

# Natural Vacuum Alignment from Group Theory

Martin Holthausen

based on

MH, Michael A. Schmidt

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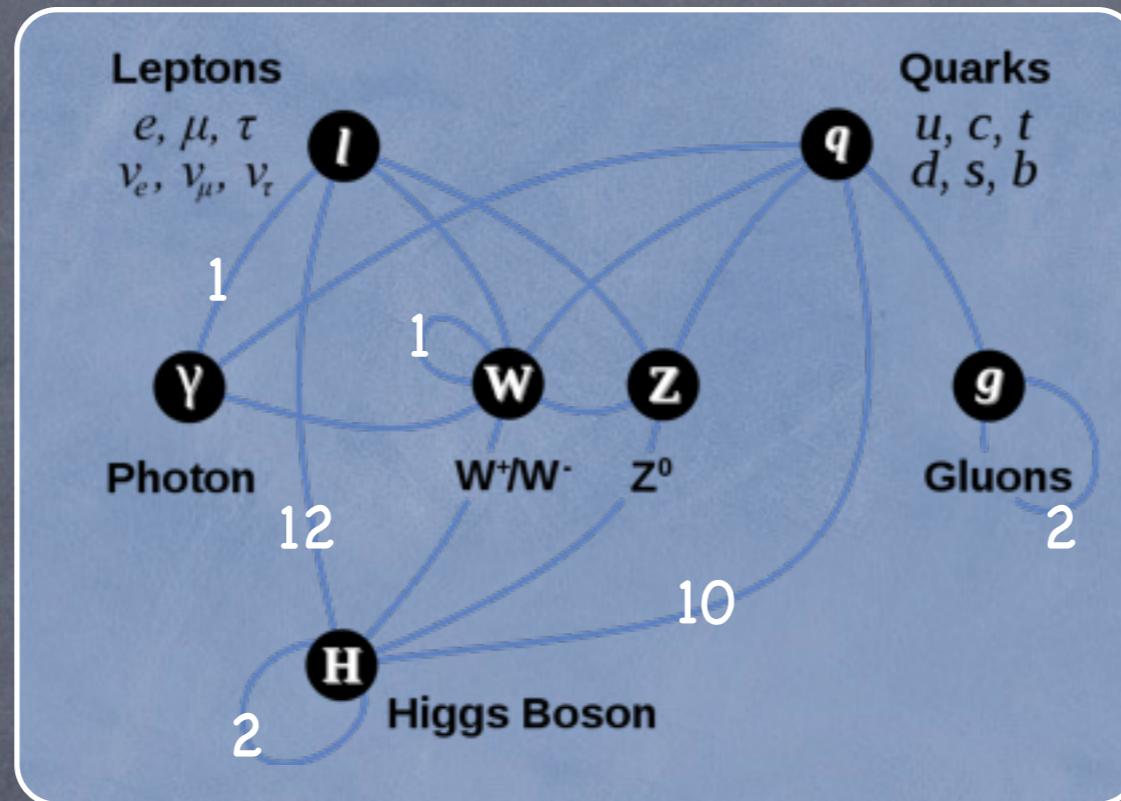
INTERNATIONAL  
MAX PLANCK  
RESEARCH SCHOOL



FOR PRECISION TESTS  
OF FUNDAMENTAL  
SYMMETRIES

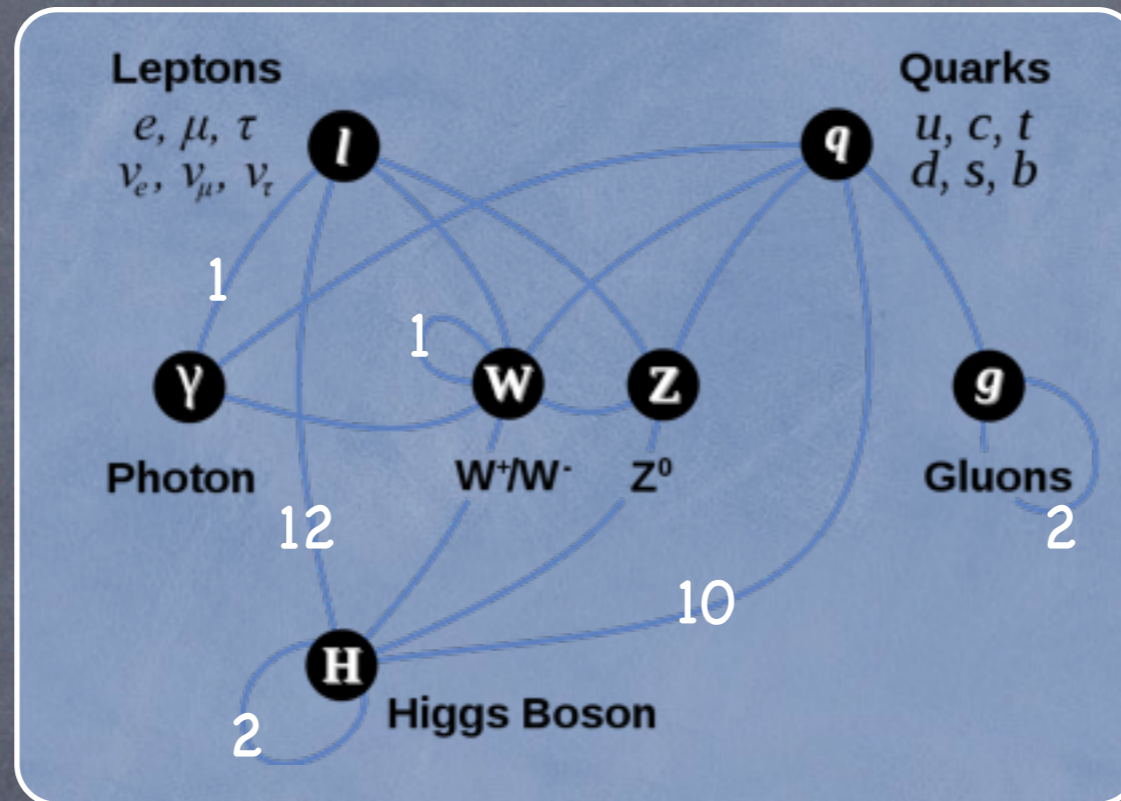
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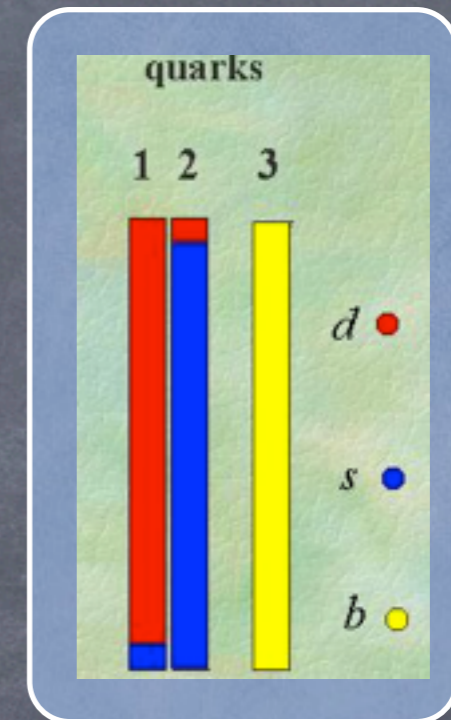
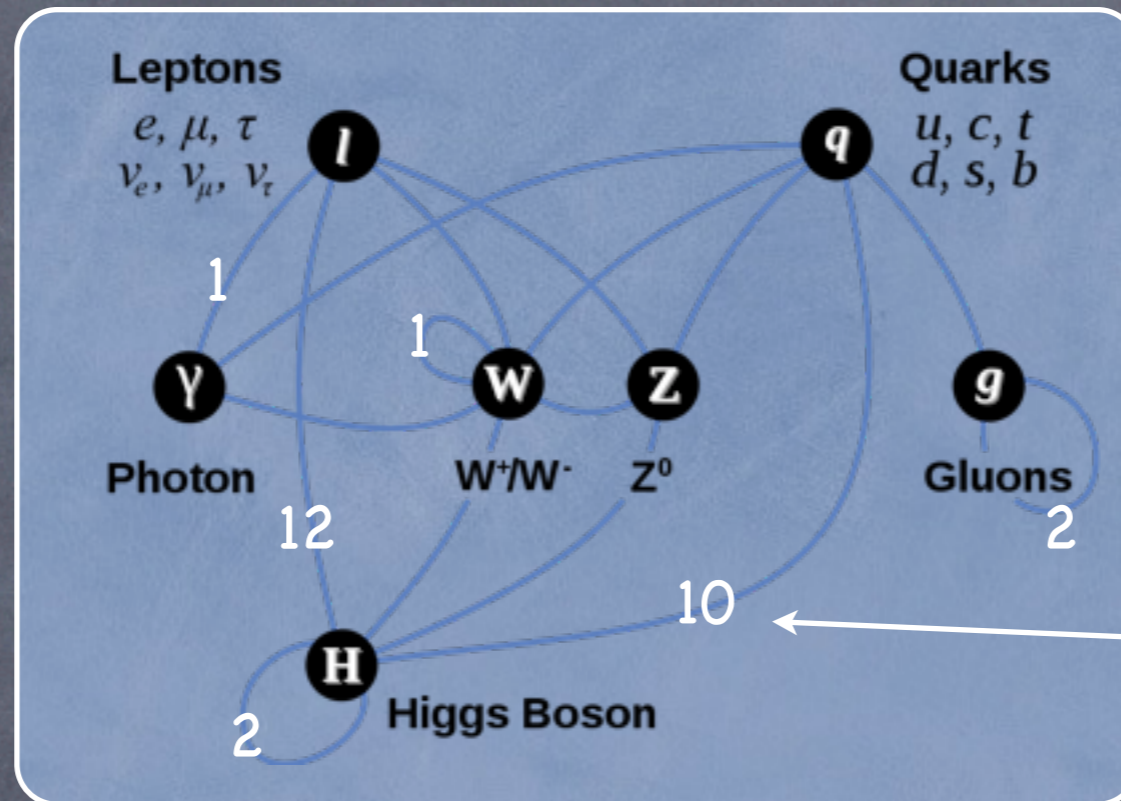
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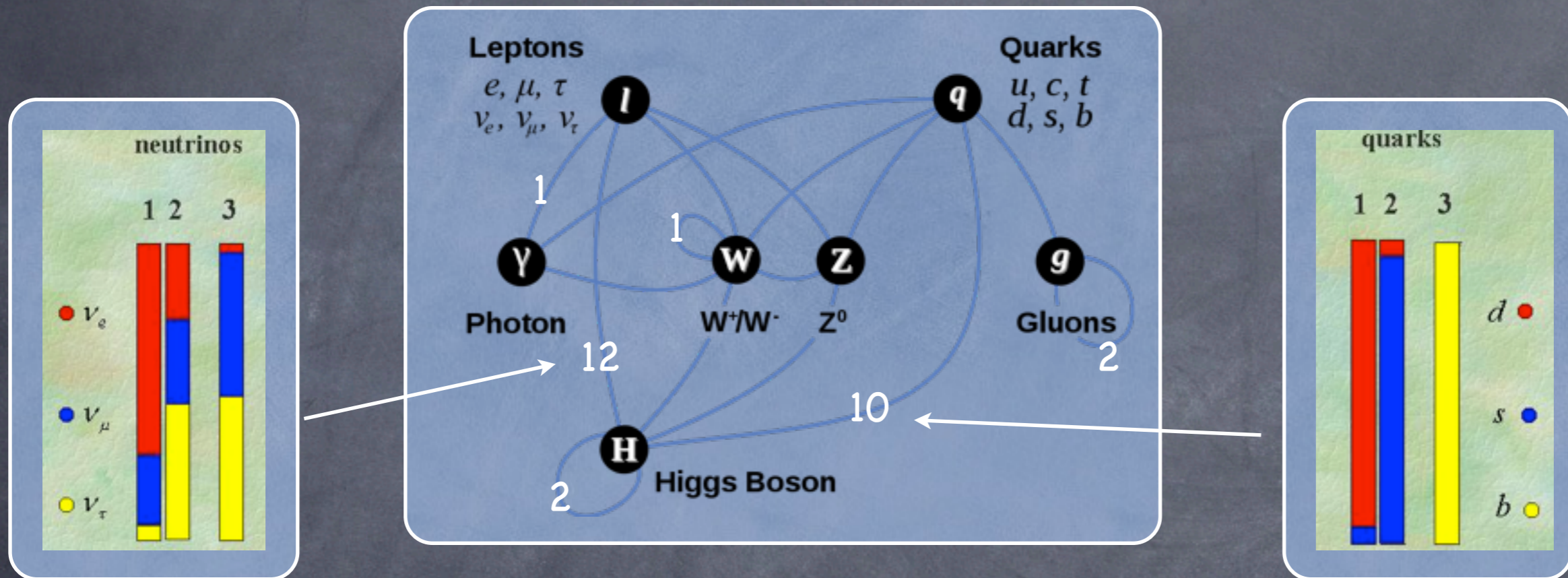
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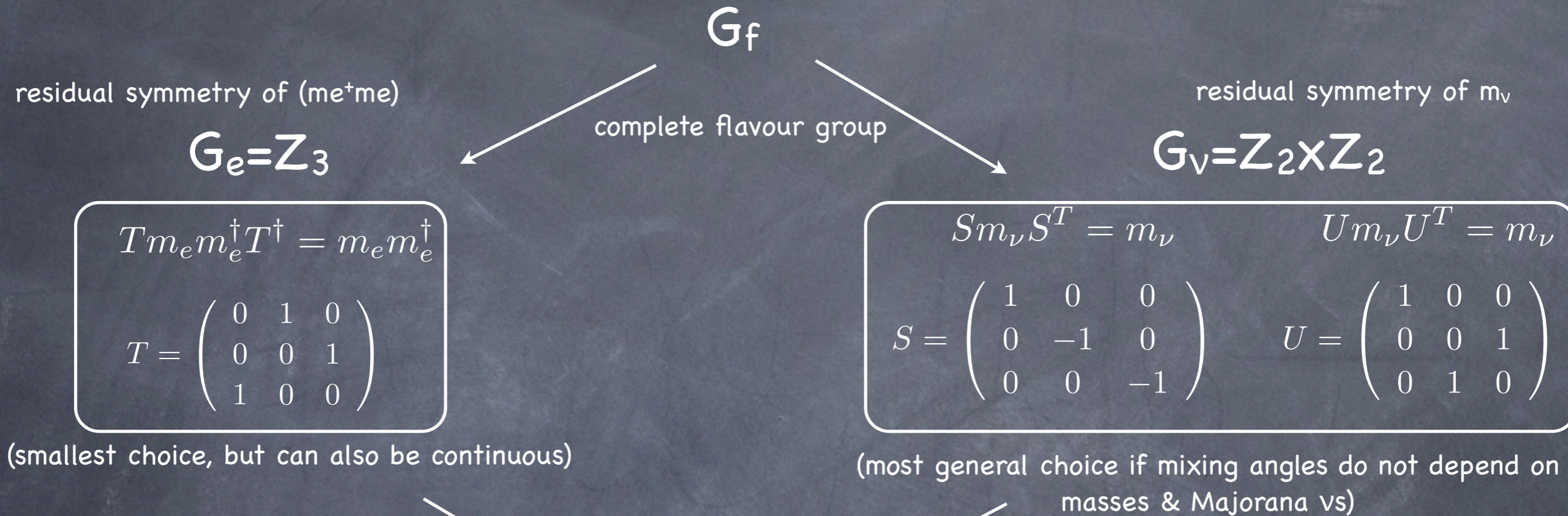
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- most of them stem from interactions with the Higgs field, other interactions tightly constrained by symmetry principles
- in quark sector: small mixing angles and hierarchical masses can be explained by Frogatt-Nielsen symmetry
- in lepton sector: two large and one small mixing angle suggestive of non-abelian discrete symmetry

# Lepton mixing from discrete groups



misaligned non-commuting symmetries lead to

$$U_{PMNS} = U_{TBM} = \begin{pmatrix} \sqrt{\frac{2}{3}} & \frac{1}{\sqrt{3}} & 0 \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & -\frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \end{pmatrix}$$

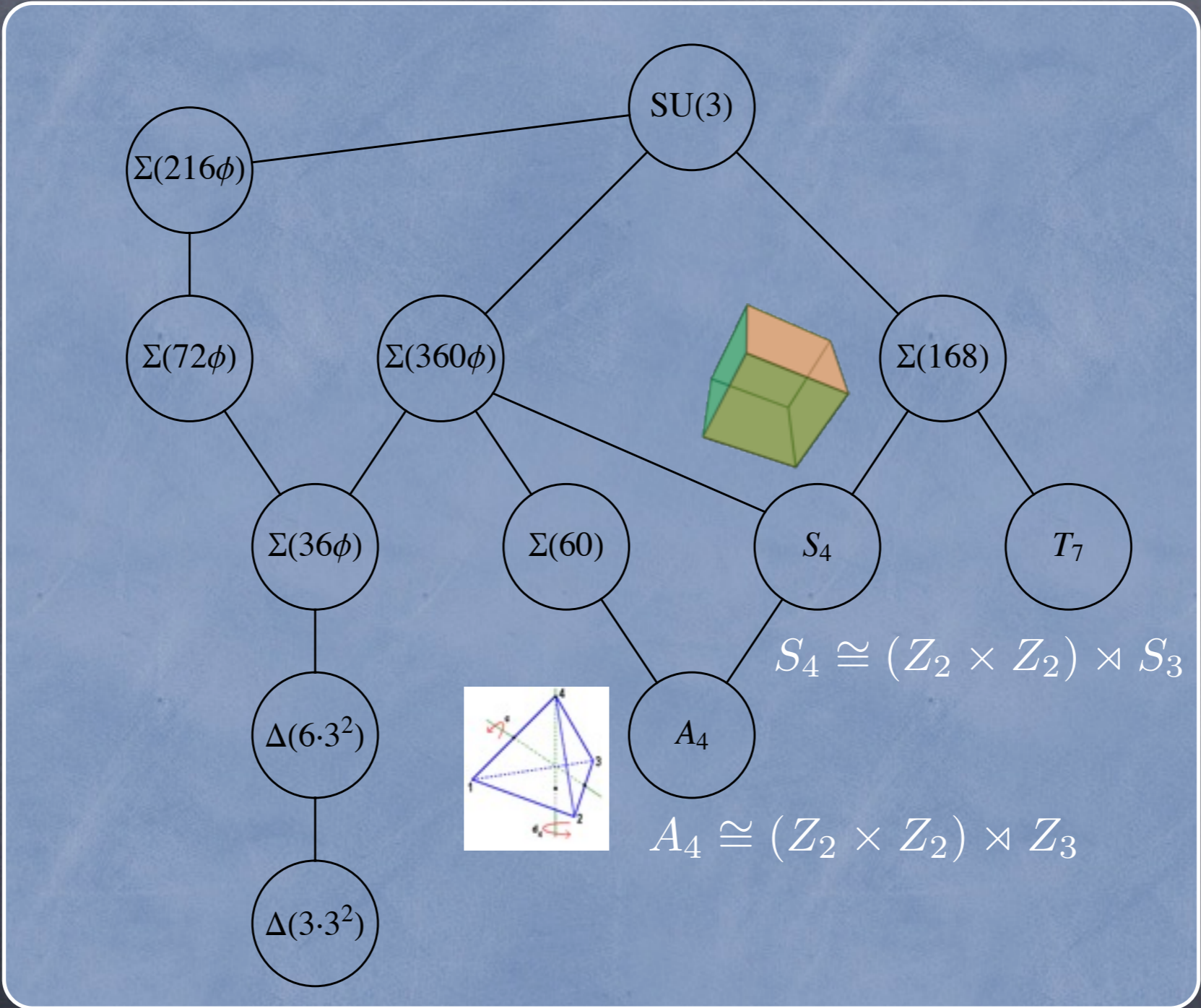
$$\sin^2 \theta_{12} = \frac{1}{3}, \quad \sin^2 \theta_{23} = \frac{1}{2}, \quad \sin^2 \theta_{13} = 0$$

„tri-bimaximal mixing“ (TBM) gives good LO description of lepton mixing

$$\sin^2 \theta_{12} = 0.312_{-0.015}^{+0.017}, \quad \sin^2 \theta_{23} = 0.52_{-0.07}^{+0.06}, \quad \sin^2 \theta_{13} = 0.013_{-0.006}^{+0.007}$$

[He, Keum, Volkas '06;  
Lam '07, '08;  
Altarelli, Feruglio '05]

# Candidate Groups



$$T_7 \cong Z_7 \rtimes Z_3$$

$$S_4 \cong (Z_2 \times Z_2) \rtimes S_3$$

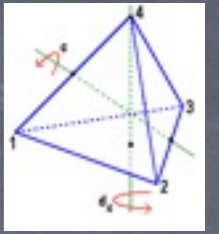
$$A_4 \cong (Z_2 \times Z_2) \rtimes Z_3$$

$$T' \cong Z_2 \cdot A_4$$

$$\Delta(27) \cong (Z_3 \times Z_3) \rtimes Z_3$$

[Merle,Zwicky 1110.4891]

# A<sub>4</sub> Symmetry Group



A<sub>4</sub> is the smallest symmetry group that can lead to TBM mixing:

$$A_4 \cong (Z_2 \times Z_2) \rtimes Z_3 \cong \langle S, T | S^2 = T^3 = (ST)^3 = 1 \rangle$$

	S	T
<u>1</u> <sub>1</sub>	1	1
<u>1</u> <sub>2</sub>	1	$\omega$
<u>1</u> <sub>3</sub>	1	$\omega^2$
<u>3</u> <sub>1</sub>	$\begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & -1 \end{pmatrix}$	$\begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix}$

	1	T	T <sup>2</sup>	S
<u>1</u> <sub>1</sub>	1	1	1	1
<u>1</u> <sub>2</sub>	1	$\omega$	$\omega^2$	1
<u>1</u> <sub>3</sub>	1	$\omega^2$	$\omega$	1
<u>3</u>	3	0	0	-1

1-d reps.  
correspond to  
reps. of Z<sub>3</sub>

$$\omega = e^{i2\pi/3}$$

$$\underline{\mathbf{3}} \times \underline{\mathbf{3}} = \underline{\mathbf{1}}_1 + \underline{\mathbf{1}}_2 + \underline{\mathbf{1}}_3 + \underline{\mathbf{3}}_S + \underline{\mathbf{3}}_A$$

$$(ab)_{\underline{\mathbf{1}}_1} = \frac{1}{\sqrt{3}} (a_1 b_1 + a_2 b_2 + a_3 b_3)$$

$$(ab)_{\underline{\mathbf{1}}_2} = \frac{1}{\sqrt{3}} (a_1 b_1 + \omega^2 a_2 b_2 + \omega a_3 b_3) \quad (ab)_{\underline{\mathbf{1}}_3} = \frac{1}{\sqrt{3}} (a_1 b_1 + \omega a_2 b_2 + \omega^2 a_3 b_3)$$

$$(ab)_{A,\underline{\mathbf{3}}} = \frac{1}{2} \begin{pmatrix} a_2 b_3 - a_3 b_2 \\ a_3 b_1 - a_1 b_3 \\ a_1 b_2 - a_2 b_1 \end{pmatrix}$$

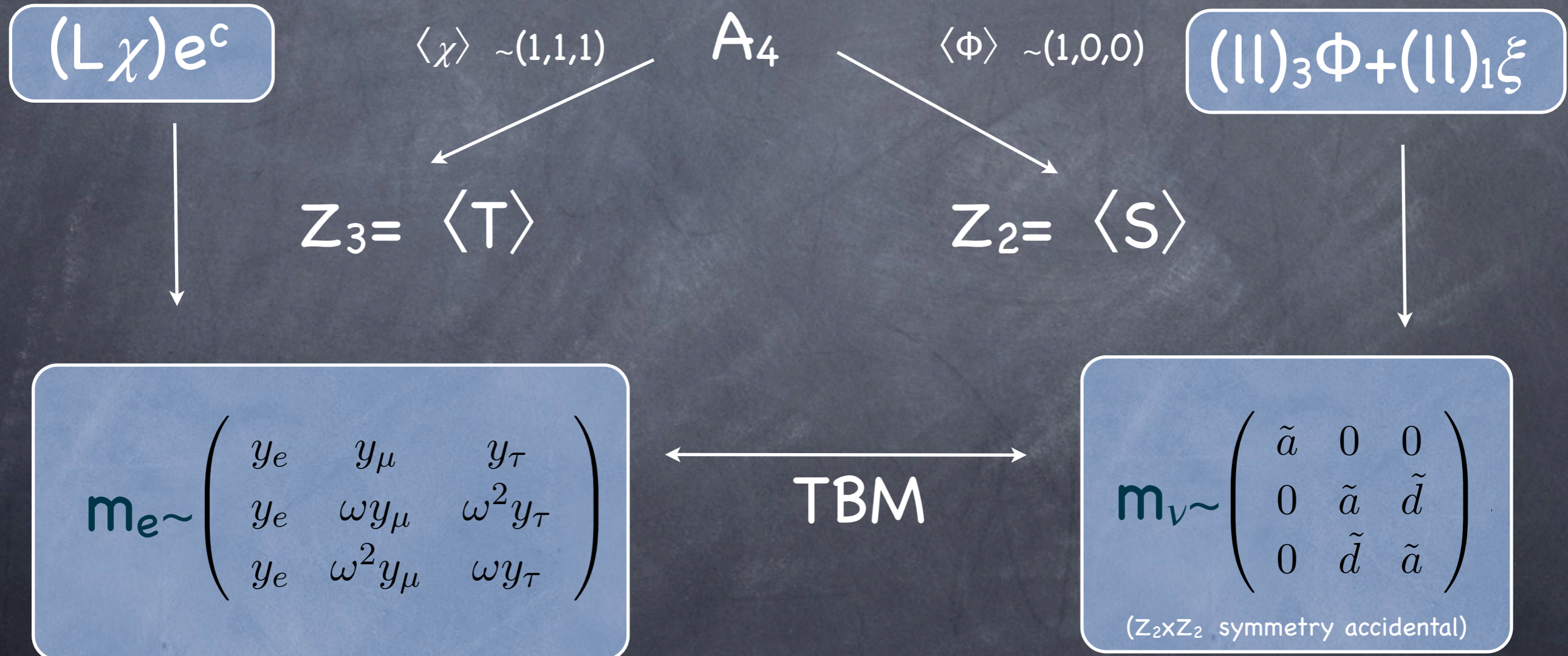
$$(ab)_{S,\underline{\mathbf{3}}} = \frac{1}{2} \begin{pmatrix} a_2 b_3 + a_3 b_2 \\ a_3 b_1 + a_1 b_3 \\ a_1 b_2 + a_2 b_1 \end{pmatrix}$$

where  $(a_1, a_2, a_3), (b_1, b_2, b_3) \sim \underline{\mathbf{3}}$ .



# An $A_4$ Prototype model

- $(A_4, Z_4)$  charge assignments:  $l \sim (3, i)$ ,  $e^c \sim (1_1, -i)$ ,  $\mu^c \sim (1_2, -i)$ ,  $\tau^c \sim (1_3, -i)$ ,  $\chi \sim (3, 1)$ ,  $\Phi \sim (3, -1)$ ,  $\xi \sim (1, -1)$
- auxiliary  $Z_4$  separates neutral and charged lepton sectors at LO



Vacuum alignment crucial!

[e.g. Ma, Rajasekaran '01, Babu, Ma, Valle '03, Altarelli, Feruglio, '05, '06]

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- Most straightforward case: scalar potential in 4D DOES NOT work:

$$V_\chi = m_0^2 (\chi\chi)_{\underline{1}_1} + \lambda_1 (\chi\chi)_{\underline{1}_1} (\chi\chi)_{\underline{1}_1} + \lambda_2 (\chi\chi)_{\underline{1}_2} (\chi\chi)_{\underline{1}_3}$$

has minima (1,1,1) and (1,0,0). Effect of breaking to  $Z_2$  in another sector can be included by adding:

$$V_{soft, Z_2} = m_A^2 \chi_1^2 + m_B^2 \chi_2^2 + m_C^2 \chi_2 \chi_3$$

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Minimization conditions then give:

$$0 = \left[ \frac{\partial V}{\partial \chi_1} \right]_{\chi_i=v'} = \frac{2}{\sqrt{3}} \left( m_0^2 + \sqrt{3} m_A^2 \right) v' + 4\lambda_1 v'^3$$

$$0 = \left[ \frac{\partial}{\partial \chi_2} V - \frac{\partial}{\partial \chi_3} V \right]_{\chi_i=v'} = 2 m_B^2 v'$$

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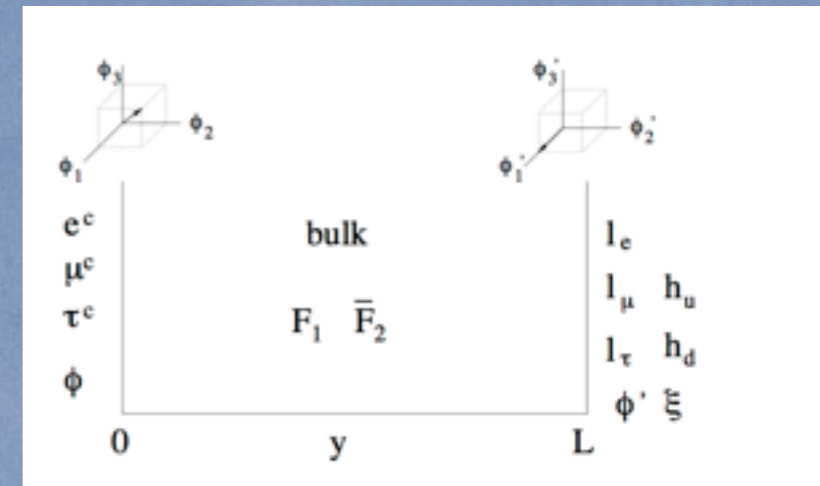
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- Breaking to the same subgroup of  $A_4$  can be realized. The non-trivial couplings, i.e.  $(\Phi \Phi)_3 (\chi \chi)_3$  thus force breaking of group to the same subgroup.
- the couplings cannot be forbidden by an internal symmetry that commutes with  $A_4$ , as e.g.  $(\Phi^\dagger \Phi)_3$  is invariant under the commuting symmetry.

# Solutions in the Literature

In models with extra dimensions(ED), it is possible to locate the various fields at different locations in the ED, thereby forbidding the cross-couplings.

Altarelli, Feruglio 2005

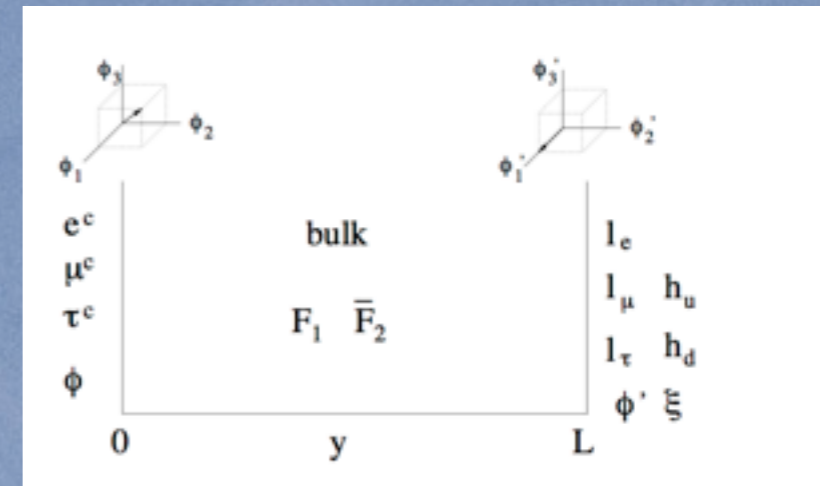




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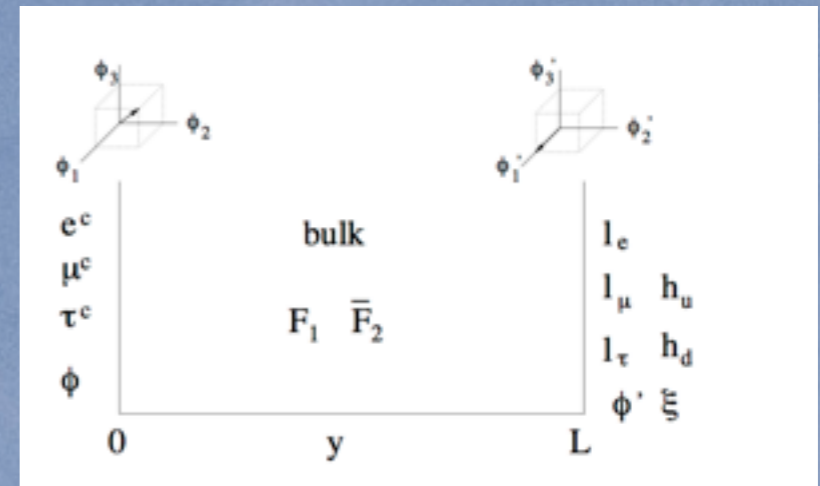
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Field	$\varphi_T$	$\varphi_S$	$\xi$	$\tilde{\xi}$	$\varphi_0^T$	$\varphi_0^S$	$\xi_0$
$A_4$	3	3	1	1	3	3	1
$Z_3$	1	$\omega$	$\omega$	$\omega$	1	$\omega$	$\omega$
$U(1)_R$	0	0	0	0	2	2	2

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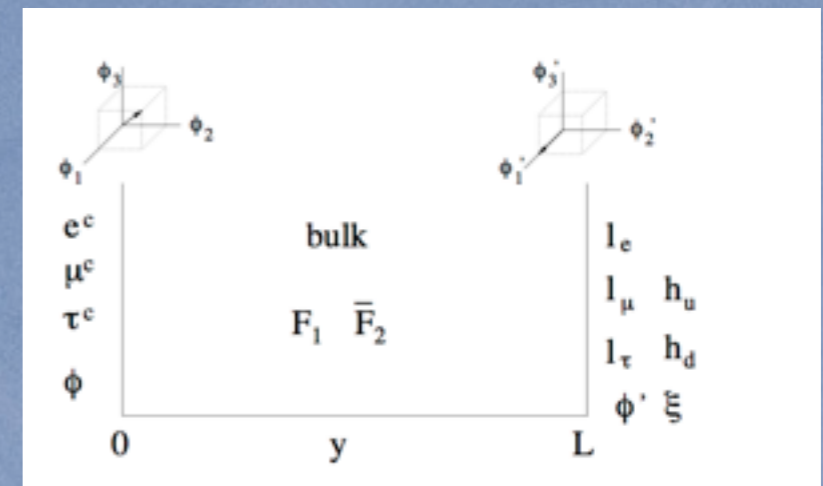
Babu and Gabriel(2010) proposed the flavour group  $(S_3)^4 \times A_4$ , which has the properties

- leptons transform only under  $A_4$  subgroup
- if one takes  $\Phi \sim 16$ , vacuum alignment possible as  $V = V(\Phi) + V(\chi) + (\Phi \Phi)_1 (\chi \chi)_1$
- neutrino masses then generated by coupling to  $\langle \Phi^4 \rangle \sim (1,0,0)$

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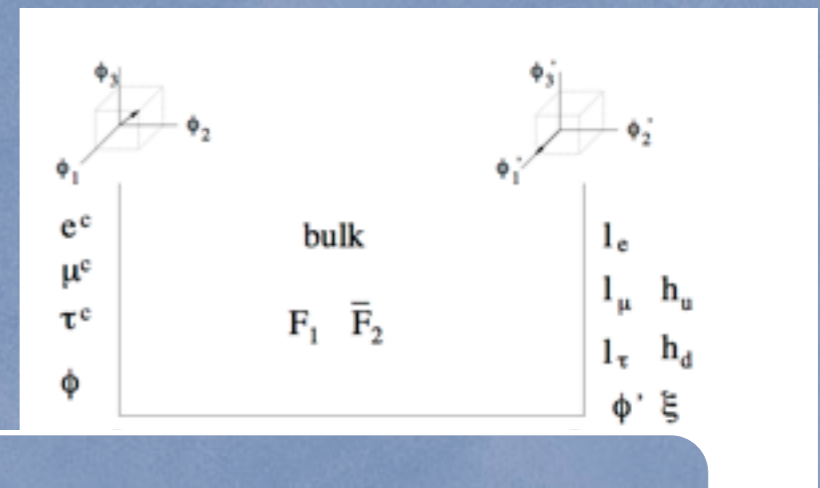
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needs high flavour scale, hard to test

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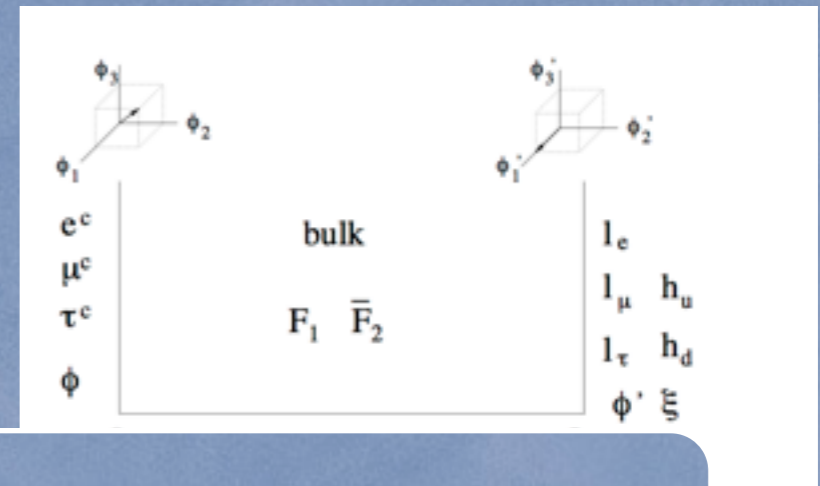
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Babu and Gaber

- leptons +
- if one ta
- neutrino

- Model is fine-tuned/needs special UV completion: different mass entries in neutrino mass matrix stem from operators of very different mass dimensions  $(ll)_3\Phi^4+(ll)_1$
- non-minimal(size: 15552), needs large representations

the properties

$$1(\chi\chi)_1$$



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# Scan for Small Groups

using the computer algebra system GAP and its SmallGroups catalogue, we have checked all groups with size smaller than 1000 (11,758,814 groups) and we have found a number of candidates:

- no candidates for  $T_7$  or  $\Delta(27)$ , maybe because here 3 is complex and there are more couplings that have to be forbidden (also smaller number of possible extensions)
- all candidates in list have non-trivial centre(=element that commute with all other elements), not necessary true for all groups(see e.g.  $(S_3)^4 \rtimes A_4$  studied in Babu/Gabriel 2010)

Subgroup $H$	Order of $G$	GAP	Structure Description	$Z(G)$
$A_4$	96	204	$Q_8 \rtimes A_4$	$Z_2$
	288	860	$T' \rtimes A_4$	$Z_2$
	384	617, 20123	$((Z_2 \times Q_8) \rtimes Z_2) \rtimes A_4$	$Z_2$
	576	8273	$(Z_2 \cdot S_4) \rtimes A_4$	$Z_2$
	768	1083945 1085279	$(Z_4 \cdot Z_4^2) \rtimes A_4$ $((Z_2 \times Q_{16}) \rtimes Z_2) \rtimes A_4$	$Z_4$ $Z_2$
$S_4$	192	1494	$Q_8 \rtimes S_4$	$Z_2$
	384	18133, 20092 20096	$(Z_2 \times Q_8) \rtimes S_4$ $((Z_4 \times Z_2) \rtimes Z_2) \rtimes S_4$	$Z_2$ $Z_4$
	576	8282 8480	$T' \rtimes S_4$ $(Z_3 \times Q_8) \rtimes S_4$	$Z_2$ $Z_6$
	768	1086052, 1086053	$((Z_2 \times Q_8) \rtimes Z_2) \rtimes S_4$	$Z_2$
	960	11114	$(Z_5 \times Q_8) \rtimes S_4$	$Z_{10}$
$T'$	192	1022	$Q_8 \rtimes T'$	$Z_2^2$
	648	533	$\Delta(27) \rtimes T'$	$Z_3$
	768	1083573, 1085187	$((Z_2 \times Q_8) \rtimes Z_2) \rtimes T'$	$Z_2^2$

Groups of the Structure  $G = N \rtimes H$ ,  $H$  is subgroup of  $G$

# Scan for Small Groups

- using the computer algebra system GAP and its SmallGroups catalogue, we have checked all groups with size smaller than 1000 (11,758,814 groups) and we have found a number of candidates:
- no candidates for  $T_7$  or  $\Delta(27)$ , maybe because here 3 is complex and there are more couplings that have to be forbidden (also smaller number of possible extensions)
- all candidates in list have non-trivial centre(=element that commute with all other elements), not necessary true for all groups(see e.g.  $(S_3)^4 \rtimes A_4$  studied in Babu/Gabriel 2010)

Quotient Group $H$	Order of $G$	GAP	Structure Description
$A_4$	96	201	$Z_2.(Z_2^2 \times A_4)$
	144	127	$Z_2.(A_4 \times S_3)$
	192	1017	$Z_2.(D_8 \times A_4)$
$S_4$	96	67, 192	$Z_4.S_4$
	144	121, 122	$Z_6.S_4$
	192	187, 963	$Z_8.S_4$
	192	987, 988	$Z_2.((Z_2^2 \times A_4) \rtimes Z_2)$
	192	1483,1484	$Z_2.(Z_2^2 \times S_4)$
	192	1492	$Z_2.((Z_2^4 \rtimes Z_3) \rtimes Z_2)$
$T'$	192	1007	$Z_2^2.(Z_2^2 \times A_4)$

Groups for which  $H$  is not a subgroup of  $G$



# Smallest Group

The smallest candidate group that contains  $A_4$  as a subgroup is the semidirect product of the quaternion group  $Q_8$

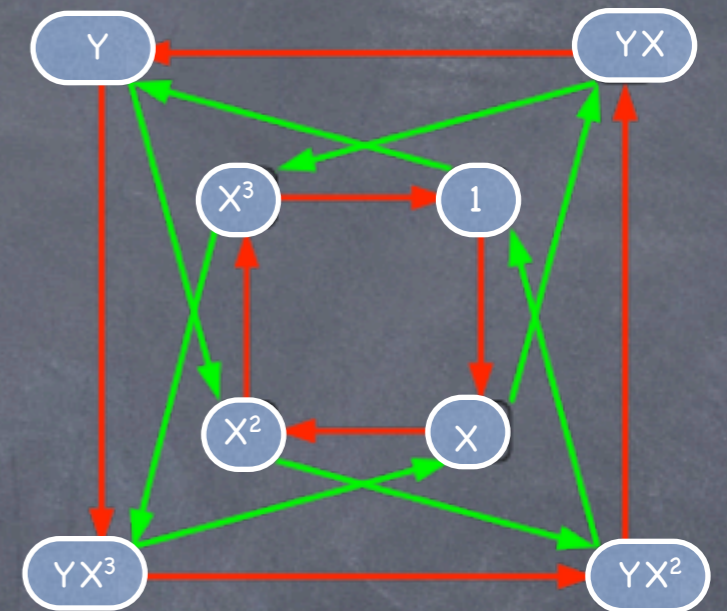
$$\langle X, Y | X^4 = 1, X^2 = Y^2, Y^{-1}XY = X^{-1} \rangle$$

with  $A_4$

$$\langle S, T | S^2 = T^3 = (ST)^3 = 1 \rangle$$

defined by the additional relations ( $\Leftrightarrow$  the homomorphism  $\varphi: H \rightarrow \text{Aut}(N)$  introduced earlier)

$$SXS^{-1} = X, \quad SYS^{-1} = Y^{-1}, \quad TXT^{-1} = YX, \quad TYT^{-1} = X.$$



Representations:

		1	$T$	$SYX$	$SY$	$Y^2$	$T^2$	$TY$	$S$	$SX$	$X$	$STYT$
unfaithful $A_4$ reps for leptons, $\chi$	$\underline{1}_1$	1	1	1	1	1	1	1	1	1	1	1
	$\underline{1}_2$	1	$\omega$	1	1	1	$\omega^2$	$\omega$	1	1	1	$\omega^2$
	$\underline{1}_3$	1	$\omega^2$	1	1	1	$\omega$	$\omega^2$	1	1	1	$\omega$
	$\underline{3}_1$	3	.	-1	-1	3	.	.	-1	-1	3	.
	$\underline{3}_2$	3	.	3	-1	3	.	.	-1	-1	-1	.
faithful rep for $\Phi$	$\underline{3}_3$	3	.	-1	3	3	.	.	-1	-1	-1	.
	$\underline{3}_4$	3	.	-1	-1	3	.	.	3	-1	-1	.
	$\underline{3}_5$	3	.	-1	-1	3	.	.	-1	3	-1	.
	$\underline{4}_1$	4	1	.	.	-4	1	-1	.	.	.	-1
	$\underline{4}_2$	4	$\omega^2$	.	.	-4	$\omega$	$-\omega^2$	.	.	.	$-\omega$
	$\underline{4}_3$	4	$\omega$	.	.	-4	$\omega^2$	$-\omega$	.	.	.	$-\omega^2$

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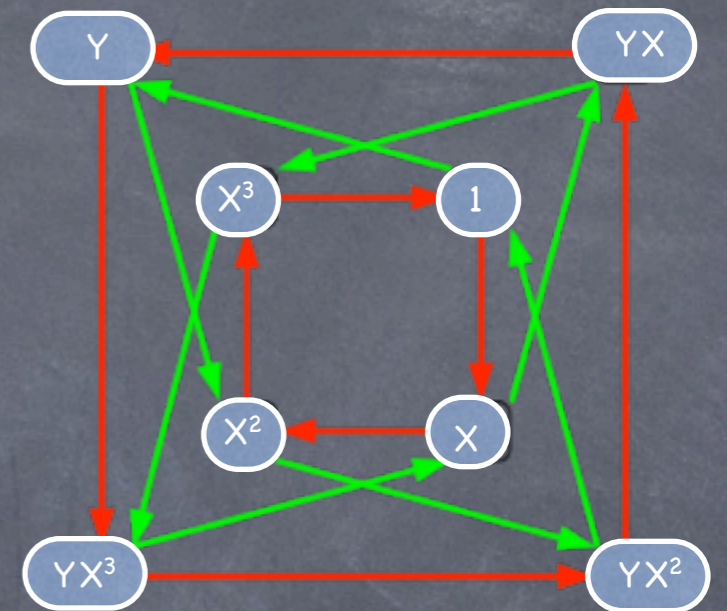
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with  $A_4$

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$$SXS^{-1} = X, \quad SYS^{-1} = Y^{-1}, \quad TXT^{-1} = YX, \quad TYT^{-1} = X.$$



Representations:

$$\underline{\mathbf{3}}_i \times \underline{\mathbf{3}}_i = \underline{\mathbf{1}}_1 + \underline{\mathbf{1}}_2 + \underline{\mathbf{1}}_3 + \underline{\mathbf{3}}_{iS} + \underline{\mathbf{3}}_{iA}$$

$$\underline{\mathbf{3}}_i \times \underline{\mathbf{3}}_j = \sum_{\substack{k=1 \\ k \neq i, j}}^5 \underline{\mathbf{3}}_k \quad (i \neq j)$$

$$\underline{\mathbf{3}}_i \times \underline{\mathbf{4}}_j = \underline{\mathbf{4}}_1 + \underline{\mathbf{4}}_2 + \underline{\mathbf{4}}_3$$

$$\underline{\mathbf{4}}_1 \times \underline{\mathbf{4}}_1 = \underline{\mathbf{1}}_{1S} + \underline{\mathbf{3}}_{1A} + \underline{\mathbf{3}}_{2S} + \underline{\mathbf{3}}_{3S} + \underline{\mathbf{3}}_{4S} + \underline{\mathbf{3}}_{5A}$$

$$\underline{\mathbf{4}}_1 \times \underline{\mathbf{4}}_2 = \underline{\mathbf{1}}_{2S} + \underline{\mathbf{3}}_{1A} + \underline{\mathbf{3}}_{2S} + \underline{\mathbf{3}}_{3S} + \underline{\mathbf{3}}_{4S} + \underline{\mathbf{3}}_{5A}$$

	S	T	X	Y
$\underline{\mathbf{1}}_1$	1	1	1	1
$\underline{\mathbf{1}}_2$	1	$\omega$	1	1
$\underline{\mathbf{1}}_3$	1	$\omega^2$	1	1
$\underline{\mathbf{3}}_1$	$\begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & -1 \end{pmatrix}$	$\begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix}$	$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$	$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$
$\underline{\mathbf{4}}_1$	$\begin{pmatrix} 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1 \\ 0 & 0 & -1 & 0 \end{pmatrix}$	$\begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$	$\begin{pmatrix} 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \end{pmatrix}$	$\begin{pmatrix} 0 & 1 & 0 & 0 \\ -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & -1 & 0 \end{pmatrix}$

faithful representation  $\Phi$  is what we were looking for.

$(\Phi \Phi)$  only contains non-trivial contraction of the  $A_4$  subgroup.

# The model

particle	$SU(3)_c$	$SU(2)_L$	$U(1)_Y$	$Q_8 \times A_4$	$Z_4$
$\ell$	1	2	-1/2	$\underline{\mathbf{3}}_1$	i
$e^c + \mu^c + \tau^c$	1	1	1	$\underline{\mathbf{1}}_1 + \underline{\mathbf{1}}_2 + \underline{\mathbf{1}}_3$	-i
$H$	1	2	1/2	$\underline{\mathbf{1}}_1$	1
$\chi$	1	1	0	$\underline{\mathbf{3}}_1$	1
$\phi_1$	1	1	0	$\underline{\mathbf{4}}_1$	1
$\phi_2$	1	1	0	$\underline{\mathbf{4}}_1$	-1

# The model

$$\langle \chi \rangle = (v', v', v')^T,$$

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$e^c + \mu^c + \tau^c$	1	1	1	$\underline{\mathbf{1}}_1 + \underline{\mathbf{1}}_2 + \underline{\mathbf{1}}_3$	-i
$H$	1	2	1/2	$\underline{\mathbf{1}}_1$	1
$\chi$	1	1	0	$\underline{\mathbf{3}}_1$	1
$\phi_1$	1	1	0	$\underline{\mathbf{4}}_1$	1
$\phi_2$	1	1	0	$\underline{\mathbf{4}}_1$	-1

VEVs:

$$\langle \phi_1 \rangle = \frac{1}{\sqrt{2}} (a, a, b, -b)^T,$$

$$\langle \phi_2 \rangle = \frac{1}{\sqrt{2}} (c, c, d, -d)^T$$

$$\langle (\phi_1 \phi_2)_{\underline{\mathbf{3}}_1} \rangle = \frac{1}{2} (bc - ad, 0, 0)^T$$

$$\langle (\phi_1 \phi_2)_{\underline{\mathbf{1}}_1} \rangle = \frac{1}{2} (ac + bd)$$

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LO charged lepton masses:

$$\mathcal{L}_e^{(5)} = y_e (\ell \chi)_{\underline{\mathbf{1}}_1} e^c \tilde{H} / \Lambda + y_\mu (\ell \chi)_{\underline{\mathbf{1}}_3} \mu^c \tilde{H} / \Lambda + y_\tau (\ell \chi)_{\underline{\mathbf{1}}_2} \tau^c \tilde{H} / \Lambda + \text{h.c.},$$

$$m_{e\sim} \sim \begin{pmatrix} y_e & y_\mu & y_\tau \\ y_e & \omega y_\mu & \omega^2 y_\tau \\ y_e & \omega^2 y_\mu & \omega y_\tau \end{pmatrix}$$

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$\ell$	1	2	-1/2	$\underline{\mathbf{3}}_1$	i
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LO neutral lepton masses:

$$\mathcal{L}_\nu^{(7)} = x_a (\ell H \ell H)_{\underline{\mathbf{1}}_1} (\phi_1 \phi_2)_{\underline{\mathbf{1}}_1} / \Lambda^3 + x_d (\ell H \ell H)_{\underline{\mathbf{3}}_1} \cdot (\phi_1 \phi_2)_{\underline{\mathbf{3}}_1} / \Lambda^3 + \text{h.c.}.$$

$$\mathbf{m}_{e\sim} \sim \begin{pmatrix} y_e & y_\mu & y_\tau \\ y_e & \omega y_\mu & \omega^2 y_\tau \\ y_e & \omega^2 y_\mu & \omega y_\tau \end{pmatrix}$$

TBM

$$\mathbf{m}_{\nu\sim} \sim \begin{pmatrix} \tilde{a} & 0 & 0 \\ 0 & \tilde{a} & \tilde{d} \\ 0 & \tilde{d} & \tilde{a} \end{pmatrix}$$

( $Z_2 \times Z_2$  symmetry accidental)

# The model

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$H$	1	2	1/2	$\underline{\mathbf{1}}_1$	1
$\chi$	1	1	0	$\underline{\mathbf{3}}_1$	1
$\phi_1$	1	1	0	$\underline{\mathbf{4}}_1$	1
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- additional  $4_1$  necessary to get correct symmetry breaking (otherwise only breaking to  $A_4$ )
- same # of d.o.f. as in case of complex triplet and singlet, no additional driving fields necessary
- low flavour symmetry breaking scale possible, testable

# Scalar Potential & Vacuum Alignment

The most general scalar potential invariant under the flavour symmetry is given by

$$V(\chi, \phi_1, \phi_2) = V_\chi(\chi) + V_\phi(\phi_1, \phi_2) + V_{\text{mix}}(\chi, \phi_1, \phi_2)$$

with

$$\begin{aligned}
 V_\phi(\phi_1, \phi_2) = & \mu_1^2 (\phi_1 \phi_1)_{\underline{1}_1} + \alpha_1 (\phi_1 \phi_1)_{\underline{1}_1}^2 + \sum_{i=2,3} \alpha_i (\phi_1 \phi_1)_{\underline{3}_i} \cdot (\phi_1 \phi_1)_{\underline{3}_i} \\
 & + \mu_2^2 (\phi_2 \phi_2)_{\underline{1}_1} + \beta_1 (\phi_2 \phi_2)_{\underline{1}_1}^2 + \sum_{i=2,3} \beta_i (\phi_2 \phi_2)_{\underline{3}_i} \cdot (\phi_2 \phi_2)_{\underline{3}_i} \\
 & + \gamma_1 (\phi_1 \phi_1)_{\underline{1}_1} (\phi_2 \phi_2)_{\underline{1}_1} + \sum_{i=2,3,4} \gamma_i (\phi_1 \phi_1)_{\underline{3}_i} \cdot (\phi_2 \phi_2)_{\underline{3}_i} \\
 V_\chi(\chi) = & \mu_3^2 (\chi \chi)_{\underline{1}_1} + \rho_1 (\chi \chi \chi)_{\underline{1}_1} + \lambda_1 (\chi \chi)_{\underline{1}_1}^2 + \lambda_2 (\chi \chi)_{\underline{1}_2} (\chi \chi)_{\underline{1}_3} \\
 V_{\text{mix}}(\chi, \phi_1, \phi_2) = & \zeta_{13} (\phi_1 \phi_1)_{\underline{1}_1} (\chi \chi)_{\underline{1}_1} + \zeta_{23} (\phi_2 \phi_2)_{\underline{1}_1} (\chi \chi)_{\underline{1}_1}
 \end{aligned}$$

- Potential has an accidental symmetry  $[(Q_8 \times A_4) \times A_4] \times Z_4$ 
  - invariant under independent transformations of  $\Phi$  and  $\chi$
- note that couplings such as  $\chi \cdot (\phi_1 \phi_2)_{\underline{3}_1}$  are forbidden by the auxiliary  $Z_4$  symmetry that separates the charged and neutral lepton sectors



# Scalar Potential & Vacuum Alignment

# Scalar Potential & Vacuum Alignment

- Characterization of Minima
  - If there is a minimum, in which the symmetry generator  $Q \in G$  is left unbroken, i.e.  $Q \langle \Phi_i \rangle = \langle \Phi_i \rangle$ , there are degenerate minima  $\langle \Phi'_i \rangle = g \langle \Phi_i \rangle$  that leave  $gQg^{-1}$  unbroken, with  $g \in G$ .
  - The physically distinct minima are therefore characterized by the conjugacy class  $G_Q = \{ gQg^{-1} : g \in G \}$ . Only conjugacy classes with an eigenvalue +1 can lead to a non-trivial little group.

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## • For $4_1$ there are 5 such classes

There are three physically distinct minima of  $\phi_1$ , that preserve a  $Z_2$  subgroup:

- $\langle \phi_1 \rangle = \frac{1}{\sqrt{2}}(a, a, b, -b)^T$  results in the little group  $\langle S \rangle$ ,
- $\langle \phi_1 \rangle = (0, a, b, 0)^T$  in  $\langle SY \rangle$  and
- $\langle \phi_1 \rangle = \frac{1}{\sqrt{2}}(-a, b, -a, b)^T$  in  $\langle SYX \rangle$ .

In addition, there is one preserving a  $Z_3$  subgroup:

- $\langle \phi_1 \rangle = \frac{1}{\sqrt{2}}(a, a, a, b)^T$  preserves  $\langle T \rangle$  (as well as  $\langle T^2 \rangle = \langle T \rangle$ ).

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$$\langle (\phi_1 \phi_2)_{\underline{\mathbf{3}}_1} \rangle = \frac{1}{2}(bc - ad, 0, 0)^T$$

$$\langle (\phi_1 \phi_2)_{\underline{\mathbf{1}}_1} \rangle = \frac{1}{2}(ac + bd)$$

(a,b) replaced by (c,d) in  $\Phi_2$

In addition, there is one preserving a  $Z_3$  subgroup:

- $\langle \phi_1 \rangle = \frac{1}{\sqrt{2}}(a, a, a, b)^T$  preserves  $\langle T \rangle$  (as well as  $\langle T^2 \rangle = \langle T \rangle$ ).

# Scalar Potential & Vacuum Alignment

## Minimum Conditions

$$a (\alpha_+ (a^2 + b^2) + \alpha_- (a^2 - b^2) + \gamma_+ (c^2 + d^2) + \gamma_- (c^2 - d^2) + U_1) + \Gamma bcd = 0$$

$$b (\alpha_+ (a^2 + b^2) - \alpha_- (a^2 - b^2) + \gamma_+ (c^2 + d^2) - \gamma_- (c^2 - d^2) + U_1) + \Gamma acd = 0$$

$$c (\beta_+ (c^2 + d^2) + \beta_- (c^2 - d^2) + \gamma_+ (a^2 + b^2) + \gamma_- (a^2 - b^2) + U_2) + \Gamma abd = 0$$

$$d (\beta_+ (c^2 + d^2) - \beta_- (c^2 - d^2) + \gamma_+ (a^2 + b^2) - \gamma_- (a^2 - b^2) + U_2) + \Gamma abc = 0$$

$$v' \left( 4\sqrt{3}\lambda_1 v'^2 + 3\rho_1 v' + 2\mu_3^2 + \zeta_{13}(a^2 + b^2) + \zeta_{23}(c^2 + d^2) \right) = 0$$

with  $\xi_+ = \frac{\xi_1}{2}, \xi_- = \frac{\xi_2 + \xi_3}{2\sqrt{3}}$  for  $\xi = \alpha, \beta$  for  $\langle S \rangle$ , similar relations for  $\langle SY \rangle$ ,  $U_i = \frac{1}{2} (\mu_i^2 + \sqrt{3}\zeta_{i3} v'^2)$   
 $\gamma_+ = \frac{\sqrt{3}\gamma_1 + \gamma_4}{4\sqrt{3}}, \gamma_- = \frac{\gamma_2 + \gamma_3}{4\sqrt{3}}$  and  $\Gamma = \frac{\gamma_4}{\sqrt{3}}$   $\langle SYX \rangle$

- eleven minimization conditions reduce to these 5 equations for 5 VEVs there is therefore generally a solution
- note that e.g.  $a=b=0$  or  $c=d=0$  is also a solution, here the singlet and triplet contraction vanishes
- we have performed a numerical study to show that there is finite region of parameter space where  $\langle S \rangle$  is the global minimum

# Higher Order Corrections

- NLO Corrections to vacuum potential

$$V^{(5)} = \sum_{L,M=1}^2 \sum_{i,j=2}^4 \frac{\delta_{ij}^{(LM)}}{\Lambda} \chi \cdot \left\{ (\phi_L \phi_L)_{\underline{\mathbf{3}}_i} \cdot (\phi_M \phi_M)_{\underline{\mathbf{3}}_j} \right\}_{\underline{\mathbf{3}}_1} +$$

$$+ \frac{\chi^3}{\Lambda} \left( \delta_1^{(3)} \chi^2 + \delta_2^{(3)} (\phi_1 \phi_1)_{\underline{\mathbf{1}}_1} + \delta_3^{(3)} (\phi_2 \phi_2)_{\underline{\mathbf{1}}_1} \right) \quad \delta_{ij}^{(LM)} = 0 \text{ for } i \geq j$$

- leads to shifts in VEVs

$$\langle \chi \rangle = (v' + \delta v'_1, v' + \delta v'_2, v' + \delta v'_2)^T,$$

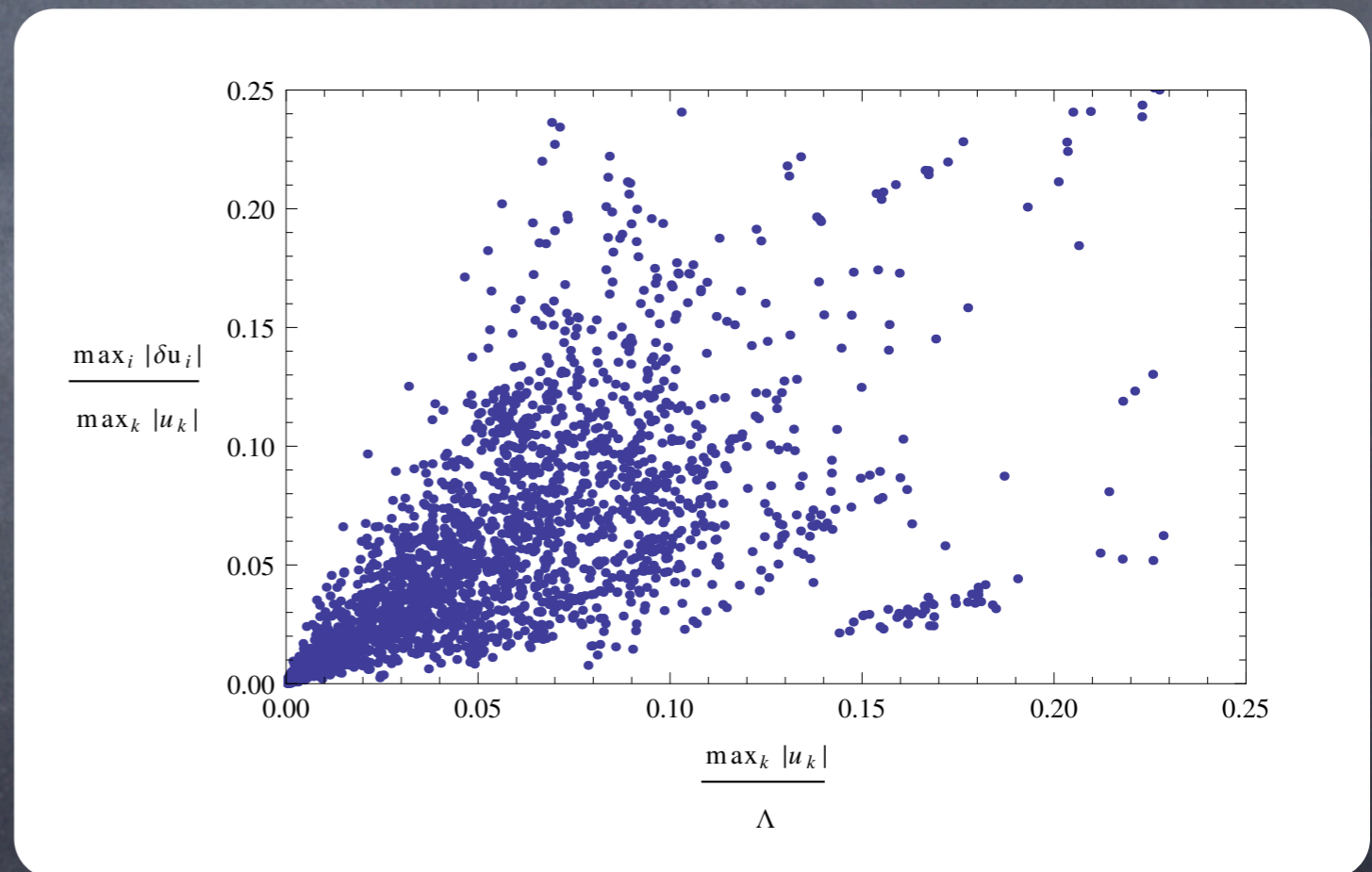
$$\langle \phi_1 \rangle = \frac{1}{\sqrt{2}} (a + \delta a_1, a + \delta a_2, b + \delta a_3, -b + \delta a_4)^T,$$

$$\langle \phi_2 \rangle = \frac{1}{\sqrt{2}} (c + \delta b_1, c + \delta b_2, d + \delta b_3, -d + \delta b_4)^T$$

- generic size of shifts

$$\frac{\delta u}{u} \sim \frac{u}{\Lambda}$$

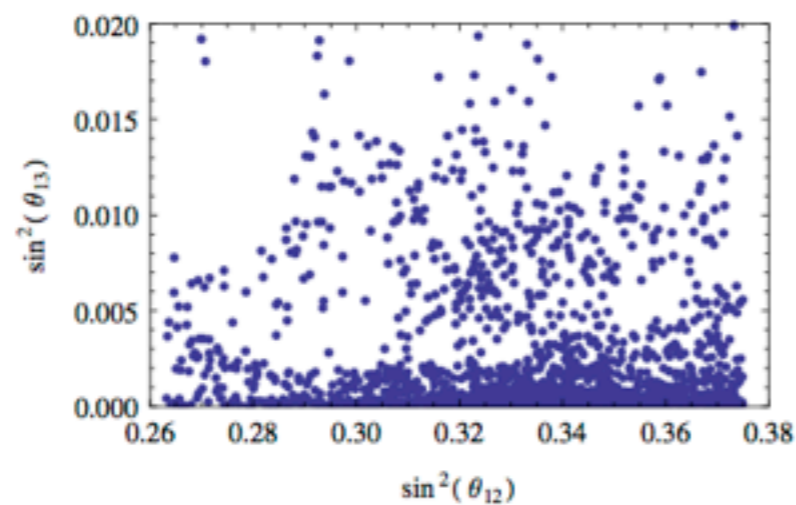
$$\langle \chi_2 \rangle - \langle \chi_3 \rangle = \mathcal{O}(1/\Lambda^2)$$



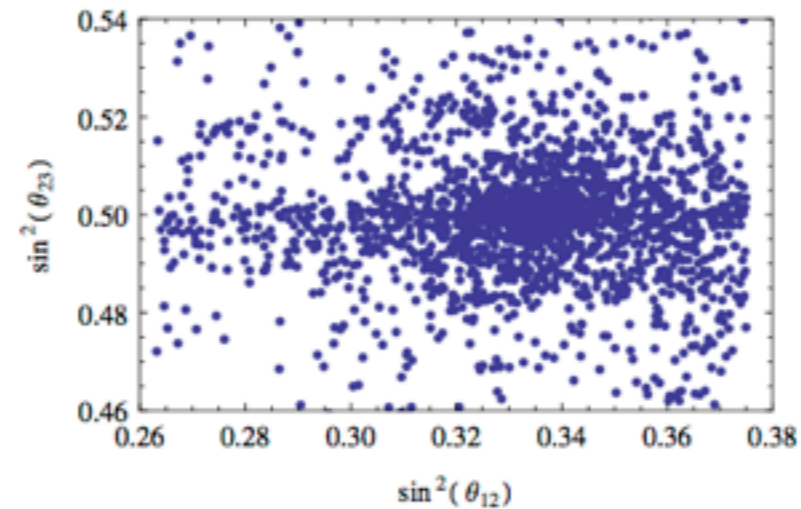
generic size of shifts for scalar potential parameters of order one

VEV alignment not destroyed!

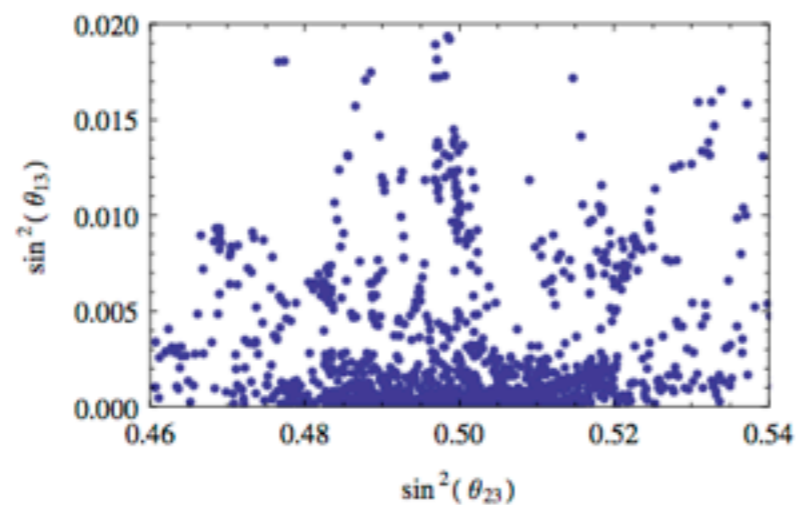
# Higher Order Corrections



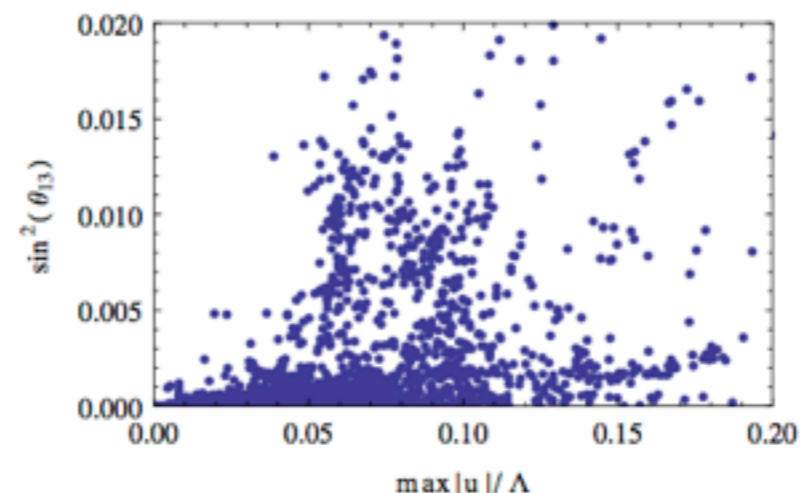
(a)  $\sin^2 \theta_{12}$  vs.  $\sin^2 \theta_{13}$



(b)  $\sin^2 \theta_{12}$  vs.  $\sin^2 \theta_{23}$



(c)  $\sin^2 \theta_{13}$  vs.  $\sin^2 \theta_{23}$

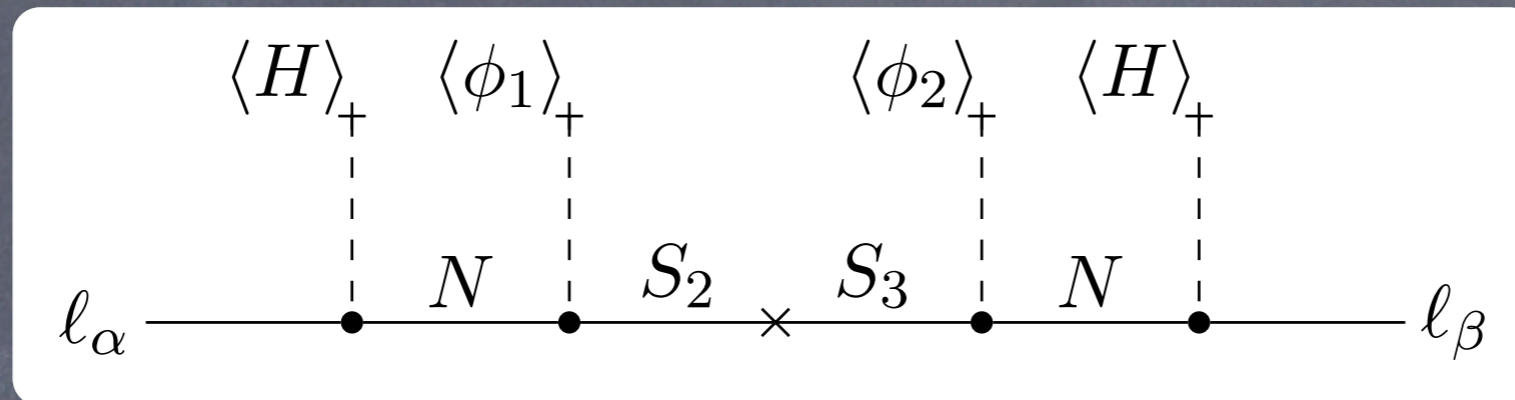


(d)  $\sin^2 \theta_{13}$  vs.  $\frac{\max_i |u_i|}{\Lambda}$

- $\sin^2 \theta_{13} \approx 0.1$  as suggested by T2K can be accommodated at NLO
- or by introducing additional non-trivial singlet field  $\xi \sim (1_2, i)$  [does not destroy VEV alignment]

# UV Completion

For Seesaw UV completion, introduce fermionic singlets  $N \sim (3_1, -i)$ ,  $S_2 \sim (4_2, i)$ ,  $S_3 \sim (4_3, -i)$ :



$$\mathcal{L} = x_{\ell N} \ell H N + x_{N2} N S_2 \phi_1 + x_{N3} N S_3 \phi_2 + m S_2 S_3 + x_{23} S_2 S_3 \chi + \text{h.c.},$$

generates singlet masses (N):

$$m_N = \frac{x_{N2} x_{N3}}{m} \begin{pmatrix} A & 0 & 0 \\ 0 & A & B \\ 0 & B & A \end{pmatrix} \quad \text{with} \quad A = -2(ac+bd) \quad \text{and} \quad B = i\sqrt{3}(bc-ad).$$

the light neutrino mass matrix  $m_\nu = x_{\ell N}^2 v^2 m_N^{-1}$  is of TBM form

$$U_\nu^T m_\nu U_\nu = \text{diag}\left(\frac{1}{B+A}, \frac{1}{A}, \frac{1}{B-A}\right)$$

Accidental degeneracy of  $m_1$  and  $m_3$  is lifted by introduction of additional  $S_2$  or  $S_3$ .



# Mathematica Package Discrete

We have developed a Mathematica Package that can be used to facilitate model building using discrete groups. It has the features:

- has access to groups catalogue of GAP, which contains all groups one would ever want to use

Initialization

```
In[8]:= Needs["Discrete`ModelBuildingTools`"];
```

```
In[11]:= Group = MLoadGAPGroup["AlternatingGroup(4)"];  
starting GAP generating AlternatingGroup(4)...  
...finished
```

StructureDescription:A4

Size of Group:12

Number of irreps: 4

Dimensions of irreps:

1	2	3	4
1	1	1	3

Character Table:

1	1	1	1
1	1	$e^{\frac{2i\pi}{3}}$	$e^{\frac{2i\pi}{3}}$
1	1	$e^{\frac{2i\pi}{3}}$	$e^{-\frac{2i\pi}{3}}$
3	-1	0	0

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```
In[193]:=  $\chi$  = MBgetRepVector [Group, 4,  $\chi$ c]
          L = MBgetRepVector [Group, 4, Lc]
```

```
Out[193]= {{}, {}, {}, {{ $\chi$ c1,  $\chi$ c2,  $\chi$ c3}}}
```

```
Out[194]= {{}, {}, {}, {{Lc1, Lc2, Lc3}}}
```

```
In[195]:= MBmultiply [Group,  $\chi$ , L]
```

```
Out[195]= {{{  $\frac{Lc1 \chi c1 + Lc2 \chi c2 + Lc3 \chi c3}{\sqrt{3}}$  }},
           {{  $\frac{1}{6} (2 \sqrt{3} Lc1 \chi c1 - (3 i + \sqrt{3}) Lc2 \chi c2 - (-3 i + \sqrt{3}) Lc3 \chi c3)$  }},
           {{  $\frac{1}{6} (2 \sqrt{3} Lc1 \chi c1 - (-3 i + \sqrt{3}) Lc2 \chi c2 - (3 i + \sqrt{3}) Lc3 \chi c3)$  }},
           {{Lc3  $\chi$ c2, Lc1  $\chi$ c3, Lc2  $\chi$ c1}, {Lc2  $\chi$ c3, Lc3  $\chi$ c1, Lc1  $\chi$ c2}}}
```

```
In[197]:= MBmultiply [Group, { $\chi$ ,  $\chi$ ,  $\chi$ , L, L}][[1]]
```

```
Out[197]= {{{ (Lc12 + Lc22 + Lc32)  $\chi$ c1  $\chi$ c2  $\chi$ c3 },
           {{  $\frac{1}{3} (Lc2 Lc3 \chi c1 + Lc1 Lc3 \chi c2 + Lc1 Lc2 \chi c3) (\chi c1^2 + \chi c2^2 + \chi c3^2)$  }},
           {{  $\frac{Lc1 Lc3 \chi c2 \chi c3^2 + Lc2 \chi c1 (Lc3 \chi c2^2 + Lc1 \chi c1 \chi c3)}{\sqrt{3}}$  }},
           {{  $\frac{Lc2 Lc3 \chi c1 \chi c3^2 + Lc1 \chi c2 (Lc3 \chi c1^2 + Lc2 \chi c2 \chi c3)}{\sqrt{3}}$  }},
           {{  $\frac{1}{6 \sqrt{3}} (Lc1 Lc3 \chi c2 (-(-3 i + \sqrt{3}) \chi c1^2 + 2 \sqrt{3} \chi c2^2 - (3 i + \sqrt{3}) \chi c3^2) +$ 
              Lc2 (Lc1  $\chi$ c3 (- (3 i +  $\sqrt{3}$ )  $\chi$ c12 - (-3 i +  $\sqrt{3}$ )  $\chi$ c22 + 2  $\sqrt{3}$   $\chi$ c32) +
              Lc3  $\chi$ c1 (2  $\sqrt{3}$   $\chi$ c12 - (3 i +  $\sqrt{3}$ )  $\chi$ c22 - (-3 i +  $\sqrt{3}$ )  $\chi$ c32)) ) }},
```

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- reduce set covariants to a smaller set of independent covariants
- calculate flavon potentials

```
In[200]:= MBgetFlavonPotential[Group,  $\chi$ , 4,  $\lambda$ ]  
2  
3  
4  
Out[200]=  $\lambda_{3n1} \chi_{c1} \chi_{c2} \chi_{c3} + \frac{\lambda_{2n1} (\chi_{c1}^2 + \chi_{c2}^2 + \chi_{c3}^2)}{\sqrt{3}} +$   
 $\lambda_{4n1} (\chi_{c1}^4 + \chi_{c2}^4 + \chi_{c3}^4) + \frac{1}{3} \lambda_{4n2} (\chi_{c2}^2 \chi_{c3}^2 + \chi_{c1}^2 (\chi_{c2}^2 + \chi_{c3}^2))$ 
```

[see also SUtree, Merle Zwicky]

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- available at <http://projects.hepforge.org/discrete/>

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