

Self-consistent Conserving Approximations and Renormalization in Quantum Field Theory at Finite Temperature

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Contents

- Schwinger-Keldysh real-time formalism
- The Φ -derivable scheme
- Tadpole resummation for ϕ^4 -theory
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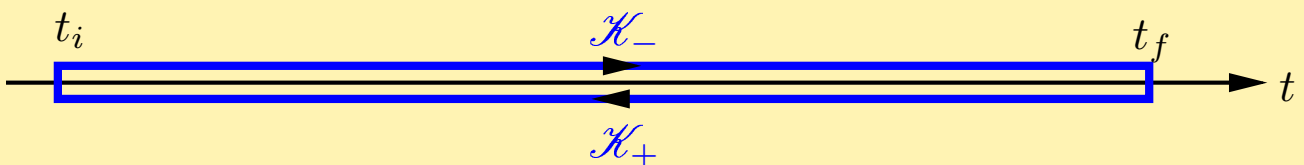
Schwinger-Keldysh Formalism

#2

- Initial statistical operator ρ_i at $t = t_i$
- Time evolution of expectation values of observables:

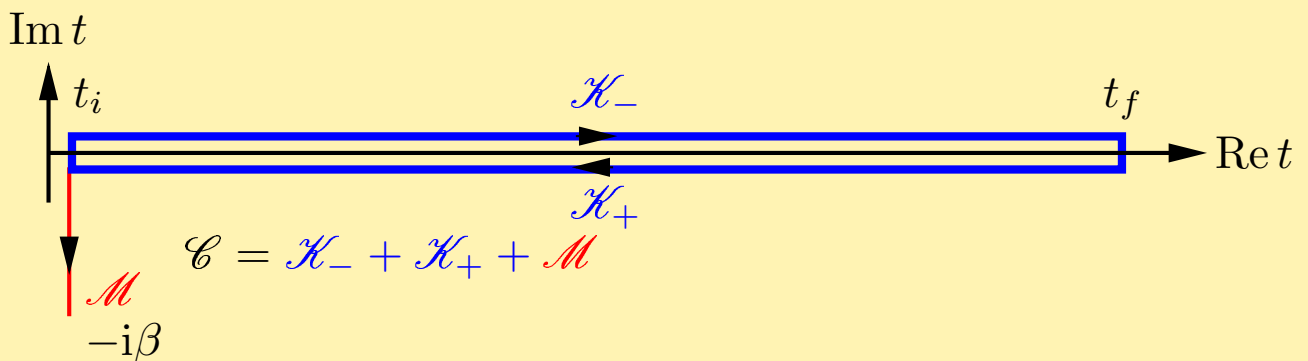
$$\langle O \rangle = \text{Tr}[\rho(t)\mathbf{O}(t)]$$

- Feynman rules
- Difference to vacuum: Contour-ordered Green's functions



$$\mathcal{C} = \mathcal{K}_- + \mathcal{K}_+$$

- In equilibrium: $\rho = \exp(-\beta\mathbf{H})/Z$ with $Z = \text{Tr} \exp(-\beta\mathbf{H})$
- Imaginary part of the time contour



- Fields periodic (bosons) or anti-periodic (fermions)

The Φ -Functional

#3

- Introduce **local** and **bilocal** auxiliary sources
- Generating functional

$$Z[J, K] = N \int D\phi \exp \left[iS[\phi] + i \{J_1 \phi_1\}_1 + \left\{ \frac{i}{2} K_{12} \phi_1 \phi_2 \right\}_{12} \right]$$

- Generating functional for **connected diagrams**

$$Z[J, K] = \exp(iW[J, K])$$

- The **mean field** and the **connected Green's** function

$$\varphi_1 = \frac{\delta W}{\delta J_1}, \quad G_{12} = -\frac{\delta^2 W}{\delta J_1 \delta J_2} \Rightarrow \frac{\delta W}{\delta K_{12}} = \frac{1}{2} [\varphi_1 \varphi_2 + iG_{12}]$$

- Legendre transformation for φ and G :

$$\Gamma[\varphi, G] = W[J, K] - \{\varphi_1 J_1\}_1 - \frac{1}{2} \{(\varphi_1 \varphi_2 + iG_{12}) K_{12}\}_{12}$$

- Exact saddle point expansion:

$$\Gamma[\varphi, G] = S[\varphi] + \frac{i}{2} \text{Tr} \ln(-iG^{-1}) + \frac{i}{2} \{ \mathcal{D}_{12}^{-1} (G_{12} - \mathcal{D}_{12}) \}_{12} \\ + \Phi[\varphi, G] \Leftarrow \text{all 2PI diagrams with at least 2 loops}$$

$$\mathcal{D}_{12} = \left(-\square - m^2 - \frac{\lambda}{2} \varphi^2 \right)^{-1} \delta(x_1 - x_2)$$

Equations of Motion

#4

- Physical solution defined by vanishing **auxiliary sources**:

$$\frac{\delta\Gamma}{\delta\varphi_1} = -J_1 - \{K_{12}\varphi_2\}_2 \stackrel{!}{=} 0$$

$$\frac{\delta\Gamma}{\delta G_{12}} = -\frac{i}{2}K_{12} \stackrel{!}{=} 0$$

- Equation of motion for the **mean field** φ

$$-\square\varphi - m^2\varphi - \frac{\lambda}{3!}\varphi^3 - \frac{i}{2}\varphi \{G(x, x)\}_x + \frac{\delta\Phi}{\delta\varphi} = 0$$

- for the “full” propagator $G \Rightarrow$ Dyson’s equation:

$$2\frac{\delta\Phi}{\delta G_{12}} = -i(\mathcal{D}_{12}^{-1} - G_{12}^{-1}) := -i\Sigma$$

- **Closed set** of equations of for φ and G

“Diagrammar”

- ϕ^4 -theory

$$\mathcal{L} = \frac{1}{2}(\partial_\mu\phi)(\partial^\mu\phi) - \frac{m^2}{2}\phi^2 - \frac{\lambda}{4!}\phi^4$$

- 2PI Generating Functional

$$i\Phi = \text{Diagram 1} + \text{Diagram 2} + \text{Diagram 3} + \dots$$

Diagram 1: Two circles joined at a central vertex. Weight: $\frac{1}{8}$

Diagram 2: A circle with two vertices on opposite sides, each connected to an external vertex (blue circle with an 'X'). Weight: $\frac{1}{2 \cdot 3!}$

Diagram 3: A circle with two vertices on opposite sides, each connected to an external vertex (blue circle with an 'X'). Weight: $\frac{1}{2 \cdot 4!}$

- Mean field equation of motion

$$i(\square + m^2)\varphi = \text{Diagram 1} + \text{Diagram 2} + \text{Diagram 3} + \dots$$

Diagram 1: A central vertex (black dot) with three external vertices (blue circles with 'X'). Weight: $\frac{1}{3!}$

Diagram 2: A central vertex (black dot) with one external vertex (blue circle with 'X') and a loop. Weight: $\frac{1}{2!}$

Diagram 3: A central vertex (black dot) with one external vertex (blue circle with 'X') and a loop with an internal vertex. Weight: $\frac{1}{3!}$

- Self-energy

$$-i\Sigma_{12} = \text{Diagram 1} + \text{Diagram 2} + \text{Diagram 3} + \dots$$

Diagram 1: A loop attached to a line between vertices x_1 and x_2 at the same point. Weight: $\frac{1}{2!}$

Diagram 2: A loop with two vertices on opposite sides, each connected to an external vertex. Weight: $\frac{1}{2!}$

Diagram 3: A loop with two vertices on opposite sides, each connected to an external vertex. Weight: $\frac{1}{3!}$

Properties of the Φ -Functional

#6

Why using the Φ -functional?

- Series of diagrams for Φ truncated at a certain loop order
- ☞ Linearly realized Noether symmetries are respected
- ☞ **Conserving Approximations** (mod. anomalies)

- In equilibrium $i\Gamma[\varphi, G] = \ln Z(\beta)$ (**thermodynamical potential**)
- consistent treatment of **Dynamical quantities** (real time formalism) and **thermodynamical bulk properties** (imaginary time formalism) like **energy, pressure, entropy**

- Real- and Imaginary-Time quantities “glued” together by **Analytic properties** from (anti-)periodicity conditions of the fields (**KMS-condition**)

- Self-consistent set of equations for self-energies and mean fields

Problem of Renormalization

#7

Infinities and Renormalization

- UV-Divergences
- ☞ Need a **renormalization technique** for **numerical solution** of the self-consistent equations
- In terms of perturbation theory: Resummation of all self-energy insertions in propagators
- ☞ Self-consistent diagrams with explicit nested and overlapping sub-divergences
- ☞ Additional nested and overlapping sub-divergences from self-consistency
- **Conjecture** from Weinberg's theorem, BPHZ-renormalization
- ☞ At finite temperatures:
Self-consistent scheme rendered finite with local counterterms independent of temperature
- **Analytic properties**
- ☞ **subtracted dispersion relations**
- Φ -Functional technique
- ☞ Consistency of **counterterms**

Self-Consistent Tadpole I

#8

The tadpole approximation

$$\Phi = \text{diagram of two circles connected at a central vertex} \quad -i\Sigma = \text{diagram of a circle with a tadpole attached to its bottom vertex}$$

- Here: Only time-ordered propagator needed
- The renormalized tadpole $d = 2\omega = 2(2 - \epsilon)$:

$$-i\Sigma = -\frac{i\lambda}{2} \int \frac{d^d p}{(2\pi)^d} \mu^{2\epsilon} iG(p) + \text{CT}$$

- Self-energy constant in p
- ☞ temperature dependent effective mass
- Dyson's equation can be resummed:

$$iG(p) = \frac{i}{p^2 - M^2 + i\eta} + 2\pi n(p_0) \delta(p^2 - M^2)$$

$$\text{with } M^2 = m^2 + \Sigma, \quad n(p_0) = \frac{1}{\exp(\beta|p_0|) - 1}$$

- Use standard formulae for dimensional regularized Feynman integrals:

$$\Sigma_{\text{inf}} = -\frac{\lambda}{32\pi^2} M^2 \left[\frac{1}{\epsilon} - \gamma + \ln \left(\frac{4\pi\mu^2}{M^2} \right) \right] \quad (1)$$

- Does one need temperature dependent counter terms ($\propto \lambda M^2/\epsilon$)?

Self-Consistent Tadpole II

Counterterms

- **Solution:** We do not only need an **overall** but also a vertex counter-term for **sub-divergence**:

$$-i\Sigma = \frac{\text{tadpole}}{-i\Sigma_{\text{reg}}} + \frac{\text{tadpole with vertex cross}}{i\frac{\delta\lambda}{\lambda}\Sigma_{\text{reg}}} + \frac{\text{cross}}{-i\delta m^2}$$

How to determine the vertex counterterm?

- From equations of motion

☞ consistency condition of “Bethe-Salpeter-type”:

$$iT_2^{(c)} = \text{square} = \text{cross} + \text{cross with square} \\ = \text{cross} + \text{cross with circle} + \text{cross with two circles} + \dots$$

- Renormalize the **“Dinosaur diagram”**

☞ **vertex counterterm**

$$i\Gamma^{(4)} = \text{cross with circle}$$

The Finite Equation

The counterterms

- Renormalization conditions (physical scheme)

☞ $\Gamma_{\text{vac}}^{(4)}(0) = 0, \Sigma_{\text{vac}}(m^2) = 0$

- Counterterms:

$$\delta m^2 = \frac{\lambda}{32\pi^2} m^2 \left[\frac{1}{\epsilon} - \gamma + 1 + \ln \frac{4\pi\Lambda^2}{m^2} \right]$$
$$\delta\lambda = -\frac{\lambda^2}{32\pi^2} \left[\frac{1}{\epsilon} - \gamma + 1 + \ln \frac{4\pi\Lambda^2}{m^2} \right]$$

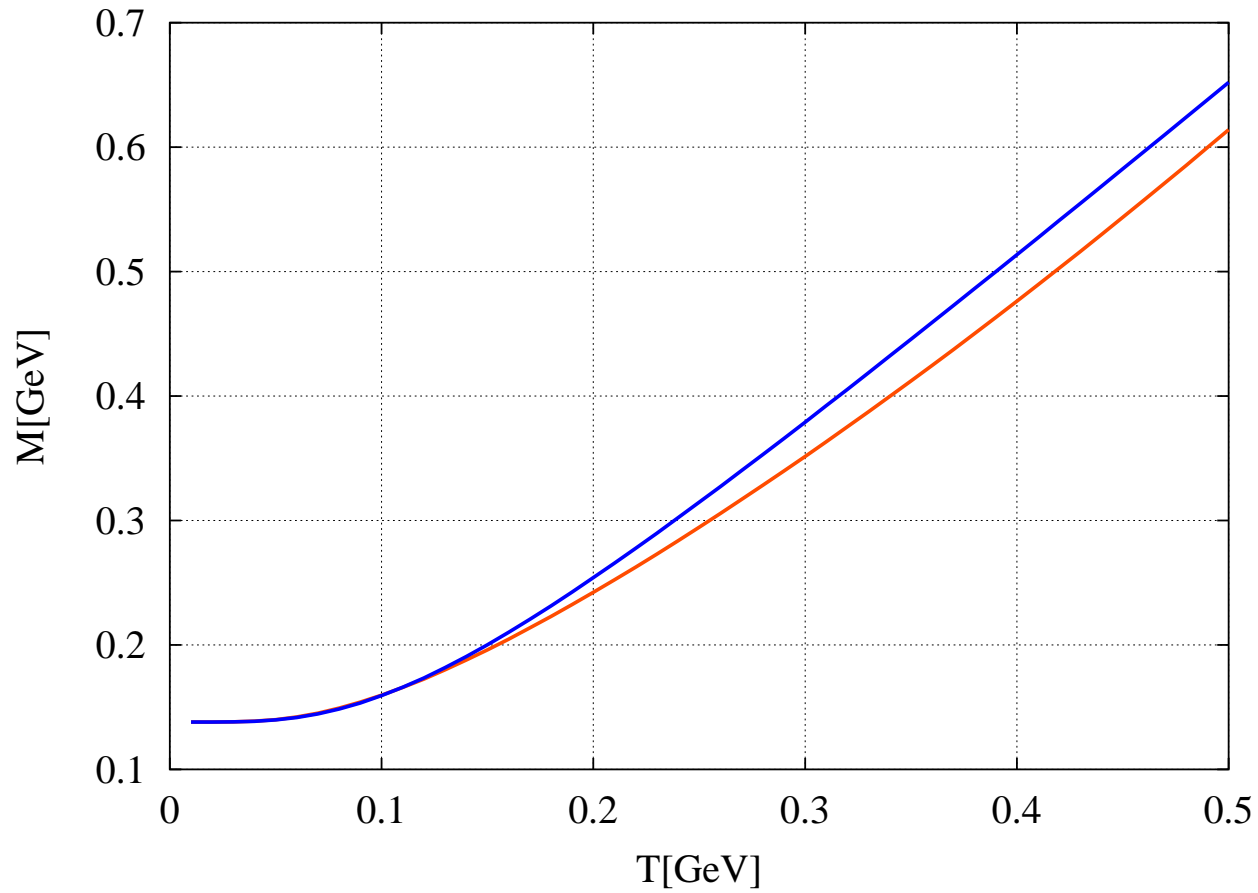
- Counterterms are **independent of temperature** and adjusted in vacuum

Self-consistent equation (gap equation)

$$M^2 = m^2 + \frac{\lambda}{32\pi^2} M^2 \ln \frac{M^2}{m^2} + \frac{\lambda}{2} \int \frac{d^4p}{(2\pi)^4} 2\pi\delta(p^2 - M^2) n(p_0)$$

Numerical Results

#11

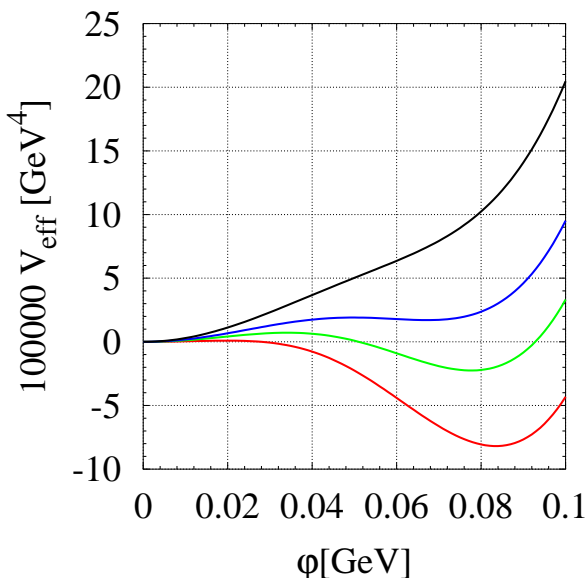
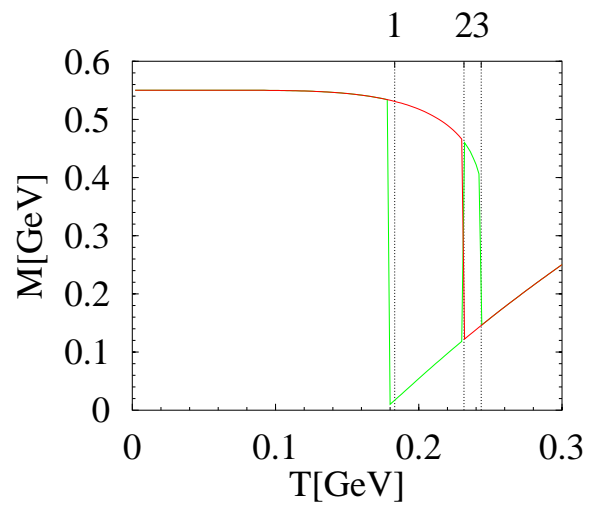
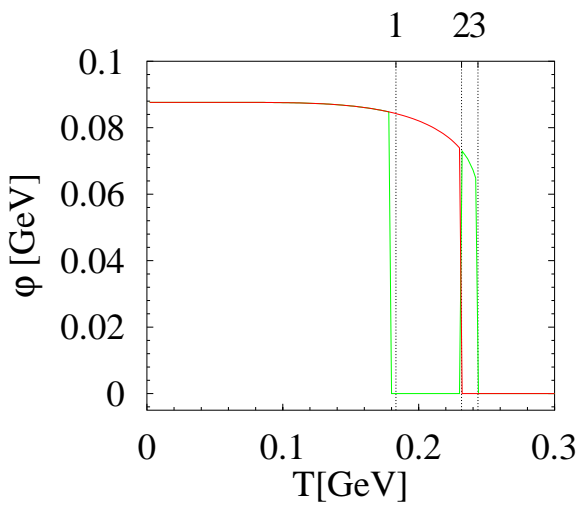


Numerical solution of the **self-consistent tadpole equation** compared to the **perturbative result** for $m = 140\text{MeV}$ and $\lambda = 50$

Spontaneously Broken Symmetry

$$\mathcal{L} = \frac{1}{2}(\partial_\mu\phi)(\partial^\mu\phi) + \frac{\mu^2}{2}\phi^2 - \frac{\lambda}{4!}\phi^4$$

- Stable (tree-level) vacuum at $\phi = \varphi = \frac{6\mu^2}{\lambda}$. Particles have $m^2 = +2\mu^2 > 0$
- Have to take the **Mean Field** φ into account and solve the coupled equations for both $\varphi = \text{const}$ and $\Sigma = \text{const}$. The renormalization procedure is the same as in the unbroken case.
- Parameters from linear the σ -model: $\mu = 400\text{MeV}$, $\lambda = 100$



The effective potential for $T = 180\text{MeV}$ to $T = 280\text{MeV}$

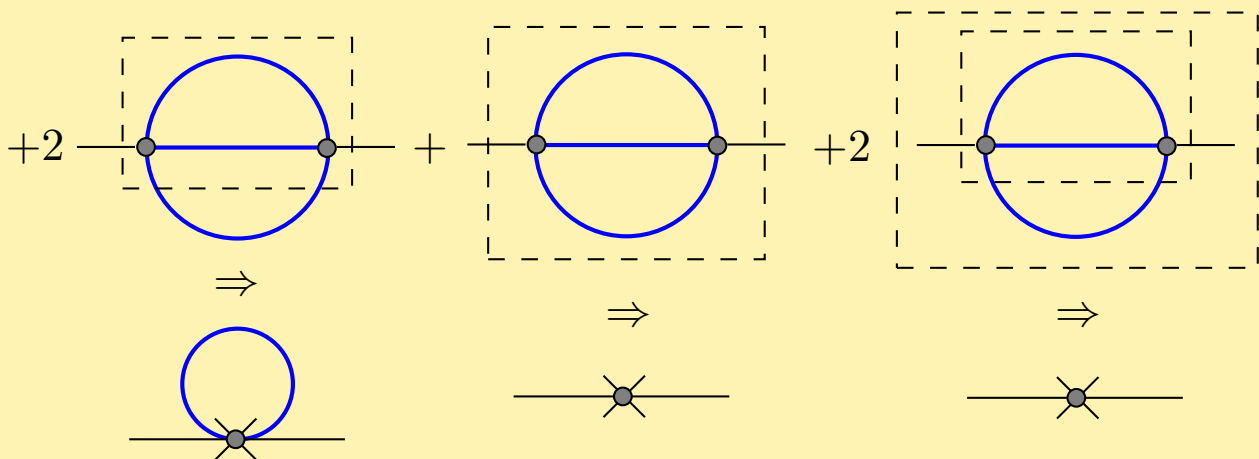
The Sunset Diagram

The vacuum part

$$i\Phi = \text{Sunset Diagram} \Leftrightarrow -i\Sigma = \text{Sunset Diagram with internal line} + \text{ Tadpole Diagram}$$

$\frac{1}{2 \cdot 4!}$
 $\frac{1}{3!}$

- Overall and sub-divergences to all orders perturbation theory
- ☞ Subtracted dispersion relations for vacuum divergences



- Counterterms of tadpole-type \rightarrow const. and the overall subtraction $\rightarrow O(p^2)$ (mass- and wave function renormalization)
- ☞ Simple subtractions in dispersion relation

Algorithm

Strategy for the vacuum

- Starting with perturbative propagators calculate **imaginary part** of the retarded self-energy (**finite!**):

$$\text{Im } \Sigma_R = \frac{\Sigma^{-+} - \Sigma^{+-}}{2i}$$

- Real part from **twice subtracted dispersion relation**:

$$\begin{aligned} \Sigma_R(s) = & (s - m_{\text{ren}}^2)^2 \int_0^\infty \frac{dz}{\pi} \frac{\text{Im } \Sigma_R(z)}{(z - s + i\epsilon\sigma(p_0))(z - m_{\text{ren}}^2)^2} + \\ & + \Sigma'_R(m_{\text{ren}}^2)(s - m_{\text{ren}}^2) + \Sigma_R(m_{\text{ren}}^2) \end{aligned}$$

- **Dyson's equation** for the retarded propagator

$$G_R = \frac{1}{p^2 - m^2 - \Sigma_R + i\epsilon\sigma(p_0)}$$

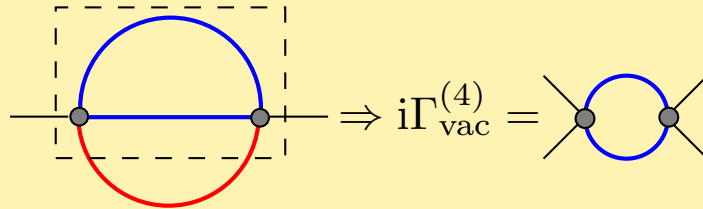
and plug it into the next calculation for $\text{Im } \Sigma_R$.

- **Iterate** this procedure until the Σ_R does not change anymore

The Sunset Diagram for $T > 0$

#15

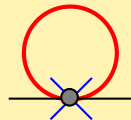
Renormalization of vacuum sub-divergence



Algorithm

- Calculate renormalized $\Gamma_{vac}^{(4)}$ with already given self-consistent vacuum propagator (blue)
- Renormalization condition: $\Gamma_{vac}^{(4)}(s = 0) = 0$.
- Calculate $\text{Im } \Sigma_R$ with the full thermal propagator
- Subtract the vacuum part and $3 \times$ the “bad diagram”
- Dispersion relation without subtraction for the rest

☞ Only pure vacuum subtractions in this part



- Full retarded “bad diagram” with vacuum subtracted $\Gamma^{(4)}$ -insertion

☞ finite

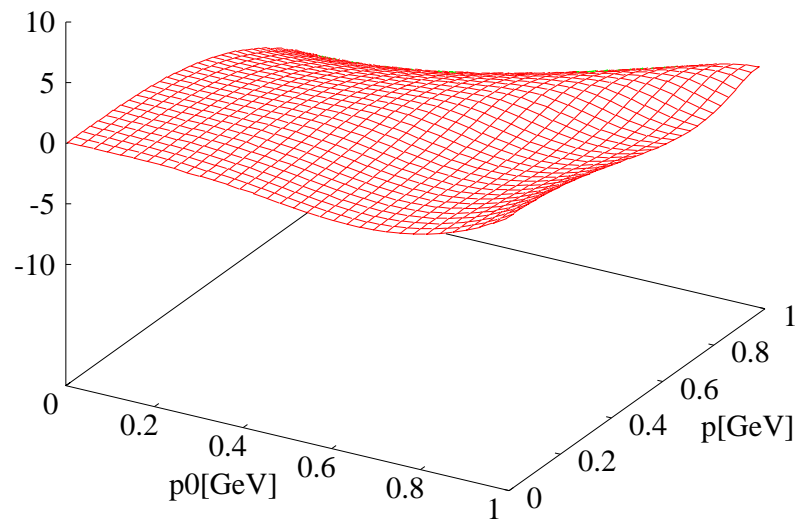
☞ Only vacuum sub-divergence subtracted

- Result: explicit overlapping divergences of the sunset diagram are completely subtracted with pure vacuum counter terms

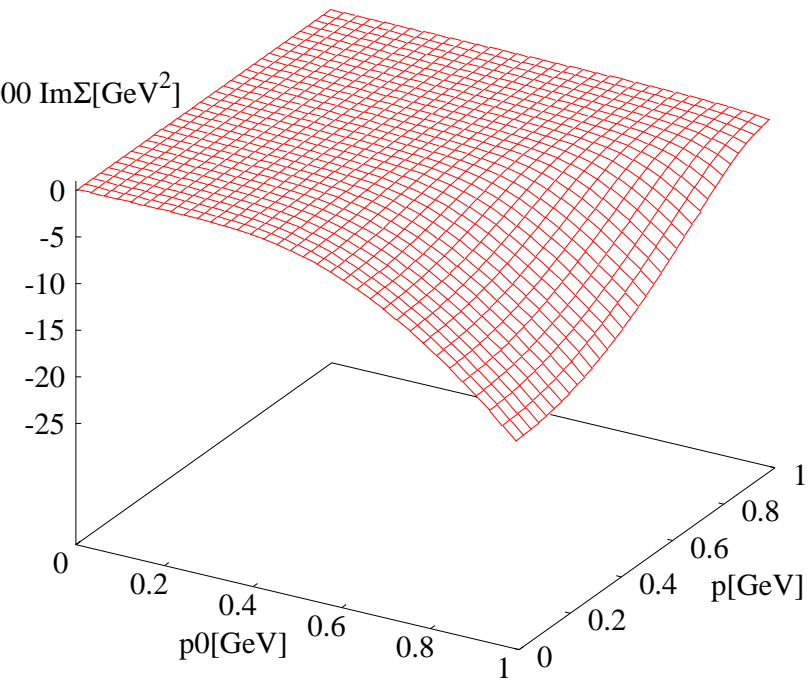
Results for the Vacuum Sunset Diagram

#16

1000 Re Σ [GeV²]



1000 Im Σ [GeV²]

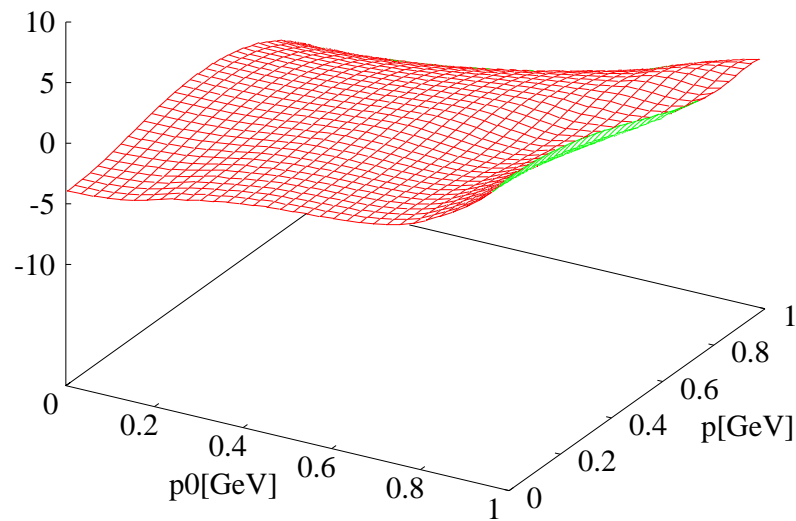


Vacuum: $m = 140\text{MeV}$, $\lambda = 50$

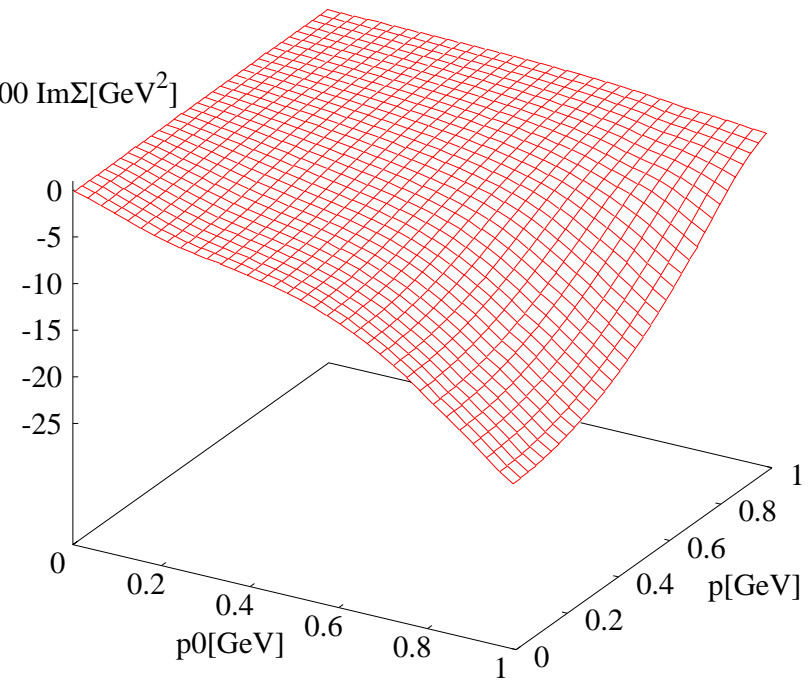
Perturbative Result for the Sunset Diagram at $T > 0$

#17

1000 Re Σ [GeV²]



1000 Im Σ [GeV²]

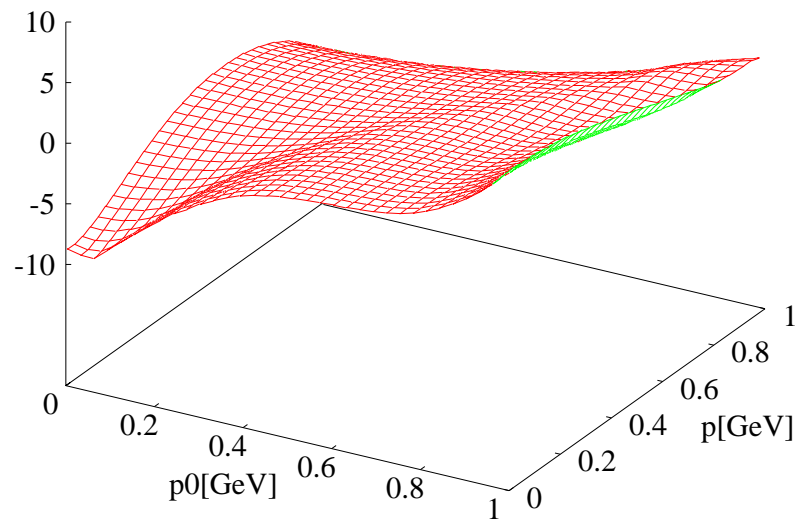


$T = 100\text{MeV}$

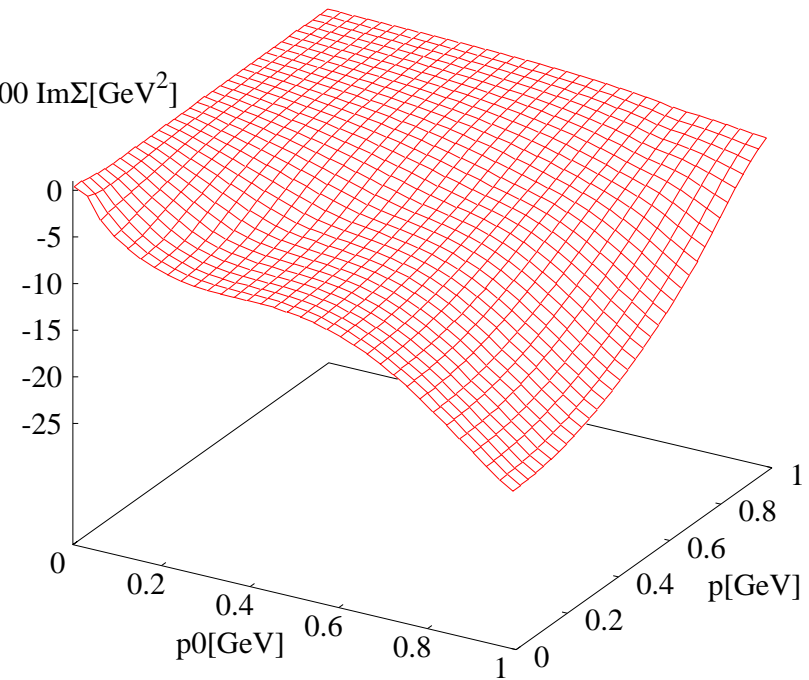
Self-consistent Result for the Sunset Diagram at $T > 0$

#18

1000 Re Σ [GeV²]



1000 Im Σ [GeV²]



$T = 100\text{MeV}$

The Analytic Green's Function

The imaginary part of the contour

- So far **real-time formalism**
- **Entropy, pressure, mean energy, ...**
- **Analytic propagator**
- Branch of analytic continuation of $G_{\text{Matsubara}}$

$$G_C(p_0, \vec{p}) = \int \frac{dz'}{\pi} \frac{\rho(z', \vec{p})}{z' - p_0} \text{ with}$$
$$\forall z \in \mathbb{R} : \mathbb{R} \ni \rho(z, \vec{p}) = -\rho(-z, \vec{p}) = -\text{Im } G_R(z, \vec{p})$$

- Causality structure of G_R and G_A

$$G_C(p_0 \pm i0) = -G_{R/A}(p) \text{ for } p_0 \in \mathbb{R}$$

- **Matsubara-propagator**

$$G_M(i\omega_n, \vec{p}) = G_C(i\omega_n, \vec{p}) \text{ with } \omega_n = \frac{2\pi i}{\beta} n = 2\pi i n T, \quad n \in \mathbb{Z}$$

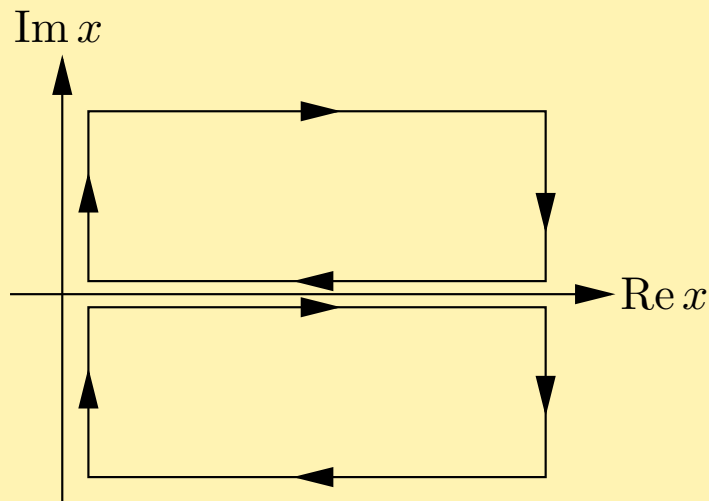
Matsubara Sums

Summing over Matsubara frequencies

- $F(z)$: analytic in an open strip around imaginary axis

$$\frac{1}{\beta} \sum_{n \in \mathbb{Z}} F(i\omega_n) = \frac{1}{2\pi i} \int_{-i\infty+\epsilon}^{i\infty+\epsilon} dx [F(x) + F(-x)] \left[\frac{1}{2} + \frac{1}{\exp(\beta x) - 1} \right]$$

- $F(z)$: also analytic **away from the real axis**



$$\begin{aligned} \frac{1}{\beta} \sum_{n \in \mathbb{Z}} F(i\omega_n) &= \frac{1}{2\pi i} \int_{-\infty}^{\infty} dx \frac{1}{2} [F(x - i\epsilon\sigma(x)) - F(x + i\epsilon\sigma(x))] + \\ &+ \frac{1}{2\pi i} \int_{-\infty}^{\infty} dx n(x) [F(x - i\epsilon\sigma(x)) - F(x + i\epsilon\sigma(x))] \end{aligned}$$

The Entropy

Thermodynamical properties

- Expectation values from thermal quantum field theory:

$$Z(\beta, V) = \text{Tr} \exp(-\beta \mathbf{H})$$

$$\Rightarrow \varepsilon = \frac{1}{V} \langle \mathbf{H} \rangle = -\frac{1}{V} \partial_\beta \ln Z, \quad \frac{1}{V} d(\beta \ln Z) = -\varepsilon d\beta$$

- Define thermodynamical quantities:

$$P = \frac{\ln Z}{\beta V}, \quad s = \beta(P + \varepsilon) \Rightarrow dP = s dT$$

- Solution of the real time Φ -derived self-consistent equations

$$\ln Z = i\Gamma \Rightarrow P = i\Gamma \Rightarrow s = i\partial_T \Gamma$$

- Stationarity with respect to G_R : Need to derive only with respect to explicit temperature dependency

$$s = -2 \int_{p_0 > 0} \frac{d^4 p}{(2\pi)^4} \partial_T n(p_0) \{ \text{Im} \ln[-G_R^{-1}(p)] + \text{Im}(\Sigma_R G_R) \} +$$

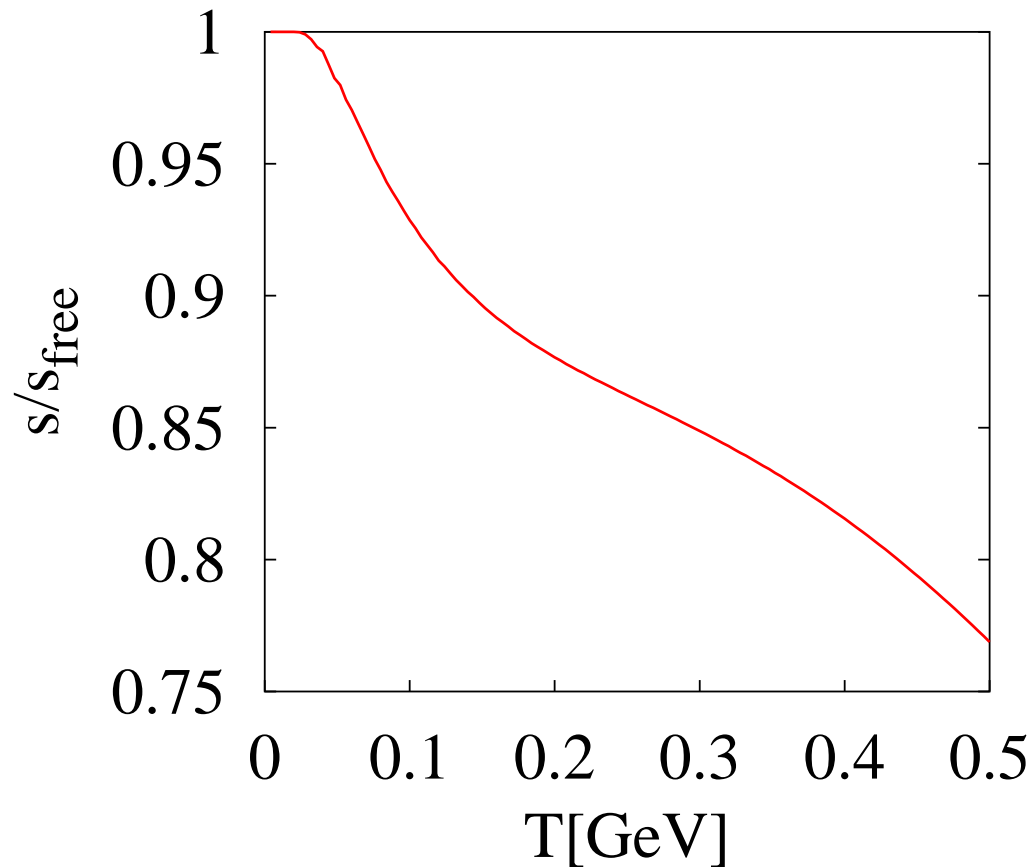
$$+ i \left\{ \frac{\delta \Phi[\varphi, G]}{\delta n} \right\} \Big|_{G_R, \varphi \text{ fixed}} \partial_T n$$

- Especially for 2-point Φ -functionals

$$s = -2 \int_{p_0 > 0} \frac{d^4 p}{(2\pi)^4} \partial_T n(p_0) \{ \text{Im} \ln[-G_R^{-1}(p)] + (\text{Im} \Sigma_R)(\text{Re} G_R) \}$$

Result for Tadpole Resummation

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The entropy density for the **free gas** and for the **selfconsistent tadpole resummation**. The parameters were $m = 138\text{MeV}$ and $\lambda = 50$.

Conclusion and outlook

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Conclusions

- Self-consistent Φ -derivable models can be **renormalized and solved numerically**
- Applications for the consistent treatment of **particles and resonances with finite mass widths** possible
- Applicable as well for **dynamics** as for **thermodynamical quantities**
- Consistent schemes for **transport equations for such particles and resonances**

Outlook

- Problem with Goldstone's theorem and phase transitions (**Linear Σ -model**)
- Development of numerics for more complicated cases beyond two-point level (**ladder summations**)
- Big fundamental problem:
- Most important physical theories involve **gauge fields**
- ☞ Standardmodel
- ☞ (**Phasetransitions in QCD and electro-weak Theory**)
- ☞ Effective meson theories (**vector dominance and dileptons**)
- **Does there exist a gauge-invariant scheme?**