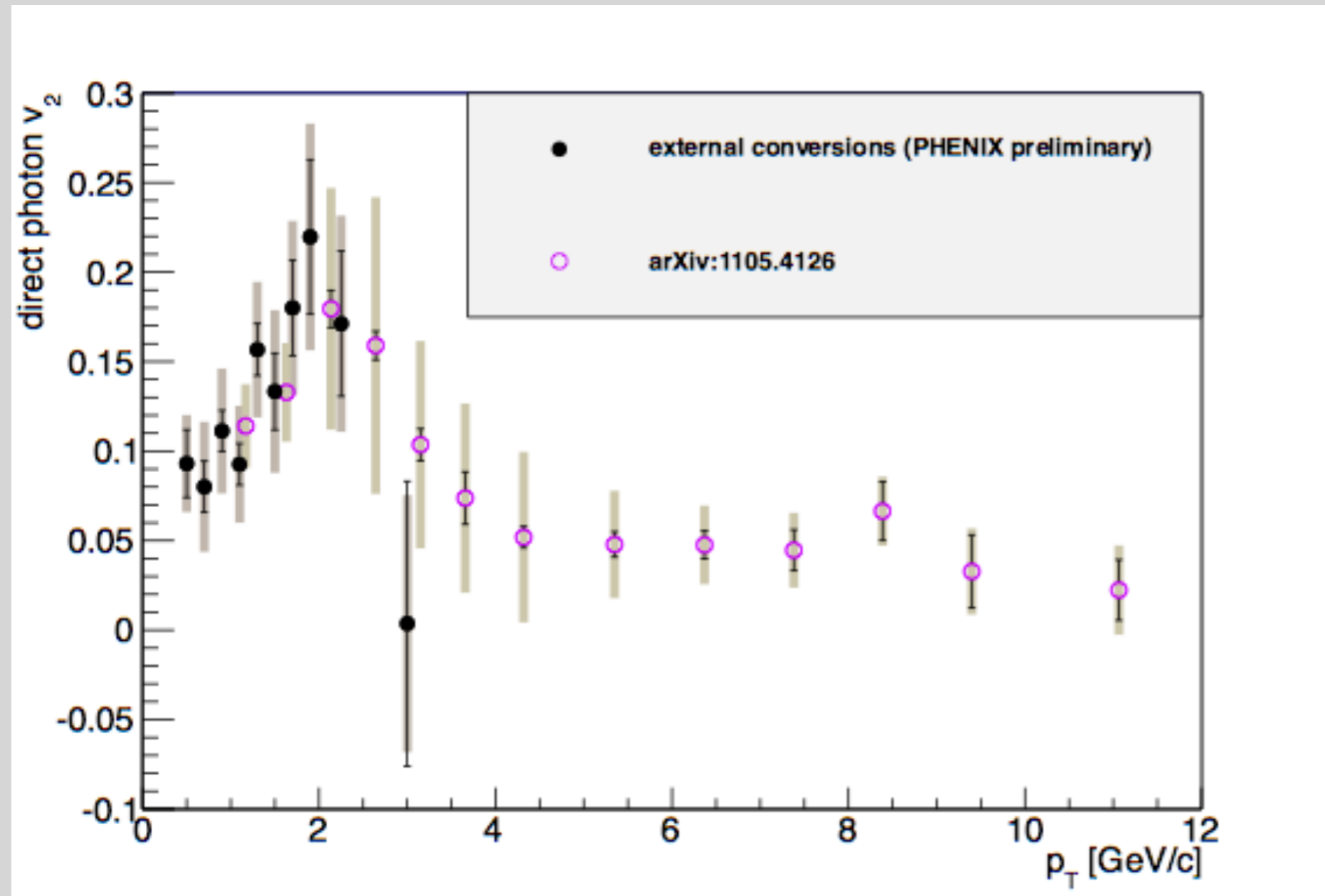
Slope of low p_T direct photons spectrum
 points to early emission, v_2 suggests late
 emission from mixed/hadronic phase

Cross Checks: External Conversion

- High direct photon v_2 is an unexpected result
 - Is there a cross check?
 - Use different method: external conversion with alternate track model
- First thing to compare: R_Y
 - R_Y is necessary to calculate v_2
- R_Y is the same, systematic uncertainties are different



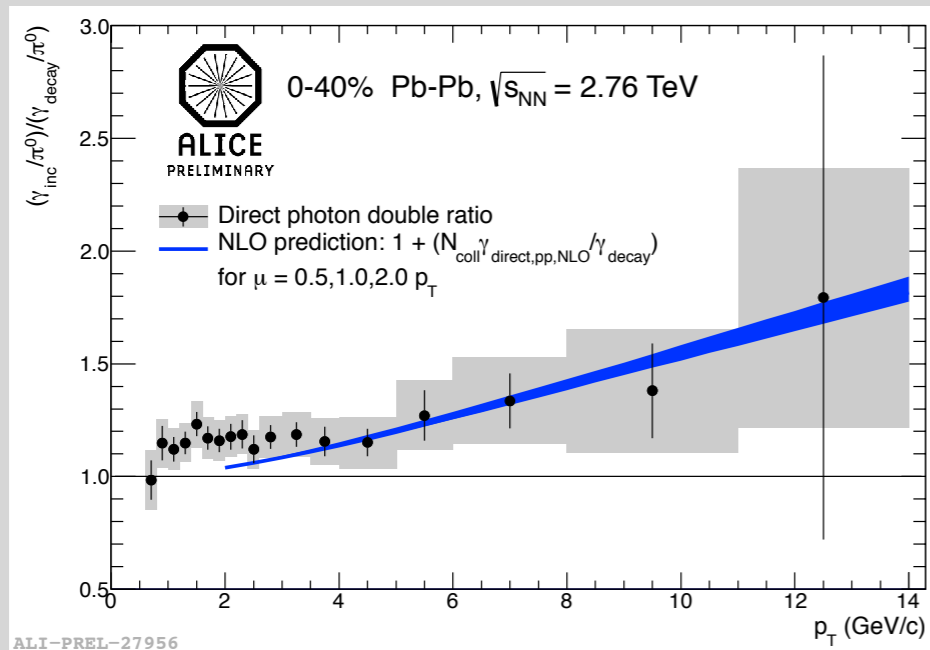
Compare v_2 for Different Methods



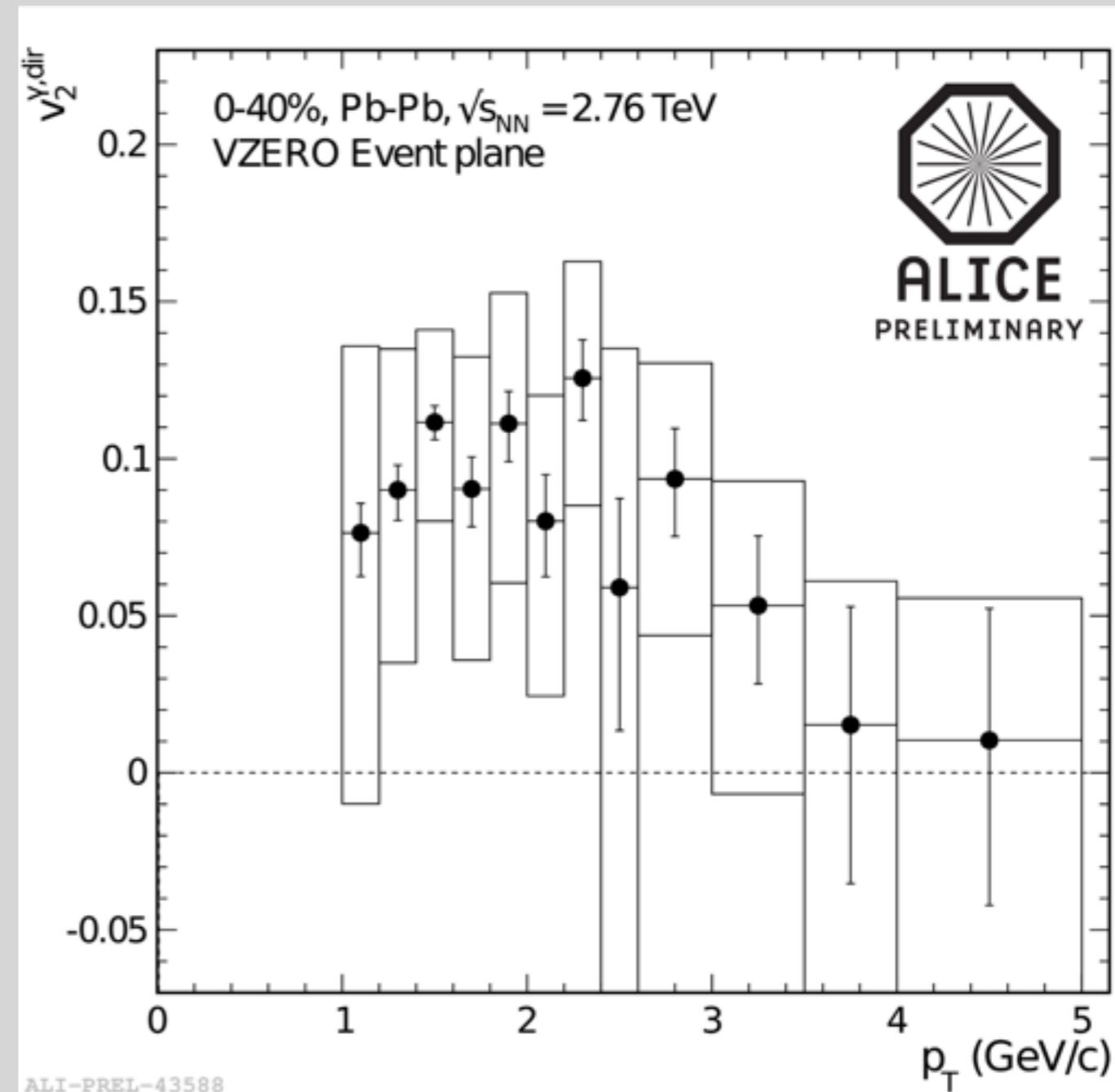
- PHENIX v_2 result is confirmed by the external conversion method
- Both internal and external cross checks are important in experiments!

LHC Enters the Game

- ALICE measured v_2 of direct photons
- Similar size as in PHENIX
 - large systematic uncertainties
- Uncertainties driven by uncertainty on R_γ



- This measurement seems to support the “Direct Photon Puzzle”



Recent Developments?

- Direct photon v_2 and excess still not understood
- Are the measurements wrong? We hope not, but there are more and more cross checks coming
- What is missing in theoretical description?
 - Blue shift? Additional v_2 from interaction with hadrons? Anything else?
 - Ask your favourite theorist
- to be continued ...

Thank You!