

### 1.3. Schrödinger-, Heisenberg- and interaction picture

a) The only quantum question

In classical mechanics one can ask about all properties of a particle (position, momentum, energy, angular momentum, ...)

In quantum mechanics one can ask about probabilities.

Essentially there is only one question:

Consider a physical system at time  $t_1$ , which is prepared such that an observable  $\hat{A}$  has the value  $a$ , i.e.

the physical system is given by the eigen vector  $|a, t_1\rangle$  such that

$$\hat{A}(t_1)|a, t_1\rangle = a|a, t_1\rangle \quad \text{with the Hermitian operator } \hat{A}$$

Then what is the probability  $P$  that one observes at a later time  $t_2$  the value  $b$  for an observable  $\hat{B}$ ?

Answer: If  $|a, t_1\rangle$  is normalized, i.e.  $\langle a, t_1 | a, t_1 \rangle = 1$ , and for an also normalized eigen bra state of  $\hat{B}$  with eigen value  $b$ , i.e.

$$\langle b, t_2 | \hat{B}(t_2) = b \langle b, t_2 | \quad , \quad \langle b, t_2 | b, t_2 \rangle = 1,$$

the probability  $P$  is given by

$$P = |\langle b, t_2 | a, t_1 \rangle|^2$$

The quantity  $\langle b, t_2 | a, t_1 \rangle$  is called "probability amplitude".

b) generalizations

① for non-normalized states

$$P = \frac{|\langle x, t_2 | a, t_1 \rangle|^2}{\langle x, t_2 | x, t_2 \rangle \langle a, t_1 | a, t_1 \rangle}$$

② general pure initial state

system at time  $t_1$  may be specified by  $|\psi, t_1\rangle$

$\leadsto$  expand in eigen states of  $\hat{A}(t_1)$

$$|\psi, t_1\rangle = \sum_i c_i(t_1) |a_i, t_1\rangle$$

$$\text{with } \hat{A}(t_1) |a_i, t_1\rangle = a_i |a_i, t_1\rangle$$

and (for simplicity) with all states normalized:

$$\langle a_i, t_1 | a_j, t_1 \rangle = \delta_{ij} \text{ for all } i, j$$

$$\langle \psi, t_1 | \psi, t_1 \rangle = 1 \Rightarrow \sum_i |c_i|^2 = 1$$

$\Rightarrow$  probability to measure  $h$  at time  $t_2$  for observable  $\hat{B}(t_2)$ :

$$P = |\langle h, t_2 | \psi, t_1 \rangle|^2$$

with normalized eigen state,  $\langle h, t_2 | h, t_2 \rangle = 1$

$$\Rightarrow P = \langle h, t_2 | \left( \sum_{ij} c_i c_j^* |a_i, t_1\rangle \langle a_j, t_1| \right) |h, t_2\rangle$$

example: (set initial time  $t_1 = 0$ ) <sup>amplitude</sup> probability to find particle at time  $t$  at position  $x$  (for pure state)

$$\psi(x, t) = \langle x, t | \psi \rangle$$

③ mixed initial state

Suppose system is <sup>initially</sup> prepared such that one measures the value  $a_i$  with probability  $p_i$  for observable  $\hat{A}$ ,  
 $\sum_i p_i = 1$

$$\Rightarrow P = \sum_i p_i |\langle R, t_2 | a_i, t_1 \rangle|^2$$

$$= \langle R, t_2 | \left( \sum_i p_i |a_i, t_1\rangle \langle a_i, t_1| \right) | R, t_2 \rangle$$

④ general mixed initial state

$$\hat{\rho}(t_1) = \sum_{ij} d_{ij} |a_i, t_1\rangle \langle a_j, t_1|$$

with  $\hat{\rho}^\dagger = \hat{\rho}$ , i.e.  $d_{ij}^* = d_{ji}$ , and  $\text{tr} \hat{\rho} = \sum_i d_{ii} = 1$

$$P = \langle R, t_2 | \hat{\rho}(t_1) | R, t_2 \rangle$$

one gets back case ③ for  $d_{ij} = c_i c_j^*$   
 and case ③ for  $d_{ij} = p_i \delta_{ij}$

### of Heisenberg picture

In the following for convenience we start the clock at the time at which we prepare our system, i.e.  $t_1 = 0$ , and we ask for the probability  $P$  at time  $t (= t_2)$

For a pure initial state  $|\psi\rangle$  we have

$$P = |\langle R, t | \psi \rangle|^2$$

By construction the arbitrary observable  $\hat{B}(t)$  depends on  $t$  whereas  $|\psi\rangle$  does not. This is the Heisenberg picture.

One might decompose  $|\psi\rangle$  at  $t=0$  in terms of the eigen states of  $\hat{B}(t=0)$ :

$$|\psi\rangle = \sum_i \langle R_i, t=0 | \psi \rangle |R_i, t=0\rangle$$

Remark: If we do not know  $\langle R_i, t=0 | \psi \rangle$  directly, but instead e.g. the spatial decomposition  $\langle \vec{r}, t=0 | \psi \rangle$ , then one can calculate

$$\langle R_i, t=0 | \psi \rangle = \int d^3r \langle R_i, t=0 | \vec{r}, t=0 \rangle \underbrace{\langle \vec{r}, t=0 | \psi \rangle}_{\psi(\vec{r}, t=0)}$$

$$\langle R, t | \psi \rangle = \sum_i \underbrace{\langle R, t | R_i, t=0 \rangle}_{\text{dynamical information}} \underbrace{\langle R_i, t=0 | \psi \rangle}_{\text{initialization}}$$

How to get from 0 to  $t$ ?

Let's first show how to get from  $t$  to  $t+dt$ :

We have seen that the momentum operator yields spatial translations:  

$$e^{-i dx p / \hbar} |x\rangle = |x+dx\rangle$$

On the other hand, we know from mechanics that the momentum is related to translations in space like the Hamiltonian is related to translations in time (up to a subtle sign difference)

$$\Rightarrow e^{+i dt \hat{H} / \hbar} |r_i, t\rangle = |r_i, t+dt\rangle \text{ with Hamiltonian } \hat{H}$$

$$\Rightarrow |r_i, t\rangle + i \frac{1}{\hbar} dt \hat{H} |r_i, t\rangle = |r_i, t+dt\rangle$$

$$\Rightarrow -i \hbar \frac{d}{dt} |r_i, t\rangle = \hat{H} |r_i, t\rangle \quad (*)$$

On the other hand, we can define an operator which takes  $|r_i, t\rangle$  to  $|r_i, t'\rangle$ :

$$|r_i, t'\rangle = \hat{U}(t', t) |r_i, t\rangle, \text{ time-translation operator } \hat{U}$$

(that  $\hat{U}$  is independent of  $\hat{B}$  is basically a consequence of  $(*)$ )

properties of  $\hat{U}$ :

$$\textcircled{1} \hat{U}(t, 0) \text{ satisfies } -i \hbar \frac{d}{dt} \hat{U}(t, 0) = \hat{H} \hat{U}(t, 0) \text{ (from } (*))$$

$$\textcircled{2} \hat{U}(t, t) = \mathbb{1}$$

$$\textcircled{3} \text{ since } |r_i, t\rangle \text{ is normalized for every } t \Rightarrow \hat{U}^\dagger(t', t) \hat{U}(t', t) = \mathbb{1} \\ \Rightarrow \hat{U} \text{ is unitary matrix}$$

$$\textcircled{4} \hat{U}(t, t') \hat{U}(t', t'') = \hat{U}(t, t'') \Rightarrow \hat{U}^{-1}(t, t') = \hat{U}(t', t)$$

Remark: The  $\hat{U}$ s form a group

In general  $\hat{H}$  can depend on time. In this case one has the differential equation

$$-i\hbar \frac{d}{dt} \hat{U}(t, 0) = \hat{H}(t) \hat{U}(t, 0) \quad (*)$$

If  $\hat{H}$  does not depend on  $t$  (closed system) a solution of (\*) which satisfies the boundary condition  $\hat{U}(0, 0) = 1$  is given by

$$\hat{U}(t, 0) = \exp(i\hat{H}t/\hbar)$$

(We will see later how to get  $\hat{U}$  for time-dependent  $\hat{H}$ .)

general strategy how to determine the dynamical information  $\langle \mathcal{R}, t | \mathcal{R}_i, t=0 \rangle$ :

① for given  $\hat{H}(t)$  determine (in whatever way)  $\hat{U}(t, 0)$  from (\*)

②  $\langle \mathcal{R}, t | \mathcal{R}_i, t=0 \rangle = \langle \mathcal{R}, t=0 | \hat{U}^\dagger(t, 0) | \mathcal{R}_i, t=0 \rangle$

One can also apply  $\hat{U}$  to  $\hat{B}(t)$  instead of to its eigen vectors

$$\hat{B}(t) = \hat{U}(t, 0) \hat{B}(0) \hat{U}(0, t) = \hat{U}(t, 0) \hat{B}(0) \hat{U}^\dagger(t, 0)$$

$\hat{B}(t)$  satisfies Heisenberg's equation of motion

$$\begin{aligned} i\hbar \frac{d}{dt} \hat{B}(t) &= \left[ i\hbar \frac{d}{dt} \hat{U}(t, 0) \right] \hat{B}(0) \hat{U}^\dagger(t, 0) + \hat{U}(t, 0) \hat{B}(0) i\hbar \frac{d}{dt} \hat{U}^\dagger(t, 0) \\ &= -\hat{H}(t) \hat{U}(t, 0) \hat{B}(0) \hat{U}^\dagger(t, 0) + \hat{U}(t, 0) \hat{B}(0) \hat{U}^\dagger(t, 0) \hat{H}(t) \\ &= [\hat{B}(t), \hat{H}(t)] \end{aligned}$$

$$\Rightarrow \boxed{\frac{d}{dt} \hat{B}(t) = \frac{i}{\hbar} [\hat{H}(t), \hat{B}(t)]}$$

# d) Schrödinger picture

So far we worked in the Heisenberg picture where the observables (and their eigen vectors) depend on time while the physical state vector  $|\psi\rangle$  does not.

With the help of  $U(t, 0)$  one can shift the time dependence from the operators to the state vector  $|\psi\rangle$ :

For arbitrary vectors  $|\varphi, t\rangle$  and operators  $\hat{O}(t)$  (in the Heisenberg picture) we apply the unitary operation

$$|\varphi, t\rangle \rightarrow |\varphi, t\rangle_S = \hat{U}(0, t) |\varphi, t\rangle$$

$$\hat{O}(t) \rightarrow \hat{O}_S(t) = \hat{U}(0, t) \hat{O}(t) \hat{U}(t, 0)$$

For observables  $\hat{B}(t)$  like position, momentum, ... one

finds  $\hat{B}_S = \hat{U}(0, t) \hat{B}(t) \hat{U}(t, 0) =$

$$= \hat{U}(0, t) \hat{U}(t, 0) \hat{B}(0) \hat{U}(0, t) \hat{U}(t, 0)$$

$$= \underbrace{\hat{U}(0, 0)}_{= \mathbb{1}} \hat{B}(0) \hat{U}(0, 0) = \hat{B}(0)$$

$\Rightarrow \hat{B}_S$  is time independent

The same is true for the eigen vectors  $|\varphi_i\rangle_S$  of  $\hat{B}_S$  (recall that the  $\varphi_i$  do not change under unitary transformations)

For  $|\psi, t\rangle_S$  one gets:

$$\boxed{i\hbar \frac{d}{dt} |\psi, t\rangle_S = i\hbar \frac{d}{dt} \hat{U}(0, t) |\psi\rangle = \hat{U}(0, t) \hat{H}(t) |\psi\rangle}$$

$$= \underbrace{\hat{U}(0, t) \hat{H}(t) \hat{U}(t, 0)}_{= \hat{H}_S} \underbrace{\hat{U}(0, t) |\psi\rangle}_{|\psi, t\rangle_S} = \boxed{\hat{H}_S |\psi, t\rangle_S}$$

↑  
time indep.  
Schrödinger equation

Remarks:

- Recall that scalar products do not change under unitary transformations

$$\Rightarrow \langle \varphi | O | \varphi' \rangle = \langle \varphi | O_S | \varphi' \rangle_S$$

$\uparrow$  Heisenberg picture                       $\uparrow$  Schrödinger picture

- The same is true for the commutator of position and momentum

$$[x_S, p_S] = i\hbar = [x(t), p(t)]$$

Note that  $[x(t), p(t')] \neq i\hbar$  in general  
 $\uparrow$   
not  $t!$

d) interaction (Dirac) picture

Typically the operator valued differential equations for the time-translation operator

$$-i\hbar \frac{d}{dt} \hat{U}(t, 0) = \hat{H}(t) \hat{U}(t, 0)$$

is hard to solve.

Consider the following case:

$$\hat{H}(t) = \hat{H}_0 + \lambda \hat{H}_{int}(t)$$

with known eigen states

where  $\hat{H}_0$  is independent of time and  $\lambda \hat{H}_{int}(t)$  is small (i.e. all matrix elements are small, formally:  $\lambda$  small)

$\Rightarrow$  try to find perturbative solution of problem, i.e. approximate solution in powers of  $\lambda$



define  $\hat{U}_D(t) \equiv e^{-i\hat{H}_0 t/\hbar} \hat{U}(t, 0)$

$\Rightarrow \hat{U}(t, 0) = e^{i\hat{H}_0 t/\hbar} \hat{U}_D(t)$

$\Rightarrow$  have to determine  $\hat{U}_D$ ; it satisfies

$$\begin{aligned}
 -i\hbar \frac{d}{dt} \hat{U}_D(t) &= -\hat{H}_0 \hat{U}_D(t) - i\hbar e^{-i\hat{H}_0 t/\hbar} \frac{d}{dt} \hat{U}(t, 0) \\
 &= -\hat{H}_0 \hat{U}_D(t) + e^{-i\hat{H}_0 t/\hbar} \hat{H}(t) e^{i\hat{H}_0 t/\hbar} \hat{U}_D(t) \\
 &= -\hat{H}_0 \hat{U}_D(t) + e^{-i\hat{H}_0 t/\hbar} (\hat{H}_0 + \lambda \hat{H}_{int}(t)) e^{i\hat{H}_0 t/\hbar} \hat{U}_D(t) \\
 &= \lambda \underbrace{e^{-i\hat{H}_0 t/\hbar} \hat{H}_{int}(t) e^{i\hat{H}_0 t/\hbar}}_{\equiv: \hat{H}_D(t)} \hat{U}_D(t)
 \end{aligned}$$

$\Rightarrow -i\hbar \frac{d}{dt} \hat{U}_D(t) = \lambda \hat{H}_D(t) \hat{U}_D(t) \quad (*)$

↑  
small!

The unitary operator  $e^{-i\hat{H}_0 t/\hbar}$  transforms from the Heisenberg to the interaction picture; e.g. for an eigenstate of  $\hat{B}(t)$ :

$|R, t\rangle \equiv \hat{U}(t, 0) |R, t=0\rangle = e^{i\hat{H}_0 t/\hbar} \hat{U}_D(t) |R, t=0\rangle$

$\Rightarrow |R, t\rangle_D \equiv e^{-i\hat{H}_0 t/\hbar} |R, t\rangle = \hat{U}_D(t) |R, t=0\rangle$

↑  
interaction picture

How to solve (\*)?

① interested in accuracy of order  $\lambda$ , i.e. neglect  $\lambda^2$  terms

claim:  $\hat{U}_D(t) \approx \exp(+i\lambda \int_0^t dt' \hat{H}_D(t')/\hbar) \approx 1 + i\lambda \int_0^t dt' \hat{H}_D(t')/\hbar$

proof: calculate left and right hand side of (\*):

l.h.s.

$$-i\hbar \frac{d}{dt} \hat{U}_D(t) = -i\hbar \frac{d}{dt} \left( \mathbb{1} + i\lambda \int_0^t dt' \hat{H}_D(t') / \hbar \right) + o(\lambda^2)$$

$$= \lambda \hat{H}_D(t) + o(\lambda^2)$$

r.h.s.

$$\lambda \hat{H}_D(t) \hat{U}_D(t) = \lambda \hat{H}_D(t) + o(\lambda^2) \quad \checkmark$$

② interested in accuracy of order  $\lambda^2$

$$\hat{U}_D(t) \approx \mathbb{1} + i\lambda \int_0^t dt' \hat{H}_D(t') / \hbar - \lambda^2 \int_0^t dt' \int_0^{t'} dt'' \hat{H}_D(t') \hat{H}_D(t'') / \hbar^2$$

$$-i\hbar \frac{d}{dt} \hat{U}_D(t) \approx \lambda \hat{H}_D(t) + i \frac{1}{\hbar} \lambda^2 \int_0^t dt'' \hat{H}_D(t) \hat{H}_D(t'')$$

$$= \lambda \hat{H}_D(t) \left( \mathbb{1} + i\lambda \int_0^t dt' \hat{H}_D(t') / \hbar \right)$$

$$\approx \lambda \hat{H}_D(t) \hat{U}_D(t)$$

③  $\lambda^3$  accuracy

$$\hat{U}_D \approx \mathbb{1} + \frac{i\lambda}{\hbar} \int_0^t dt' \hat{H}_D(t') + \left( \frac{i\lambda}{\hbar} \right)^2 \int_0^t dt' \int_0^{t'} dt'' \hat{H}_D(t') \hat{H}_D(t'')$$

$$+ \left( \frac{i\lambda}{\hbar} \right)^3 \int_0^t dt' \int_0^{t'} dt'' \int_0^{t''} dt''' \hat{H}_D(t') \hat{H}_D(t'') \hat{H}_D(t''')$$

$$\vdots$$

note: the  $\hat{H}_D$ s are ordered such that the larger time argument is to the left

→ introduce formal time-ordering operator T by

$$T[A(t) B(t')] = \begin{cases} A(t) B(t') & \text{for } t > t' \\ B(t') A(t) & \text{for } t < t' \end{cases} = \Theta(t-t') A(t) B(t') + \Theta(t'-t) B(t') A(t)$$

$$\begin{aligned}
\Rightarrow & \frac{1}{2} \int_0^t dt' \int_0^{t'} dt'' T[\hat{H}_D(t') \hat{H}_D(t'')] \\
& = \frac{1}{2} \int_0^t dt' \int_0^{t'} dt'' [\Theta(t'-t'') \hat{H}_D(t') \hat{H}_D(t'') \\
& \quad + \Theta(t''-t') \hat{H}_D(t'') \hat{H}_D(t')] \\
& = \frac{1}{2} \int_0^t dt' \int_0^{t'} dt'' \hat{H}_D(t') \hat{H}_D(t'') + \frac{1}{2} \int_0^t dt'' \int_0^{t''} dt' \hat{H}_D(t'') \hat{H}_D(t') \\
& = \int_0^t dt' \int_0^{t'} dt'' \hat{H}_D(t') \hat{H}_D(t'')
\end{aligned}$$

with the unit-step function  $\Theta(z) = \begin{cases} 0 & \text{for } z < 0 \\ 1 & \text{for } z \geq 0 \end{cases}$

$$\begin{aligned}
\text{same way: } & \frac{1}{3!} \int_0^t dt' \int_0^{t'} dt'' \int_0^{t''} dt''' T[\hat{H}_D(t') \hat{H}_D(t'') \hat{H}_D(t''')] \\
& = \int_0^t dt' \int_0^{t'} dt'' \int_0^{t''} dt''' \hat{H}_D(t') \hat{H}_D(t'') \hat{H}_D(t''')
\end{aligned}$$

$\Rightarrow$  formal solution for  $\hat{U}_D(t)$ :

$$\hat{U}_D(t) = T \exp\left(\frac{i\lambda}{\hbar} \int_0^t dt' \hat{H}_D(t')\right)$$

in practice: evaluate expression by expanding to desired order in  $\lambda$