

Renormalization and selfconsistency

The Φ -functional in relativistic quantum field theory

Hendrik van Hees

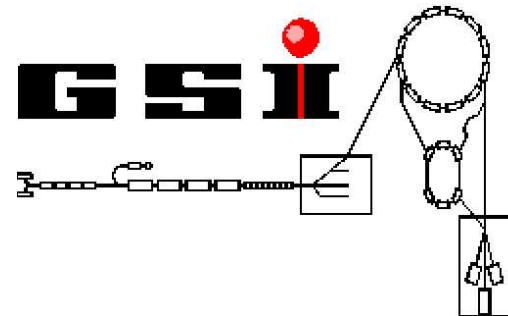
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Darmstadt

Content

- Motivation
 - Thermodynamics of strongly interacting systems
 - Conservation laws, detailed balance, thermodynamical consistency
 - Finite width effects (resonance, damping, . . .)

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- Motivation
 - Thermodynamics of strongly interacting systems
 - Conservation laws, detailed balance, thermodynamical consistency
 - Finite width effects (resonance, damping, ...)
- Concepts
 - Real time formalism
 - 2PI action
 - Equations of motion
 - Renormalization at finite temperature
 - Numerical solutions
 - Symmetries and trouble with 2PI formalism
- Summary and outlook

Real time formalism

- Initial statistical operator ρ_i at $t = t_i$
- Time evolution

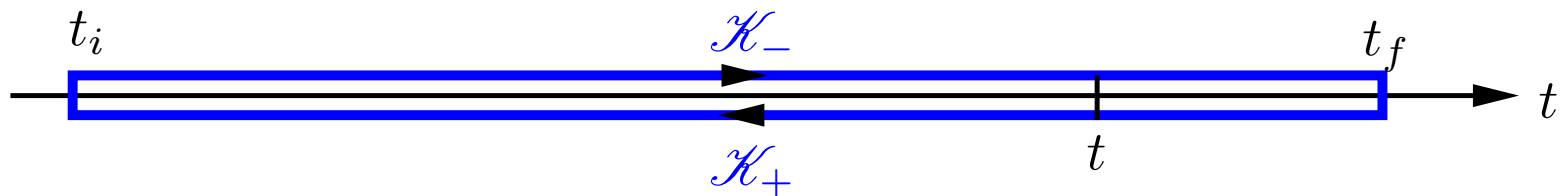
$$\langle O(t) \rangle = \text{Tr} \left[\rho(t_i) \underbrace{\mathcal{T}_a \left\{ \exp \left[+i \int_{t_i}^t dt' \mathbf{H}_I(t') \right] \right\}}_{\text{anti time-ordered}} \right. \\ \left. \mathbf{O}_I(t) \right. \\ \left. \underbrace{\mathcal{T}_c \left\{ \exp \left[-i \int_{t_i}^t dt' \mathbf{H}_I(t') \right] \right\}}_{\text{time-ordered}} \right].$$

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- Contour ordered Green's functions



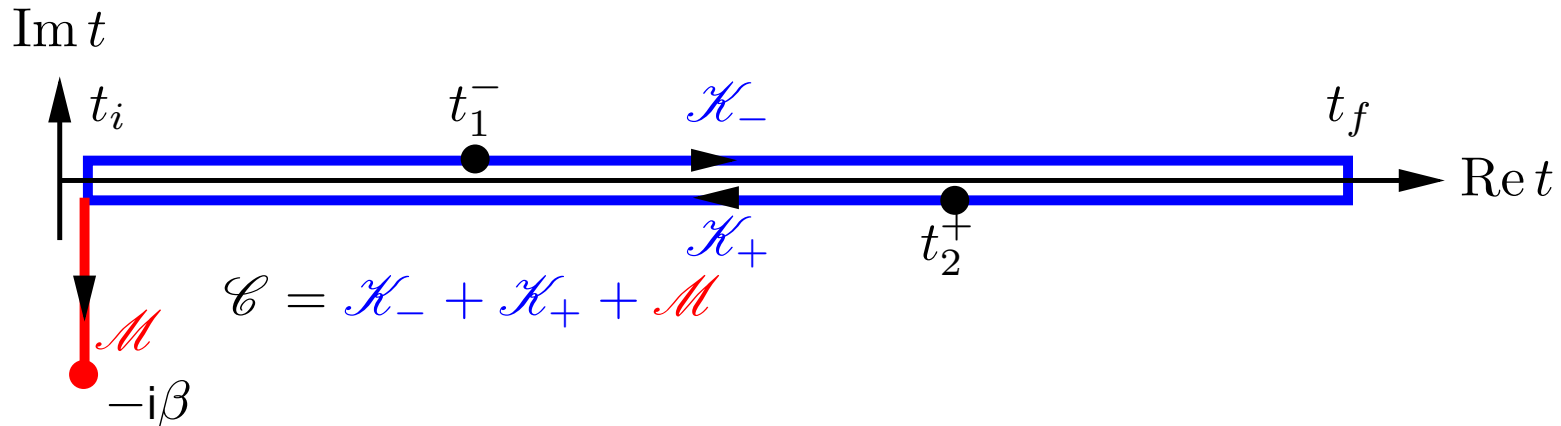
$$\mathcal{C} = \mathcal{K}_- + \mathcal{K}_+$$

Real-time formalism: Equilibrium

- In equilibrium

$$\rho = \exp(-\beta\mathbf{H})/Z \text{ with } Z = \text{Tr} \exp(-\beta\mathbf{H}), \quad \beta = 1/T$$

- Can be implemented by adding an **imaginary part to the contour**



- Correlation functions with **real** times: $iG_{\mathcal{C}}(x_1^-, x_2^+)$
- Fields periodic (bosons) or anti-periodic (fermions) in imaginary time
- Feynman rules \Rightarrow time integrals \rightarrow **contour integrals**

2PI-formalism: The Φ -functional

- Introduce local and bilocal sources

$$Z[J, K] = N \int D\phi \exp \left[iS[\phi] + i \{ J_1 \phi_1 \}_1 + \left\{ \frac{i}{2} K_{12} \phi_1 \phi_2 \right\}_{12} \right]$$

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- Generating functional for connected diagrams

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$$\underbrace{\varphi_1 = \frac{\delta W}{\delta J_1}, G_{12} = -\frac{\delta^2 W}{\delta J_1 \delta J_2}}_{\text{standard quantum field theory}} \Rightarrow \frac{\delta W}{\delta K_{12}} = \frac{1}{2} [\varphi_1 \varphi_2 + iG_{12}]$$

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- Legendre transformation for φ and G :

$$\Gamma[\varphi, G] = W[J, K] - \{\varphi_1 J_1\}_1 - \frac{1}{2} \{(\varphi_1 \varphi_2 + iG_{12}) K_{12}\}_{12}$$

2PI-formalism: The Φ -functional

- Saddle point expansion of path integral:

$$\Gamma[\varphi, G] = S_0[\varphi] + \frac{i}{2} \text{Tr} \ln(-iG^{-1}) + \frac{i}{2} \left\{ D_{12}^{-1} (G_{12} - D_{12}) \right\}_{12} \\ + \Phi[\varphi, G] \leftarrow \text{all closed 2PI interaction diagrams}, \quad D_{12} = (-\square - m^2)^{-1}$$

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- Equation of motion for the mean field φ and the “full” propagator G

$$-\square \varphi - m^2 \varphi := j = -\frac{\delta \Phi}{\delta \varphi}, \quad -i(D_{12}^{-1} - G_{12}^{-1}) := -i\Sigma = 2 \frac{\delta \Phi}{\delta G_{21}}$$

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- Integral form of Dyson’s equation:

$$G_{12} = D_{12} + \{D_{11'} \Sigma_{1'2'} G_{2'2}\}_{1'2'}$$

- Closed set of equations of for φ and G

Diagrammar

● Lagrangian

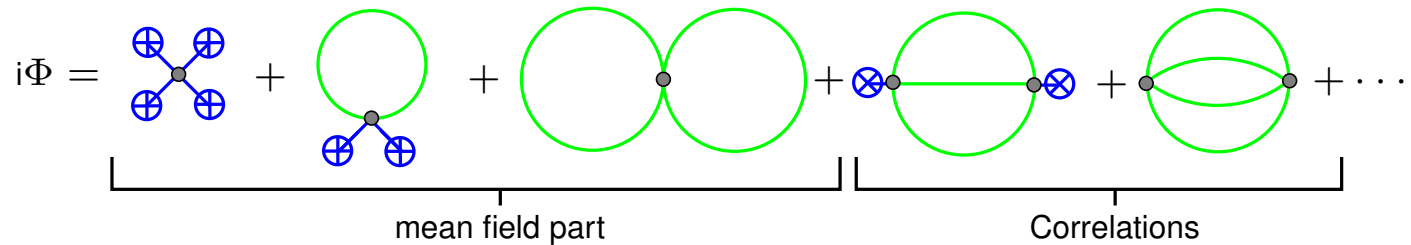
$$\mathcal{L} = \frac{1}{2}(\partial_\mu \vec{\phi})(\partial^\mu \vec{\phi}) - \frac{m^2}{2}\vec{\phi}^2 - \frac{\lambda}{4!}(\vec{\phi}^2)^2$$

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- 2PI generating functional

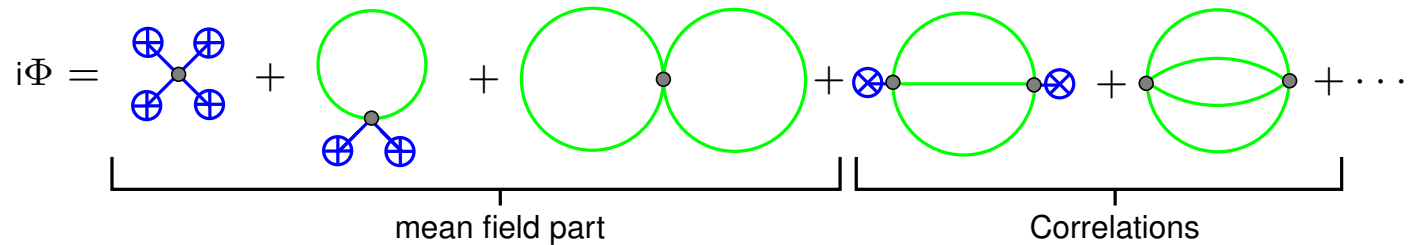


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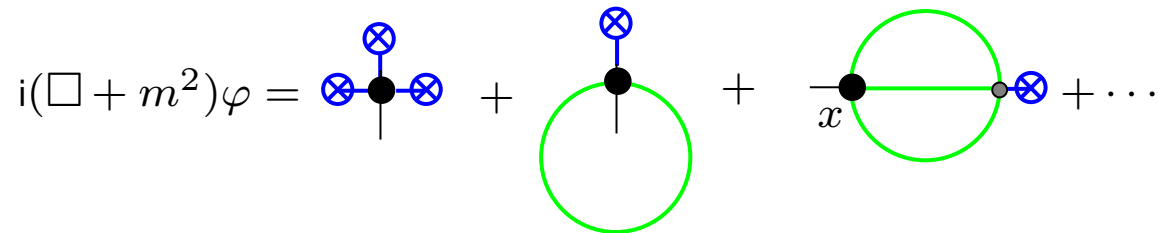
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- Equation of motion for the mean fields

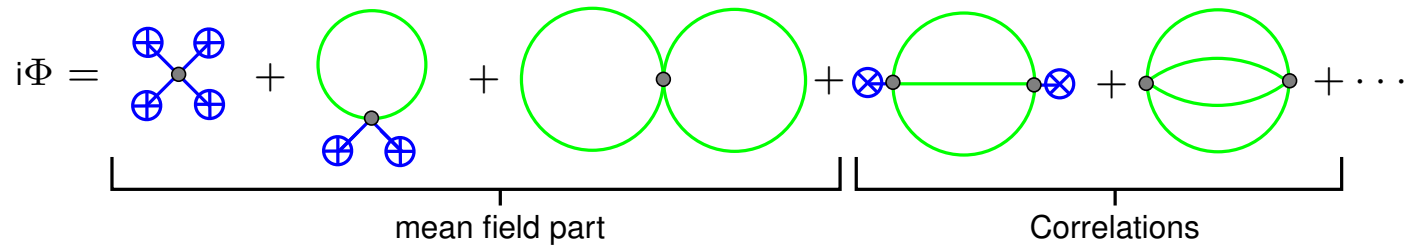


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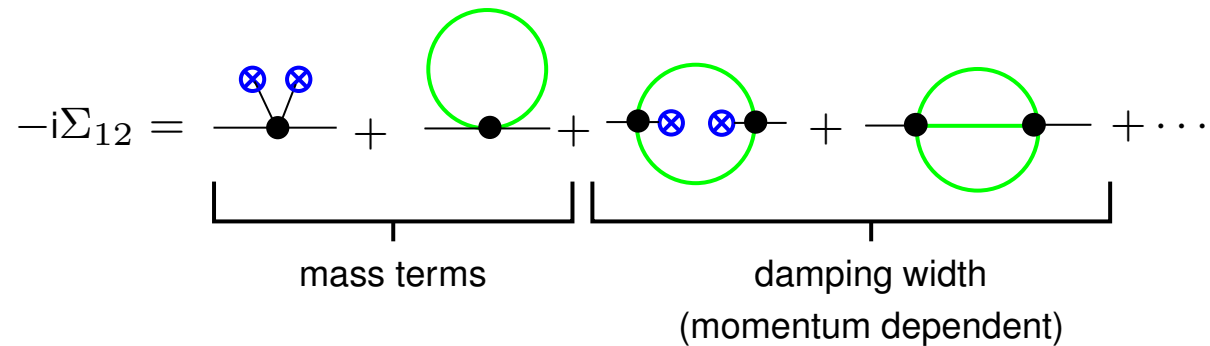
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- 2PI generating functional



- Dyson equation for the Self-energy



2PI-formalism: Features

- Truncation of the Series of diagrams for Φ
- Expectation values for currents are conserved \Rightarrow “Conserving Approximations”
- In equilibrium $i\Gamma[\varphi, G] = \ln Z(\beta)$ (thermodynamical potential)
- consistent treatment of Dynamical quantities (real time formalism) and thermodynamical bulk properties (imaginary time formalism) like energy, pressure, entropy
- Real- and Imaginary-Time quantities “glued” together by Analytic properties from (anti-)periodicity conditions of the fields (KMS-condition)
- Self-consistent set of equations for self-energies and mean fields

How to renormalize and solve the equations of motion?

Why renormalization?

- Diagrams UV-divergent
- Control the physical parameters in vacuum: **Masses, couplings**
- “In-medium modifications” controlled from **theory alone**

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Difficulties compared to perturbation theory

- Self-consistency \Rightarrow Resummation of **infinitely many perturbative diagrams**
- Diagrams do not show all divergences explicitly \Rightarrow “**hidden divergences**”
- Both, explicit and hidden divergences, can be **nested and overlapping**

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What about the numerics?

- Cannot use intermediate **regularization** which can be removed after renormalization
- **BPHZ-Renormalization** \Rightarrow Get directly **finite** equations of motion
- **But** integrands have **singularities**

An example: Hartree approximation

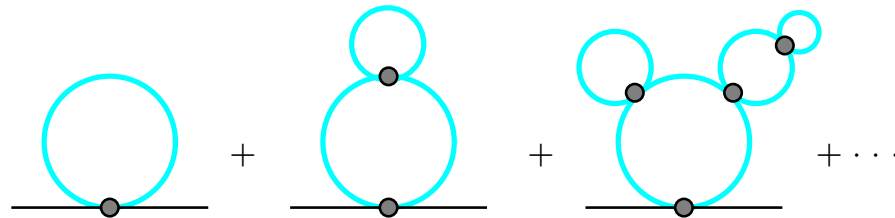
$$\Phi = \text{[diagram of two overlapping green circles with a grey dot at the intersection]} \Rightarrow -i\Sigma = \text{[diagram of a green circle with a grey dot at the bottom, sitting on a horizontal line]}$$

- Temperature dependent effective mass: $M^2 = m^2 + \Sigma$
- “On-shell renormalization scheme”: m is mass of particles *in vacuo*

An example: Hartree approximation

$$\Phi = \text{[Two green circles joined at a central grey dot]} \Rightarrow -i\Sigma = \text{[A green circle on a horizontal line with a grey dot at the bottom center]}$$

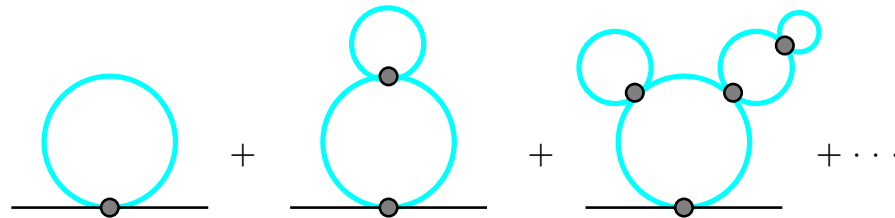
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- From perturbative point of view: Resummation of “daisy and super-daisy diagrams”



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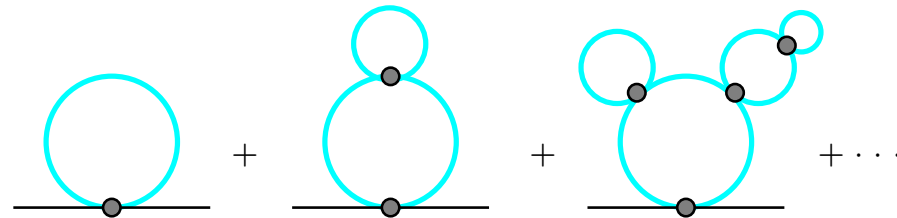
- Renormalized self-energy

$$-i\Sigma_{\text{ren}} = \text{[red diamond on a line]} = \frac{\lambda}{2} G(l) - \frac{\lambda}{2} G_v^2(l) \Sigma_{\text{ren}} - \frac{\lambda}{2} G_v(l)$$

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- Result: Renormalized equation of motion, “gap equation”:

$$M^2 = m^2 + \Sigma_{\text{ren}} = m^2 + \frac{\lambda}{32\pi^2} \left(M^2 \ln \frac{M^2}{m^2} - \Sigma_{\text{ren}} \right) + \underbrace{\frac{\lambda}{2} \int \frac{d^4p}{(2\pi)^4} 2\pi \delta(p^2 - M^2) n(p_0)}_{\rightarrow 0 \text{ for } T \rightarrow 0}$$

$n(p_0)$: Bose–Einstein distribution

Renormalization: General proof

- Renormalization at $T = 0$
 - Power-counting for **self-consistent propagators** as in perturbation theory:
 $\delta = 4 - E$
 - Usual **BPHZ-renormalization** for **wave function, mass and coupling constant**
 - In practice: Use Lehmann-representation and dimensional regularization
 - **Closed self-consistent finite** Dyson-equations of motion
 - **Numerically treatable**

Renormalization: General proof

- Renormalization at finite temperature with vacuum counterterms

- Split propagator in vacuum and T-dependent part

$$\overline{\quad} = \overline{\quad} + \overline{\quad}$$

$$iG = iG^{(\text{vac})} + iG^{(\text{T})}$$

- Expand self-energy around vacuum part

$$\overline{\quad} + \overline{\quad} = \overline{\quad}$$

$$-i\Sigma^{(\text{vac})} -i\Sigma^{(0)} -i\Sigma^{(\text{r})}$$

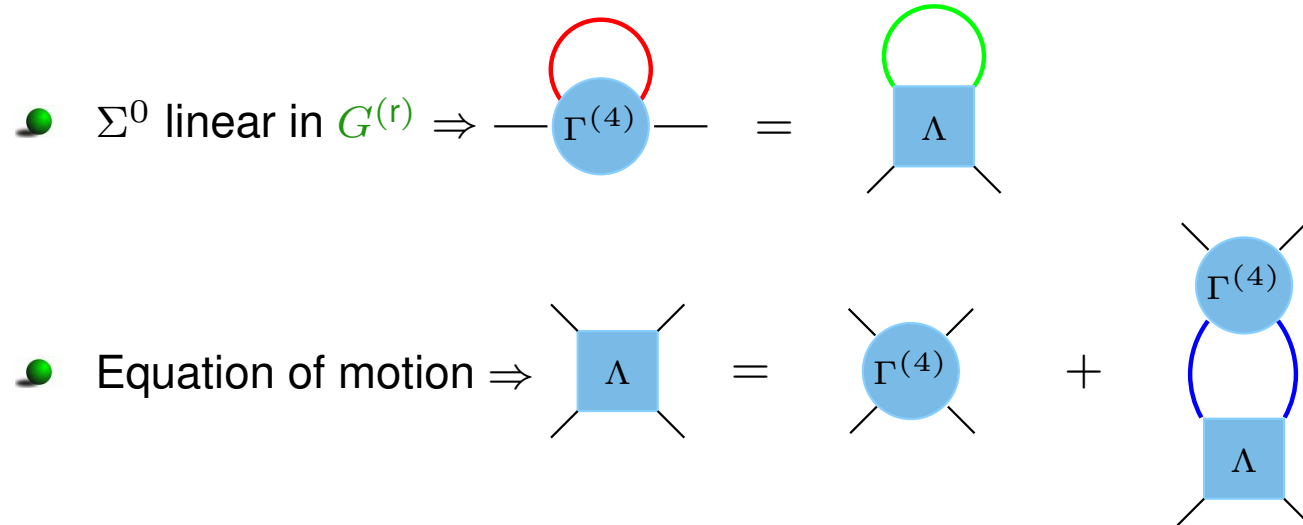
- Need further splitting of propagator

$$\overline{\quad} = \overline{\quad} + \overline{\quad}$$

$$iG^{(\text{T})} = iG^{(\text{vac})} + iG^{(\text{r})}$$

Renormalization: General proof

Renormalization of the four-point vertex



s-channel Bethe-Salpeter equation: cuts more than three lines!

“BPHZ Boxes” in ladder-diagrams **do not cut inside** $\Gamma^{(4)}$.

Asymptotics + BPHZ-formalism: $\Gamma^{(4)}(l, p) - \Gamma^{(4)}(l, 0) \cong O(l^{-\alpha})$ with $\alpha > 0$

Renormalized eq. of motion for Λ :

$$\begin{aligned}
 \Lambda(p, q) = & \Lambda(0, 0) + \Gamma^{(4)}(p, q) - \Gamma^{(4)}(0, 0) + i \int \frac{d^4 l}{(2\pi)^4} [\Gamma^{(4)}(p, l) - \Gamma^{(4)}(0, l)] [G^{\text{vac}}]^2(l) \Lambda(l, q) \\
 & + i \int \frac{d^4 l}{(2\pi)^4} \Lambda(0, l) [G^{\text{vac}}]^2(l) [\Gamma^{(4)}(l, q) - \Gamma^{(4)}(l, 0)]
 \end{aligned}$$

Self-energy finite with **vacuum counter terms**

Example: tadpole and sunset

• The Φ -functional

$$\begin{aligned} i\Phi &= \text{Diagram 1} + \text{Diagram 2} \\ -i\Sigma &= \text{Diagram 3} + \text{Diagram 4} \\ -i\Gamma^{(4)} &= \text{Diagram 5} + \text{Diagram 6} \end{aligned}$$

The diagrams are:

- Diagram 1: Two circles joined at a single point (tadpole).
- Diagram 2: A circle with two internal lines connecting two points on its circumference (sunset).
- Diagram 3: A circle with a horizontal line segment passing through its center, attached to the bottom point (tadpole).
- Diagram 4: A circle with a horizontal line segment passing through its center, attached to two points on its circumference (sunset).
- Diagram 5: A central point with four lines extending outwards (cross).
- Diagram 6: A blue oval with two black dots on its horizontal axis, each with a line extending outwards (cross).

Example: tadpole and sunset

● The Φ -functional

$$\begin{aligned}
 i\Phi &= \text{[Two circles joined at a point]} + \text{[Circle with two internal arcs]} \\
 -i\Sigma &= \text{[Circle on a line]} + \text{[Circle with a horizontal line through it]} \\
 -i\Gamma^{(4)} &= \text{[Cross]} + \text{[Blue oval with two external lines]}
 \end{aligned}$$

● The **renormalized vacuum** self-energy

$$-i\Sigma = \text{[Blue circle on a line]} + \text{[Blue circle on a line, dashed box]} + \text{[Circle with horizontal line]} + \text{[Circle with horizontal line, dashed box]} + \text{[Circle with horizontal line, dashed box]} + \dots$$

- Numerics: Used dispersion (Lehmann) representation for propagators
- \Rightarrow renormalized kernels to be calculated by **perturbative** Feynman integrals
- **Renormalized** equations of motion solved **iteratively**
- Calculate $\Lambda(0, q)$ with the same techniques

Example: tadpole and sunset

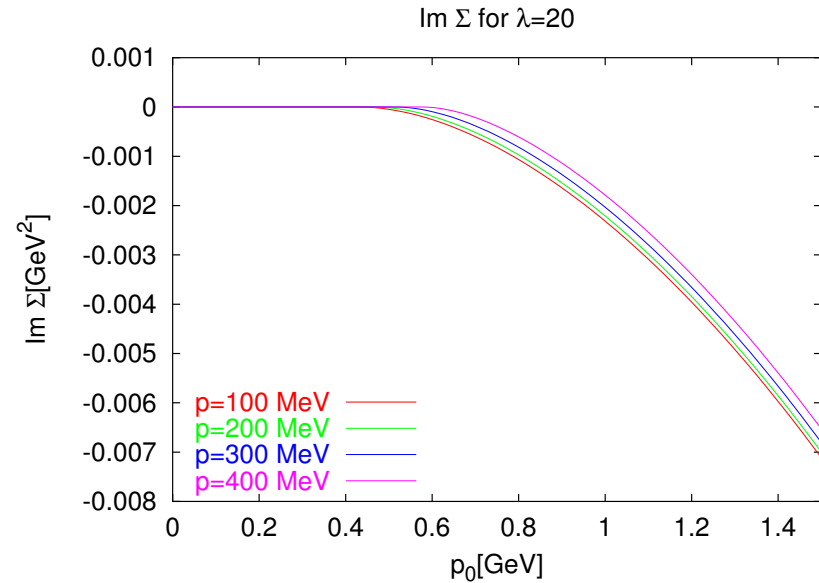
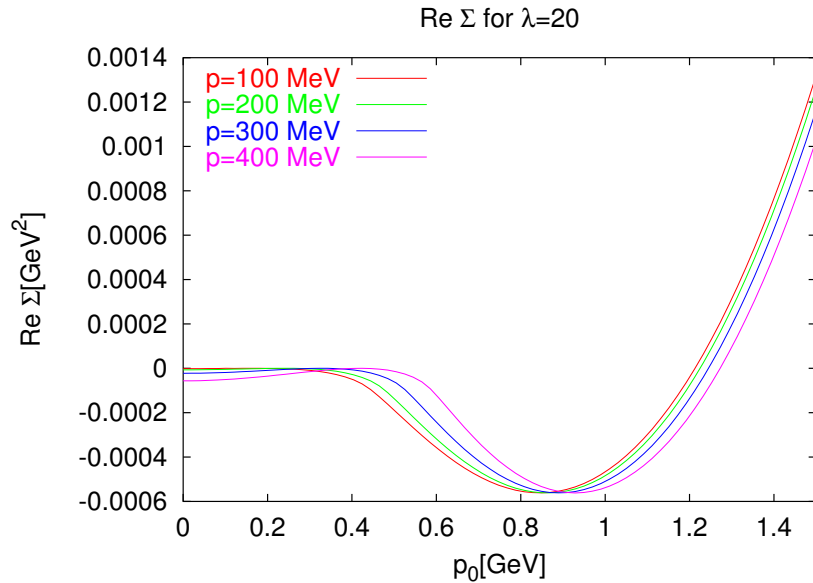
- Renormalization at **finite temperature**

$$-i\Sigma^{(\tau)}(p) =$$

The diagram shows the self-energy $-i\Sigma^{(\tau)}(p)$ as a sum of five terms. The first term is a tadpole with two external lines labeled p , a blue loop, and a red loop. The second term is a tadpole with two external lines labeled 0 , a blue loop, and a red loop, with a minus sign before it. The third term is a tadpole with two external lines labeled 0 , a blue loop, and a red loop, with a plus sign before it. The fourth term is a sunset diagram with two external lines, a blue loop, and a red loop, with a plus sign before it. The fifth term is a sunset diagram with two external lines, a red loop, and a red loop, with a plus sign before it. Additionally, there is a separate diagram of a tadpole with a blue square labeled Λ and a green loop on top, with two external lines labeled 0 .

- Only finite integrals
- Numerics for three-dim integrals on a lattice in p_0 and $|\vec{p}|$
- Equations of motion solved iteratively**

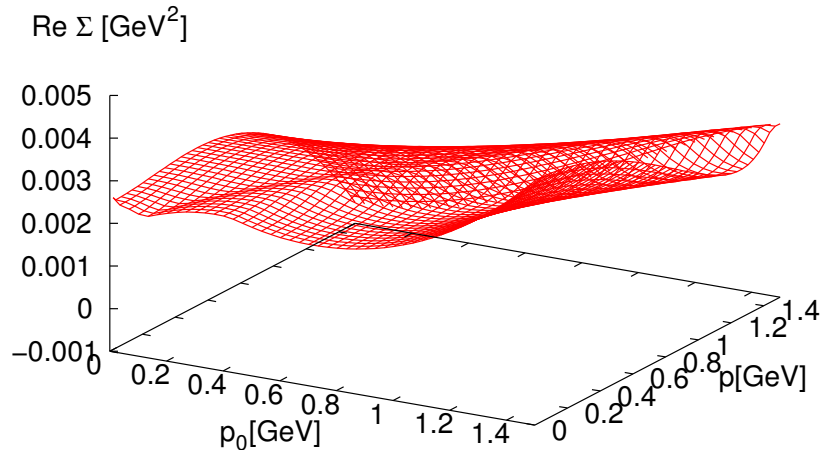
Results: the vacuum sunset self-energy



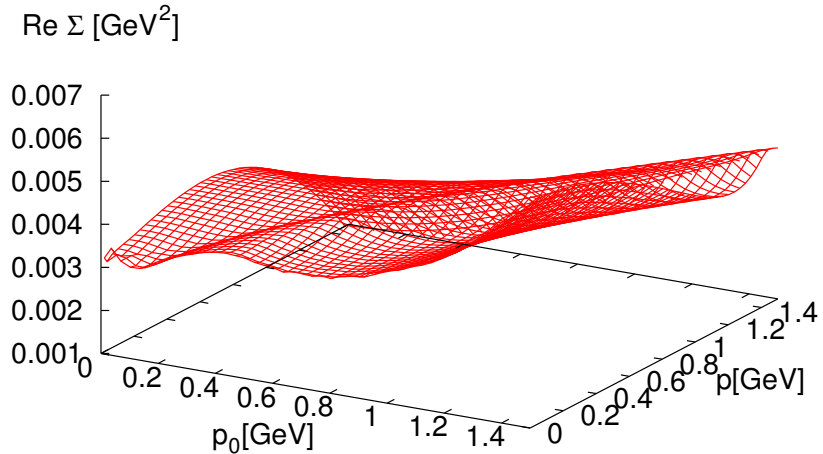
- Difference between perturbative and self-consistent calculation invisible!
- **Tadpole** contribution “renormalized away” \Rightarrow **on-shell renormalization scheme**
- Main contribution from the **pole term of the propagator**
- **Threshold** for continues part of the spectral function $\sqrt{s} = 3m!$

Results: sunset+tadpole diagrams at finite temperature

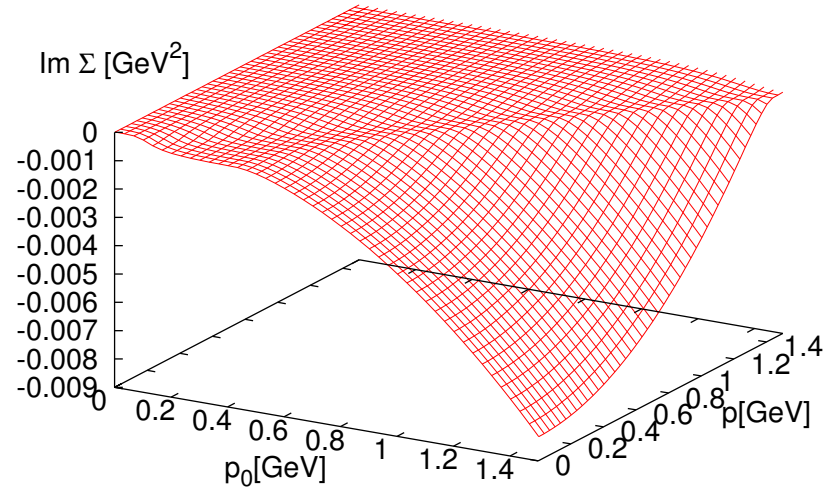
Pert. Re Σ for $T=100\text{MeV}$, $\lambda=20$



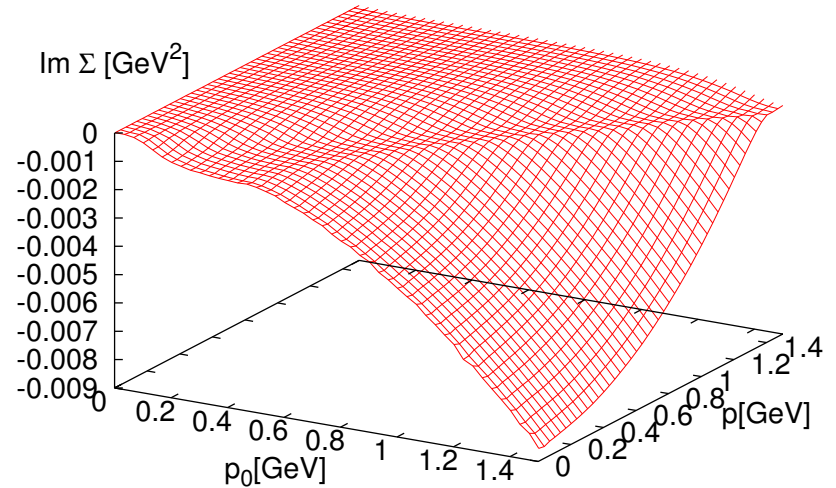
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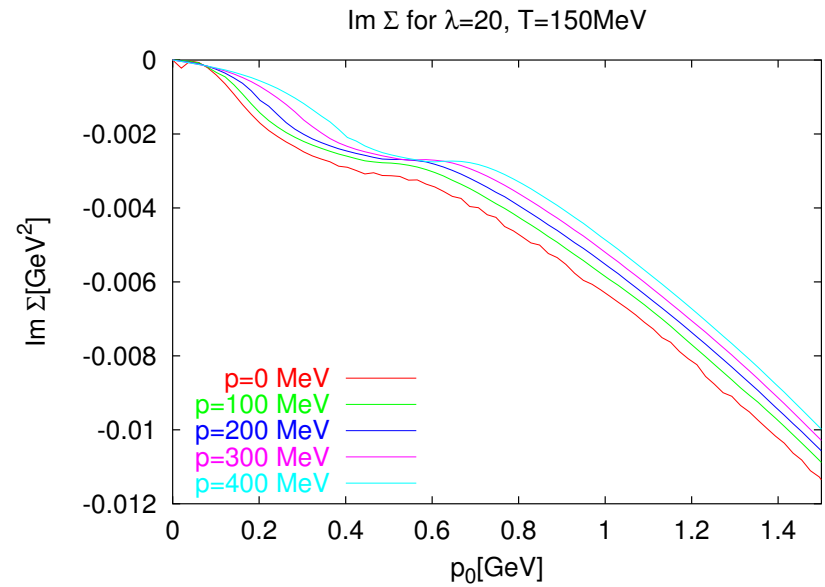
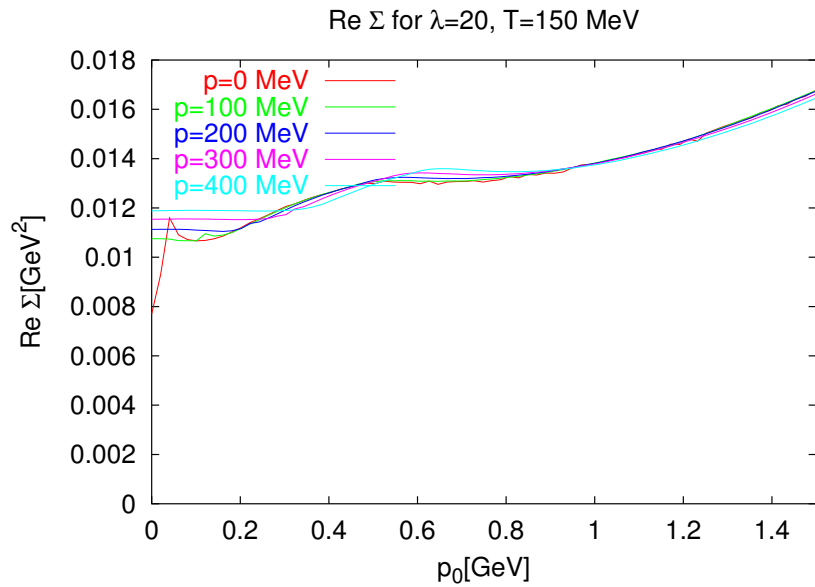
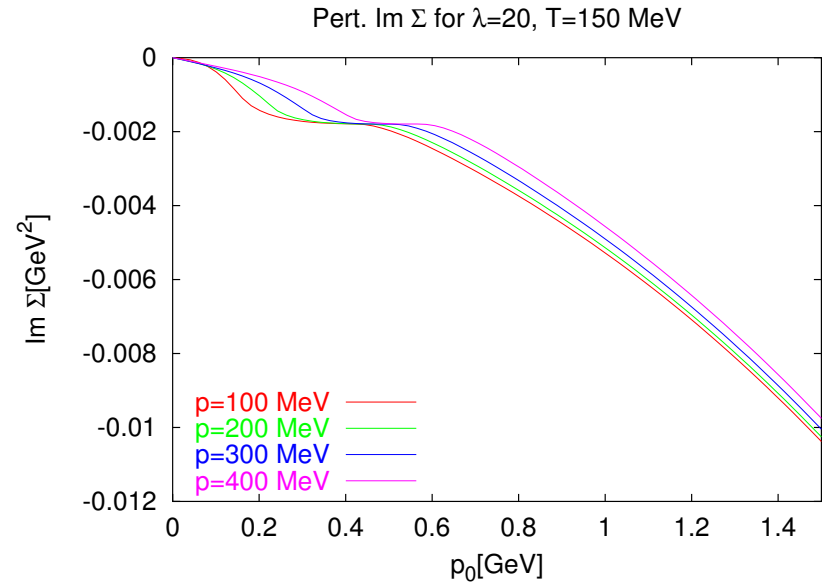
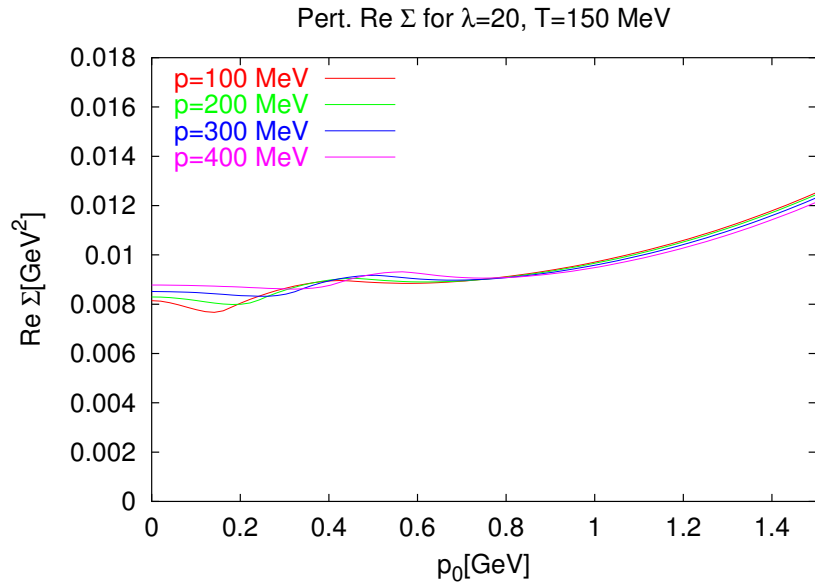
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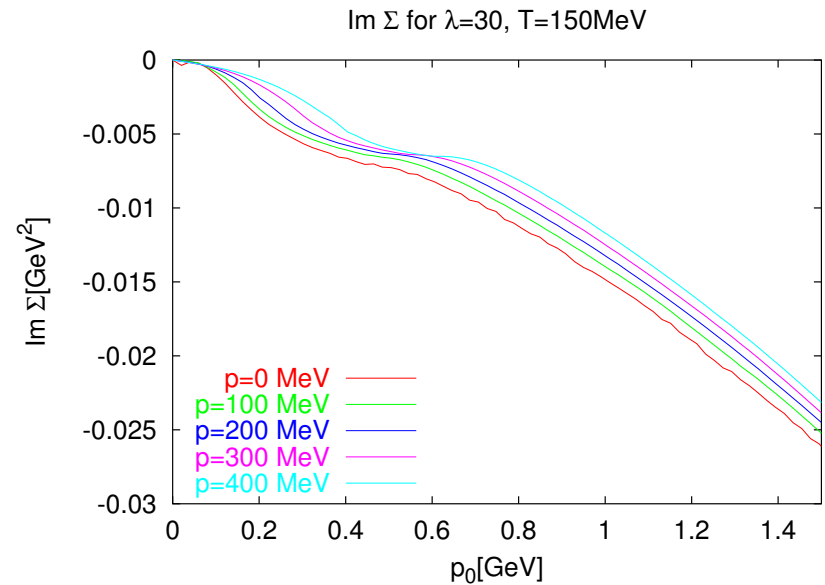
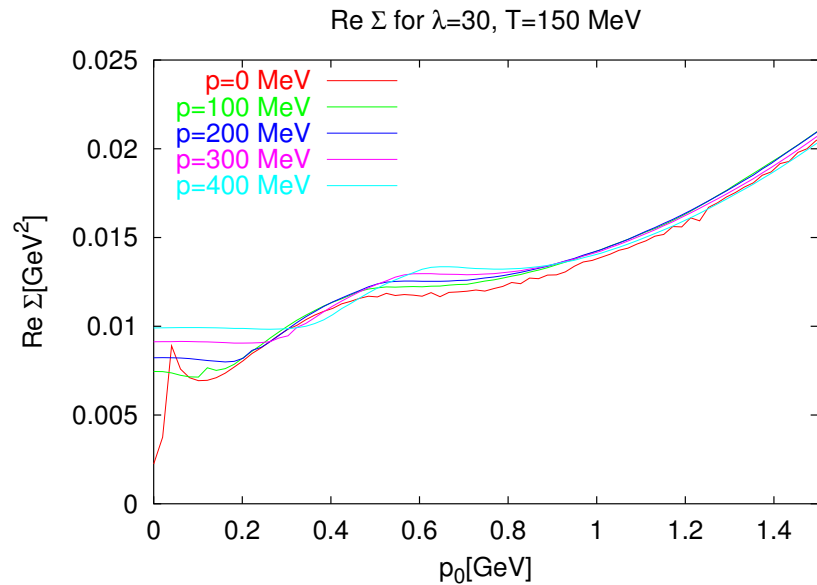
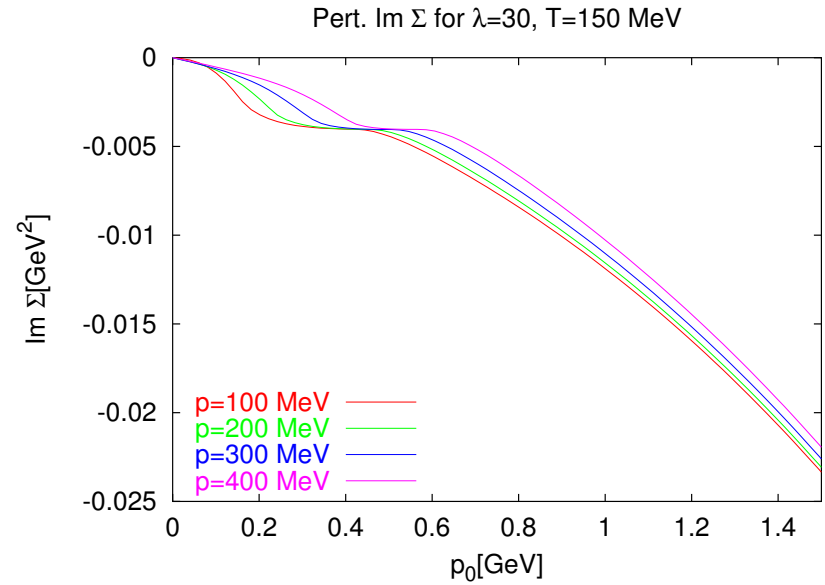
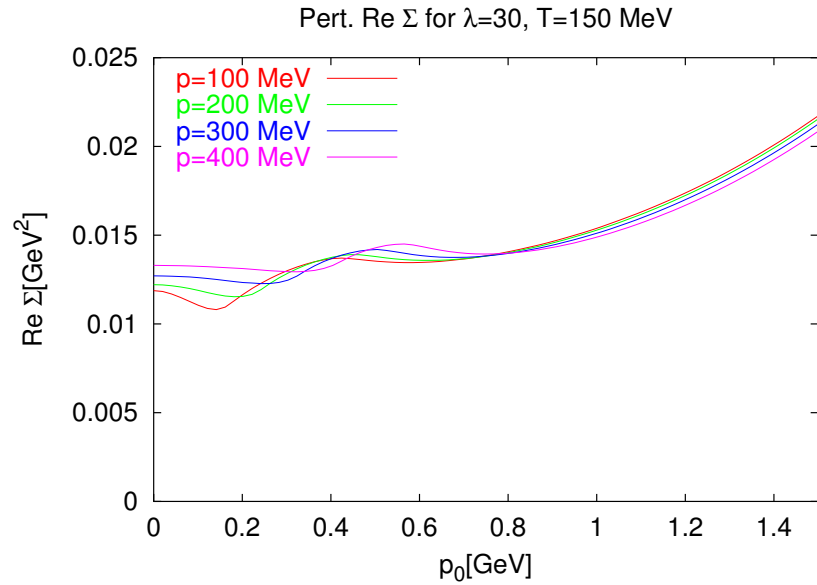
Im Σ for $T=100\text{MeV}$, $\lambda=20$



Results: sunset+tadpole diagrams at finite temperature



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Effects of self-consistency

- Low-energy plateau in $\text{Im } \Sigma$
- finite spectral width leads to a smoothing of “threshold” structures and a further increase in width
- counterbalanced by real part: tadpole term adds mass, which in the self-consistent treatment lowers the effective mass again
- for not too high couplings/temperature: sunset part adds spectral width which increases the self-consistent mass compared to the perturbative one
- for higher couplings/temperature: sunset contribution lowers the real part again compared to the perturbative result

Symmetry properties of Φ -derivable approximations

- Problem with Φ -Functional: **Most approximations break symmetry!**
- Reason: Only conserving for **Expectation values for currents**
- **incomplete** resummation leads to **breaking of crossing symmetry**
- Define Green's function at **given** mean field φ :

$$\left. \frac{\delta \mathbf{\Gamma}[\varphi, G]}{\delta G} \right|_{G=G_{\text{eff}}[\varphi]} \equiv 0$$

- Define new effective 1PI action functional

$$\Gamma_{\text{eff}}[\varphi] = \mathbf{\Gamma}[\varphi, G_{\text{eff}}[\varphi]]$$

Symmetry properties of Φ -derivable approximations

- Symmetry analysis $\Rightarrow \Gamma_{\text{eff}}[\varphi]$ symmetric functional in φ
- Stationary point

$$\left. \frac{\delta \Gamma_{\text{eff}}}{\delta \varphi} \right|_{\varphi=\varphi_0} = 0$$

- φ_0 and $G = G_{\text{eff}}[\varphi_0]$: self-consistent Φ -Functional solutions!
- Γ_{eff} generates **external** vertex functions fulfilling **Ward-Takahashi identities**
- External Propagator

$$(G_{\text{ext}}^{-1})_{12} = \left. \frac{\delta^2 \Gamma_{\text{eff}}[\varphi]}{\delta \varphi_1 \delta \varphi_2} \right|_{\varphi=\varphi_0}$$

- G_{ext} generally **not** identical with Dyson resummed propagator

Example: Hartree approximation

- Hartree approximation:

$$i\Phi = \text{[crossing diagram]} + \text{[self-energy diagram]} + \text{[bubble diagram]}$$

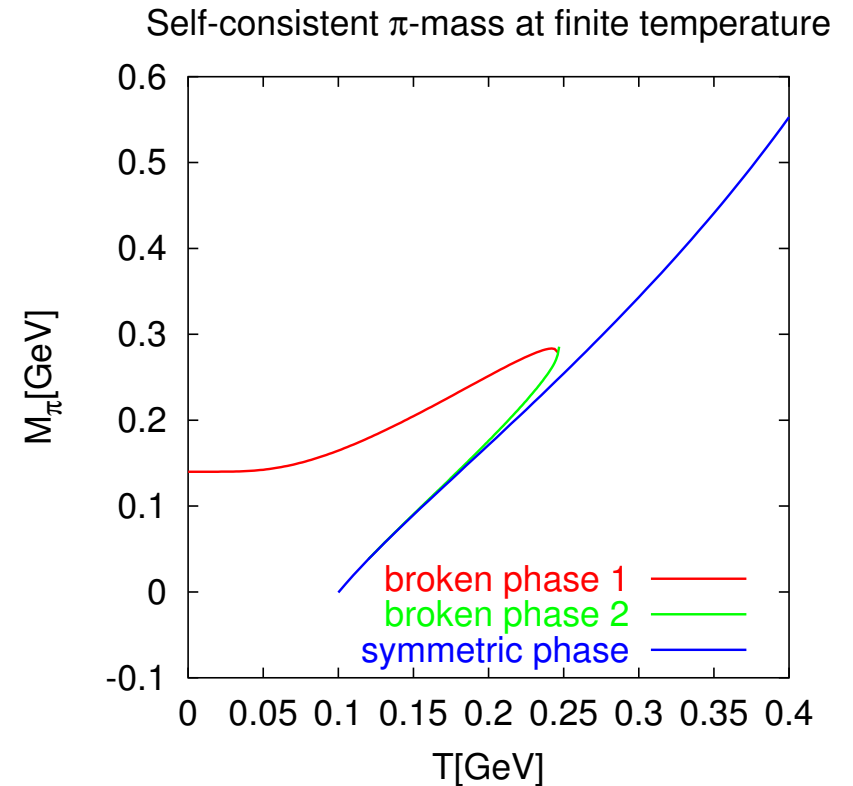
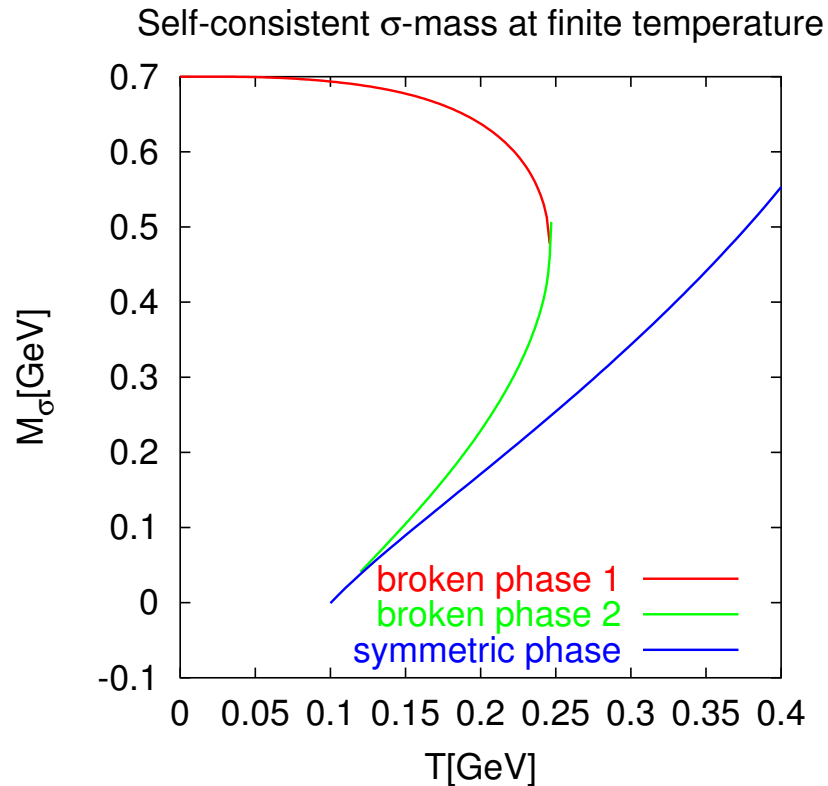
- External self-energy defined on top of Hartree approximation

$$-i\Sigma_{\text{ext}} = \underbrace{\text{[crossing diagram]} + \text{[self-energy diagram]} + \text{[crossing diagram with bubble]} + \text{[crossing diagram with two bubbles]} + \dots}_{\Sigma_{\text{int}}}$$

- Well-known result: RPA-Resummation **restores symmetry**
- Renormalization by the **same counterterms** as the self-consistent diagrams
- resums the **crossing-symmetric channels** missing in the self-consistent approximation
- in principle **can be generalized** to all Φ -derivable approximations

Numerical study of Hartree

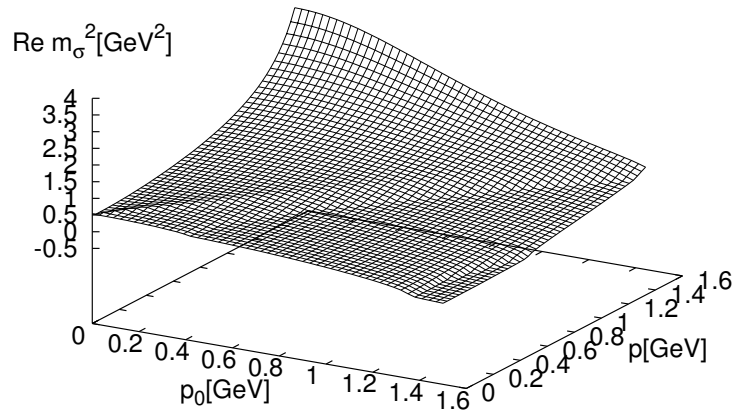
- Self-consistent masses for σ -meson (mode parallel to mean field) and the π -mesons (modes perpendicular to mean field)



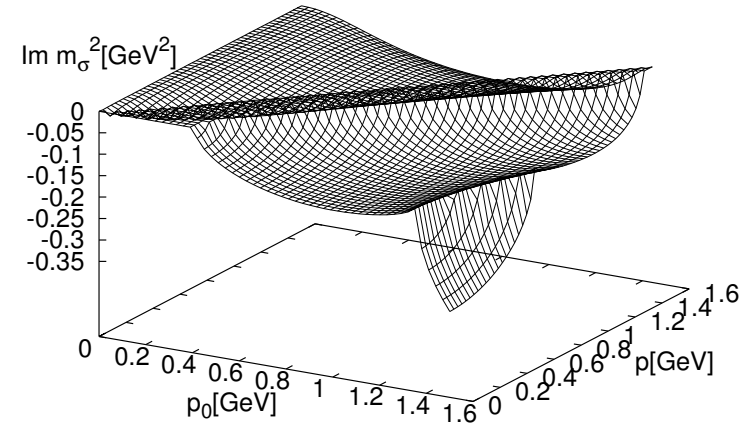
- Ward-Takahashi-identity for self-energy \Rightarrow Pions massless (Goldstone's theorem)
- Self-consistent approximation **violates symmetries!**

Numerical study of RPA-resummation

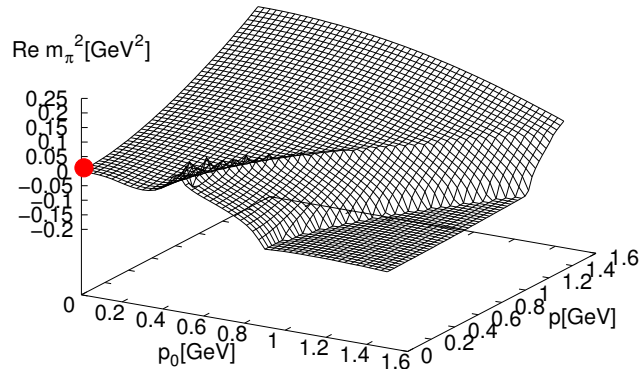
External σ -mass at $T=150$ MeV (stable solution)



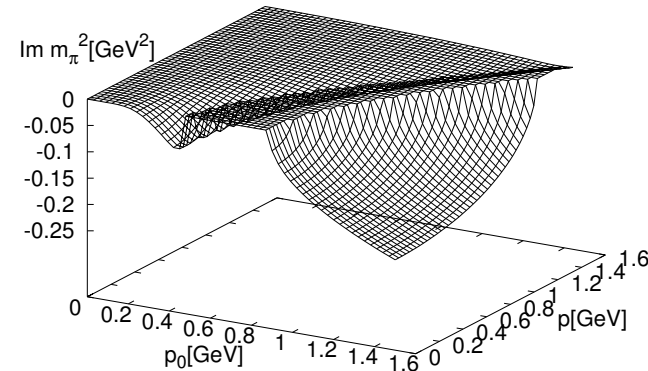
External σ -mass at $T=150$ MeV (stable solution)



External π -mass at $T=150$ MeV (stable solution)



External σ -mass at $T=150$ MeV (stable solution)



- Ward-Takahashi identity **restored by RPA-resummation**
- Internal lines of RPA-diagrams are the **symmetry violating** self-consistent propagators
- **Remnants of symmetry violation**: Wrong thresholds from non-zero masses of Goldstone modes

Conclusions and outlook

- Self-consistent Φ -derivable approximations: Renormalizable with temperature-independent counterterms
- Symmetry analysis and (partial) recovery of symmetries
- “Toolbox” for application to more realistic models
- Outstanding problem: Local gauge symmetries!
- First ideas: Projection to physical degrees of freedom
- For more details see <http://theory.gsi.de/~vanhees/index.html>